



# **Payment Card Industry Data Security Standard**

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## **Attestation of Compliance for Report on Compliance – Service Providers**

**Version 4.0**

Revision 2

Publication Date: August 2023

# **PCI DSS v4.0 Attestation of Compliance for Report on Compliance – Service Providers**

**Entity Name: eSentire**

**Assessment End Date: July 10, 2024**

**Date of Report as noted in the Report on Compliance: July 12, 2024**

## Section 1 Assessment Information

### Instructions for Submission

This Attestation of Compliance (AOC) must be completed as a declaration of the results of the service provider's assessment against the *Payment Card Industry Data Security Standard (PCI DSS) Requirements and Testing Procedures* ("Assessment"). Complete all sections. The service provider is responsible for ensuring that each section is completed by the relevant parties, as applicable. Contact the entity(ies) to which this AOC will be submitted for reporting and submission procedures.

This AOC reflects the results documented in an associated Report on Compliance (ROC). Associated ROC sections are noted in each AOC Part/Section below.

Capitalized terms used but not otherwise defined in this document have the meanings set forth in the PCI DSS Report on Compliance Template.

#### Part 1. Contact Information

##### Part 1a. Assessed Entity (ROC Section 1.1)

Company name:	eSentire
DBA (doing business as):	N/A
Company mailing address:	451 Phillip St Waterloo, ON Canada N2L 3X2
Company main website:	<a href="http://www.esentire.com">www.esentire.com</a>
Company contact name:	Michael Parker
Company contact title:	Director, Governance, Risk & Compliance
Contact phone number:	519-651-2200
Contact e-mail address:	<a href="mailto:Michael.Parker@esentire.com">Michael.Parker@esentire.com</a>

##### Part 1b. Assessor (ROC Section 1.1)

Provide the following information for all assessors involved in the Assessment. If there was no assessor for a given assessor type, enter Not Applicable.

##### PCI SSC Internal Security Assessor(s)

ISA Name(s):	Not applicable.
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##### Qualified Security Assessor

Company name:	MNP LLP
Company mailing address:	255 Longside Dr, Suite 102 Mississauga, ON Canada L5W 0G7
Company website:	<a href="http://www.mnp.ca">www.mnp.ca</a>
Lead Assessor name:	Melanie Dodson

Assessor phone number:	905-607-9777
Assessor e-mail address:	Melanie.Dodson@mnp.ca
Assessor certificate number:	QSA # 205-172

## Part 2. Executive Summary

### Part 2a. Scope Verification

Services that were **INCLUDED** in the scope of the Assessment (select all that apply):

Name of service(s) assessed:	Network, Endpoint, Log, Cloud	
Type of service(s) assessed:		
<b>Hosting Provider:</b> <input type="checkbox"/> Applications / software <input type="checkbox"/> Hardware <input type="checkbox"/> Infrastructure / Network <input type="checkbox"/> Physical space (co-location) <input type="checkbox"/> Storage <input type="checkbox"/> Web-hosting services <input type="checkbox"/> Security services <input type="checkbox"/> 3-D Secure Hosting Provider <input type="checkbox"/> Multi-Tenant Service Provider <input type="checkbox"/> Other Hosting (specify):	<b>Managed Services:</b> <input checked="" type="checkbox"/> Systems security services <input type="checkbox"/> IT support <input type="checkbox"/> Physical security <input type="checkbox"/> Terminal Management System <input type="checkbox"/> Other services (specify):	<b>Payment Processing:</b> <input type="checkbox"/> POI / card present <input type="checkbox"/> Internet / e-commerce <input type="checkbox"/> MOTO / Call Center <input type="checkbox"/> ATM <input type="checkbox"/> Other processing (specify):
<input type="checkbox"/> Account Management	<input type="checkbox"/> Fraud and Chargeback	<input type="checkbox"/> Payment Gateway/Switch
<input type="checkbox"/> Back-Office Services	<input type="checkbox"/> Issuer Processing	<input type="checkbox"/> Prepaid Services
<input type="checkbox"/> Billing Management	<input type="checkbox"/> Loyalty Programs	<input type="checkbox"/> Records Management
<input type="checkbox"/> Clearing and Settlement	<input type="checkbox"/> Merchant Services	<input type="checkbox"/> Tax/Government Payments
<input type="checkbox"/> Network Provider		

**Note:** These categories are provided for assistance only and are not intended to limit or predetermine an entity's service description. If these categories do not apply to the assessed service, complete "Others." If it is not clear whether a category could apply to the assessed service, consult with the entity(ies) to which this AOC will be submitted.

## Part 2. Executive Summary *(continued)*

### Part 2a. Scope Verification *(continued)*

Services that are provided by the service provider but were **NOT INCLUDED** in the scope of the Assessment (select all that apply):

Name of service(s) not assessed:		Cyber forensics and response investigation, LogSentry, AXI
Type of service(s) not assessed:		
<b>Hosting Provider:</b> <input type="checkbox"/> Applications / software <input type="checkbox"/> Hardware <input type="checkbox"/> Infrastructure / Network <input type="checkbox"/> Physical space (co-location) <input type="checkbox"/> Storage <input type="checkbox"/> Web-hosting services <input type="checkbox"/> Security services <input type="checkbox"/> 3-D Secure Hosting Provider <input type="checkbox"/> Multi-Tenant Service Provider <input type="checkbox"/> Other Hosting (specify):	<b>Managed Services:</b> <input type="checkbox"/> Systems security services <input type="checkbox"/> IT support <input type="checkbox"/> Physical security <input type="checkbox"/> Terminal Management System <input type="checkbox"/> Other services (specify):	<b>Payment Processing:</b> <input type="checkbox"/> POI / card present <input type="checkbox"/> Internet / e-commerce <input type="checkbox"/> MOTO / Call Center <input type="checkbox"/> ATM <input type="checkbox"/> Other processing (specify):
<input type="checkbox"/> Account Management	<input type="checkbox"/> Fraud and Chargeback	<input type="checkbox"/> Payment Gateway/Switch
<input type="checkbox"/> Back-Office Services	<input type="checkbox"/> Issuer Processing	<input type="checkbox"/> Prepaid Services
<input type="checkbox"/> Billing Management	<input type="checkbox"/> Loyalty Programs	<input type="checkbox"/> Records Management
<input type="checkbox"/> Clearing and Settlement	<input type="checkbox"/> Merchant Services	<input type="checkbox"/> Tax/Government Payments
<input type="checkbox"/> Network Provider		

Provide a brief explanation why any checked services were not included in the Assessment:

### Part 2b. Description of Role with Payment Cards (ROC Section 2.1)

Describe how the business stores, processes, and/or transmits account data.	eSentire, as a managed service provider, does not store, process, or transmit cardholder data as part of its business.
Describe how the business is otherwise involved in or has the ability to impact the security of its customers' account data.	eSentire, as a managed service provider, does not store, process, or transmit cardholder data as part of its business. eSentire products (Network, Log, Endpoint and Cloud) can be used to help a customer achieve compliance with specific PCI controls.

	<p>eSentire sensors (Network) are deployed within customer's environments and therefore may impact the security of the cardholder data environment. All access to the sensors is recorded. The sensor scrubs cardholder data from the Packet Capture (PCAP) files prior to transmission into the eSentire network.</p> <p>To ensure there is no clear text cardholder data in the environment, the eSentire Log and Cloud managed solutions mask (with the pound symbol) all potential PAN at the time of the log ingestion and prior to persisting the data.</p> <p>The Endpoint solution uses 3rd party platforms (ex: CrowdStrike) to access the endpoints for investigative purposes.</p>
Describe system components that could impact the security of account data.	<p>eSentire, as a managed service provider, does not store, process, or transmit cardholder data as part of its business. eSentire products (Network, Log, Endpoint and Cloud) can be used to help a customer achieve compliance with specific PCI controls.</p> <p>eSentire sensors (Network) are deployed within customer's environments and therefore may impact the security of the cardholder data environment. All access to the sensors is recorded. The sensor scrubs cardholder data from the Packet Capture (PCAP) files prior to transmission into the eSentire network.</p> <p>To ensure there is no clear text cardholder data in the environment, the eSentire Log and Cloud managed solutions mask (with the pound symbol) all potential PAN at the time of the log ingestion and prior to persisting the data.</p> <p>The Endpoint solution uses 3rd party platforms (ex: CrowdStrike) to access the endpoints for investigative purposes.</p>

## Part 2. Executive Summary *(continued)*

### Part 2c. Description of Payment Card Environment

<p>Provide a high-level description of the environment covered by this Assessment.</p> <p><i>For example:</i></p> <ul style="list-style-type: none"> <li>• <i>Connections into and out of the cardholder data environment (CDE).</i></li> <li>• <i>Critical system components within the CDE, such as POI devices, databases, web servers, etc., and any other necessary payment components, as applicable.</i></li> </ul>	<p>Not applicable. - The entity does not store, process, or transmit cardholder data, therefore there is no cardholder data environment (CDE) in the scope of this assessment.</p>
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- *System components that could impact the security of account data.*

Indicate whether the environment includes segmentation to reduce the scope of the Assessment.

(Refer to the "Segmentation" section of PCI DSS for guidance on segmentation)

☐ Yes ☒ No

## Part 2d. In-Scope Locations/Facilities (ROC Section 4.6)

List all types of physical locations/facilities (for example, corporate offices, data centers, call centers and mail rooms) in scope for this Assessment.

Facility Type	Total Number of Locations (How many locations of this type are in scope)	Location(s) of Facility (city, country)
<i>Example: Data centers</i>	3	<i>Boston, MA, USA</i>
Corporate Office	1	Waterloo, ON, Canada
Satellite Office/SOC	1	Cork, Ireland

## Part 2. Executive Summary (continued)

### Part 2e. PCI SSC Validated Products and Solutions (ROC Section 3.3)

Does the entity use any item identified on any PCI SSC Lists of Validated Products and Solutions\*?

☐ Yes ☒ No

Provide the following information regarding each item the entity uses from PCI SSC's Lists of Validated Products and Solutions:

Name of PCI SSC-validated Product or Solution	Version of Product or Solution	PCI SSC Standard to which Product or Solution Was Validated	PCI SSC Listing Reference Number	Expiry Date of Listing

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- ♦ For purposes of this document, "Lists of Validated Products and Solutions" means the lists of validated products, solutions, and/or components appearing on the PCI SSC website ([www.pcisecuritystandards.org](http://www.pcisecuritystandards.org))—for example, 3DS Software Development Kits, Approved PTS Devices, Validated Payment Software, Payment Applications (PA-DSS), Point to Point Encryption (P2PE) solutions, Software-Based PIN Entry on COTS (SPoC) solutions, and Contactless Payments on COTS (CPoC) solutions.



## Part 2f. Third-Party Service Providers (ROC Section 4.4)

For the services being validated, does the entity have relationships with one or more third-party service providers that:

<ul style="list-style-type: none"> <li>Store, process, or transmit account data on the entity's behalf (for example, payment gateways, payment processors, payment service providers (PSPs, and off-site storage))</li> </ul>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<ul style="list-style-type: none"> <li>Manage system components included in the entity's Assessment (for example, via network security control services, anti-malware services, security incident and event management (SIEM), contact and call centers, web-hosting companies, and IaaS, PaaS, SaaS, and FaaS cloud providers)</li> </ul>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> <li>Could impact the security of the entity's CDE (for example, vendors providing support via remote access, and/or bespoke software developers).</li> </ul>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

If Yes:

Name of Service Provider:	Description of Services Provided:
Amazon	Cloud service provider
Microsoft Azure	Azure: Cloud service provider, auth services, Defender (Endpoint), Sentinel (Log), Intune
VMWare	Carbon Black (Endpoint)
SumoLogic	SumoLogic (Log)
SentinelOne	SentinelOne (Endpoint)
CrowdStrike	CrowdStrike (Endpoint)

**Note:** Requirement 12.8 applies to all entities in this list.

## Part 2. Executive Summary (continued)

### Part 2g. Summary of Assessment (ROC Section 1.8.1)

Indicate below all responses provided within each principal PCI DSS requirement.

For all requirements identified as either "Not Applicable" or "Not Tested," complete the "Justification for Approach" table below.

**Note:** One table to be completed for each service covered by this AOC. Additional copies of this section are available on the PCI SSC website.

Name of Service Assessed: eSentire services assessed as part of this validation include: Network / Log / Cloud / Endpoint

PCI DSS Requirement	Requirement Finding More than one response may be selected for a given requirement. Indicate all responses that apply.				Select If Below Method(s) Was Used	
	In Place	Not Applicable	Not Tested	Not in Place	Customized Approach	Compensating Controls
Requirement 1:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 2:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 3:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 4:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 5:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 6:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Requirement 7:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 8:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Requirement 9:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 10:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 11:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 12:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Appendix A1:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Appendix A2:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Justification for Approach

For any Not Applicable responses, identify which sub-requirements were not applicable and the reason.

- 1.2.4 - No account data flow across the systems or network.
- 1.2.6 - No insecure services on NSCs.
- 1.3.3 - Wireless Network is not present.
- 1.4.4 - The entity does not store cardholder data.
- 2.2.5 - No insecure services on system components.
- 2.3.1 - Wireless Network is not present.
- 2.3.2 - Wireless Network is not present.
- Req. 3 - The entity does not store, process, or transmit cardholder data.
- Req. 4 - The entity does not store, process, or transmit cardholder data.
- 5.2.3.1 - This requirement is a best practice until 31 March 2025.
- 5.3.2.1 - This requirement is a best practice until 31 March 2025.
- 5.4.1 - This requirement is a best practice until 31 March 2025.
- 6.3.2 - This requirement is a best practice until 31 March 2025.
- 6.4.1 - This requirement is a best practice until 31 March 2025.
- 6.4.2 - This requirement is a best practice until 31 March 2025.
- 6.4.3 - This requirement is a best practice until 31 March 2025.
- 6.5.2 - No significant changes occurred within the assessment year.
- 6.5.5 - The entity does not process PANs in their environment.
- 6.5.6 - The entity does not process test data in the environment.
- 7.2.5 - This requirement is a best practice until 31 March 2025.
- 7.2.5.1 - This requirement is a best practice until 31 March 2025.
- 7.2.6 - The entity is not storing cardholder data.
- 8.2.7 - No third-party login to eSentire's network.
- 8.3.6 - This requirement is a best practice until 31 March 2025.

	<p>8.3.10 - This requirement is a best practice until 31 March 2025.</p> <p>8.3.10.1 - This requirement is a best practice until 31 March 2025.</p> <p>8.3.11 - The entity does not use any type of logical access tokens, smart cards, or certificates.</p> <p>8.4.2 - The entity does not have a CDE.</p> <p>8.6.1 - This requirement is a best practice until 31 March 2025.</p> <p>8.6.2 - This requirement is a best practice until 31 March 2025.</p> <p>8.6.3 - This requirement is a best practice until 31 March 2025.</p> <p>Req. 9 - The entity does not store, process, or transmit cardholder data.</p> <p>10.2.1.1 - The entity does not store, process, or transmit cardholder data.</p> <p>10.3.4 - logs are sent to SumoLogic and Azure Sentinel for storage.</p> <p>10.4.2.1 - This requirement is a best practice until 31 March 2025.</p> <p>10.7.2 - This requirement is a best practice until 31 March 2025.</p> <p>11.2.1 - The entity's environment is hosted in AWS.</p> <p>11.2.2 - The entity's environment is hosted in AWS.</p> <p>11.3.1.1 - This requirement is a best practice until 31 March 2025.</p> <p>11.3.1.2 - This requirement is a best practice until 31 March 2025.</p> <p>11.3.1.3 - No significant changes to the environment during the assessment year.</p> <p>11.3.2.1 - No significant changes to the environment during the assessment year.</p> <p>11.4.4 - No critical or high exploitable vulnerabilities found in Penetration testing.</p> <p>11.4.5 - The entity does not store, process, or transmit Cardholder Data (CHD). Therefore, the entity does not have a Cardholder Data Environment (CDE).</p> <p>11.4.6 - The entity does not store, process, or transmit Cardholder Data (CHD). Therefore, the entity does not have a Cardholder Data Environment (CDE).</p>
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	<p>11.4.7 - This requirement is a best practice until 31 March 2025.</p> <p>11.5.1.1 - This requirement is a best practice until 31 March 2025.</p> <p>11.6.1 - This requirement is a best practice until 31 March 2025.</p> <p>12.3.1 - This requirement is a best practice until 31 March 2025.</p> <p>12.3.2 - This requirement is a best practice until 31 March 2025.</p> <p>12.3.3 - This requirement is a best practice until 31 March 2025.</p> <p>12.3.4 - This requirement is a best practice until 31 March 2025.</p> <p>12.5.2.1 - This requirement is a best practice until 31 March 2025.</p> <p>12.5.3 - This requirement is a best practice until 31 March 2025.</p> <p>12.6.2 - This requirement is a best practice until 31 March 2025.</p> <p>12.6.3.2 - This requirement is a best practice until 31 March 2025.</p> <p>12.8.1 - Account data is not shared with any TPSP.</p> <p>12.8.2 - Account data is not shared with any TPSP.</p> <p>12.10.4.1 - This requirement is a best practice until 31 March 2025.</p> <p>12.10.7 - This requirement is a best practice until 31 March 2025.</p> <p>Appendix A1 - eSentire is not a Multi-Tenant Service Provider.</p> <p>Appendix A2 - eSentire does not have a card-present channel.</p> <p>Appendix A3 - eSentire is not a Designated Entities Supplemental Validation (DESV).</p>
For any Not Tested responses, identify which sub-requirements were not tested and the reason.	

## Section 2 Report on Compliance

(ROC Sections 1.2 and 1.3.2)

Date Assessment began: <b>Note:</b> This is the first date that evidence was gathered, or observations were made.		April 8, 2024
Date Assessment ended: <b>Note:</b> This is the last date that evidence was gathered, or observations were made.		July 10, 2024
Were any requirements in the ROC unable to be met due to a legal constraint?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Were any testing activities performed remotely? If yes, for each testing activity below, indicate whether remote assessment activities were performed:		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Examine documentation	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Interview personnel	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Examine/observe live data	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Observe process being performed	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Observe physical environment	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
• Interactive testing	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Other:	<input type="checkbox"/> Yes	<input type="checkbox"/> No

## Section 3 Validation and Attestation Details

### Part 3. PCI DSS Validation (ROC Section 1.7)

**This AOC is based on results noted in the ROC dated** *(Date of Report as noted in the ROC July 12, 2024).*

Indicate below whether a full or partial PCI DSS assessment was completed:

- ☒ **Full Assessment** – All requirements have been assessed and therefore no requirements were marked as Not Tested in the ROC.
- ☐ **Partial Assessment** – One or more requirements have not been assessed and were therefore marked as Not Tested in the ROC. Any requirement not assessed is noted as Not Tested in Part 2g above.

Based on the results documented in the ROC noted above, each signatory identified in any of Parts 3b-3d, as applicable, assert(s) the following compliance status for the entity identified in Part 2 of this document *(select one)*:

<input checked="" type="checkbox"/>	<p><b>Compliant:</b> All sections of the PCI DSS ROC are complete, and all assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall <b>COMPLIANT</b> rating; thereby <i>eSentire</i> has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above.</p>										
<input type="checkbox"/>	<p><b>Non-Compliant:</b> Not all sections of the PCI DSS ROC are complete, or one or more requirements are marked as Not in Place, resulting in an overall <b>NON-COMPLIANT</b> rating; thereby <i>eSentire</i> has not demonstrated compliance with PCI DSS requirements.</p> <p><b>Target Date</b> for Compliance: 2024-07-11</p> <p>An entity submitting this form with a Non-Compliant status may be required to complete the Action Plan in Part 4 of this document. Confirm with the entity to which this AOC will be submitted before completing Part 4.</p>										
<input type="checkbox"/>	<p><b>Compliant but with Legal exception:</b> One or more assessed requirements in the ROC are marked as Not in Place due to a legal restriction that prevents the requirement from being met and all other assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall <b>COMPLIANT BUT WITH LEGAL EXCEPTION</b> rating; thereby <i>eSentire</i> has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above or as Not in Place due to a legal restriction.</p> <p>This option requires additional review from the entity to which this AOC will be submitted.</p> <p><i>If selected, complete the following:</i></p> <table border="1"> <thead> <tr> <th>Affected Requirement</th> <th>Details of how legal constraint prevents requirement from being met</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	Affected Requirement	Details of how legal constraint prevents requirement from being met								
Affected Requirement	Details of how legal constraint prevents requirement from being met										

### Part 3. PCI DSS Validation *(continued)*

#### Part 3a. Service Provider Acknowledgement

**Signatory(s) confirms:**

(Select all that apply)

<input checked="" type="checkbox"/>	The ROC was completed according to <i>PCI DSS</i> , Version 4.0 and was completed according to the instructions therein.
<input checked="" type="checkbox"/>	All information within the above-referenced ROC and in this attestation fairly represents the results of the Assessment in all material respects.
<input checked="" type="checkbox"/>	PCI DSS controls will be maintained at all times, as applicable to the entity's environment.

#### Part 3b. Service Provider Attestation

*Michael Parker*

Signature of Service Provider Executive Officer ↑	Date: July 12, 2024
Service Provider Executive Officer Name: Michael Parker	Title: Director, Governance, Risk & Compliance

#### Part 3c. Qualified Security Assessor (QSA) Acknowledgement

If a QSA was involved or assisted with this Assessment, indicate the role performed:

☒ QSA performed testing procedures.

☐ QSA provided other assistance.

If selected, describe all role(s) performed:

*Melanie Dodson*

Signature of Lead QSA ↑	Date: July 12, 2024
Lead QSA Name: Melanie Dodson	

*Tom Beaupre*

Signature of Duly Authorized Officer of QSA Company ↑	Date: July 12, 2024
Duly Authorized Officer Name: Tom Beaupre	QSA Company: MNP LLP

#### Part 3d. PCI SSC Internal Security Assessor (ISA) Involvement

If an ISA(s) was involved or assisted with this Assessment, indicate the role performed:

☐ ISA(s) performed testing procedures.

☐ ISA(s) provided other assistance.

If selected, describe all role(s) performed:



## Part 4. Action Plan for Non-Compliant Requirements

Only complete Part 4 upon request of the entity to which this AOC will be submitted, and only if the Assessment has Non-Compliant results noted in Section 3.

If asked to complete this section, select the appropriate response for "Compliant to PCI DSS Requirements" for each requirement below. For any "No" responses, include the date the entity expects to be compliant with the requirement and provide a brief description of the actions being taken to meet the requirement.

PCI DSS Requirement	Description of Requirement	Compliant to PCI DSS Requirements (Select One)		Remediation Date and Actions (If "NO" selected for any Requirement)
		YES	NO	
1	Install and maintain network security controls	<input type="checkbox"/>	<input type="checkbox"/>	
2	Apply secure configurations to all system components	<input type="checkbox"/>	<input type="checkbox"/>	
3	Protect stored account data	<input type="checkbox"/>	<input type="checkbox"/>	
4	Protect cardholder data with strong cryptography during transmission over open, public networks	<input type="checkbox"/>	<input type="checkbox"/>	
5	Protect all systems and networks from malicious software	<input type="checkbox"/>	<input type="checkbox"/>	
6	Develop and maintain secure systems and software	<input type="checkbox"/>	<input type="checkbox"/>	
7	Restrict access to system components and cardholder data by business need to know	<input type="checkbox"/>	<input type="checkbox"/>	
8	Identify users and authenticate access to system components	<input type="checkbox"/>	<input type="checkbox"/>	
9	Restrict physical access to cardholder data	<input type="checkbox"/>	<input type="checkbox"/>	
10	Log and monitor all access to system components and cardholder data	<input type="checkbox"/>	<input type="checkbox"/>	
11	Test security systems and networks regularly	<input type="checkbox"/>	<input type="checkbox"/>	
12	Support information security with organizational policies and programs	<input type="checkbox"/>	<input type="checkbox"/>	
Appendix A1	Additional PCI DSS Requirements for Multi-Tenant Service Providers	<input type="checkbox"/>	<input type="checkbox"/>	
Appendix A2	Additional PCI DSS Requirements for Entities using SSL/early TLS for Card-Present POS POI Terminal Connections	<input type="checkbox"/>	<input type="checkbox"/>	

