

Improving antibiotic use in nursing homes by infection prevention and control and antibiotic stewardship (IMAGINE): Protocol for a before-and-after intervention and implementation study.

Ana García-Sangenís, Daniela Modena, Jette Nygaard Jensen, Athina Chalkidou, Valeria S. Antsupova, Tina Marloth, Anna Marie Theut, Beatriz González López-Valcárcel, Fabiana Raynal, Laura Vallejo-Torres, Jesper Lykkegaard, Malene Plejdrup Hansen, Jens Søndergaard, Jonas Kanstrup Olsen, Anders Munck, András Balint, Ria Benko, Davorina Petek, Nina Sodja, Anna Kowalczyk, Maciej Godycki-Cwirko, Helena Glasová, Jozef Glasa, Ruta Radzeviciene, Lina Jaruseviciene, Christos Lionis, Marilena Anastasaki, Agapi Angelaki, Elena Petelos, Laura Alvarez, Marta Ricart, Sergi Briones, Georg Ruppe, Ramon Monfà, Anders Bjerrum, Carl Llor

Submitted to: JMIR Research Protocols

on: May 01, 2024

Disclaimer: © **The authors. All rights reserved.** This is a privileged document currently under peer-review/community review. Authors have provided JMIR Publications with an exclusive license to publish this preprint on it's website for review purposes only. While the final peer-reviewed paper may be licensed under a CC BY license on publication, at this stage authors and publisher expressively prohibit redistribution of this draft paper other than for review purposes.

Table of Contents

Original Manuscript	 5
Figure 1	 33
Figure 2	 34
Multimedia Appendixes	35
Multimedia Appendix 1	 36
CONSORT (or other) checklists	 37
CONSORT (or other) checklist 0	37

Improving antibiotic use in nursing homes by infection prevention and control and antibiotic stewardship (IMAGINE): Protocol for a before-and-after intervention and implementation study.

Ana García-Sangenís¹ MSc; Daniela Modena¹ MSc; Jette Nygaard Jensen² MSc; Athina Chalkidou² Dr med; Valeria S. Antsupova² Dr med; Tina Marloth² MSc; Anna Marie Theut² Dr med; Beatriz González López-Valcárcel³ PhD; Fabiana Raynal³ MSc; Laura Vallejo-Torres³ PhD; Jesper Lykkegaard⁴ PhD; Malene Plejdrup Hansen⁵ PhD; Jens Søndergaard⁴ Prof Dr Med; Jonas Kanstrup Olsen⁵ Dr med; Anders Munck⁵ Prof Dr; András Balint⁶ Dr med; Ria Benko⁻; Davorina Petek⁶ PhD; Nina Sodja⁶ Dr med; Anna Kowalczyk⁶ MSc; Maciej Godycki-Cwirko⁶ PhD; Helena Glasová¹⁰ PhD; Jozef Glasa¹⁰ PhD; Ruta Radzeviciene¹¹ Dr med; Lina Jaruseviciene¹¹ Dr med; Christos Lionis¹² Prof Dr; Marilena Anastasaki¹² Dr med; Agapi Angelaki¹² Dr med; Elena Petelos¹² PhD; Laura Alvarez¹³ MSc; Marta Ricart¹³ MSc; Sergi Briones¹³ MSc; Georg Ruppe¹⁴ Prof Dr; Ramon Monf๠MSc; Anders Bjerrum¹ PhD; Carl Llor¹⁵ PhD

Corresponding Author:

Carl Llor PhD

Abstract

Background: Despite the extensive utilization of antibiotics and the growing challenge of antimicrobial resistance, there has been a lack of substantial initiatives aimed at diminishing the prevalence of infections in nursing homes and enhancing the detection of urinary tract infections (UTIs).

Objective: This study aims to systematise and enhance efforts to prevent healthcare associated infections, mainly UTIs and reduce antibiotic inappropriateness by implementing a multifaceted intervention targeting healthcare professionals in nursing homes.

Methods: Before-and-after intervention study carried out in a minimum of 12 nursing homes in each of the eight European participating countries (Denmark, Greece, Hungary, Lithuania, Poland, Slovakia, Slovenia, and Spain). A mean of four professionals consisting of nurses, doctors, healthcare assistants, or healthcare helpers are actively involved in each nursing home. Over the initial three-month period, professionals in each nursing home are registering information on urinary tract infections as well as infection and prevention control measures by means of the Audit Project Odense method. The audit will be repeated after implementing a multifaceted intervention. The intervention will consist of feedback and discussion of the results from the first registration, training on the implementation of infection and prevention control techniques provided by experts, appropriateness of the diagnostic approach and antibiotic prescribing for UTIs, and provision of information materials on infection control and antimicrobial stewardship targeted to staff, residents, and relatives.

¹Fundació Institut Universitari per a la Recerca a l'Atenció Primària de Salut Jordi Gol Barcelona ES

²Department of Clinical Microbiology, Copenhagen University Hospital – Herlev and Gentofte Copenhagen DK

³University of Las Palmas de Gran Canaria Las Palmas de Gran Canaria ES

⁴Research Unit for General Practice, Department of Public Health, University of Southern Denmark Odense DK

⁵Research Unit for General Practice, Department of Public Health, University of Southern Denmark Center for General Practice, Aalborg University, Aalborg Odense DK

⁶Szeged Autumns Nursing Home Szeged HU

⁷University of Szeged Szeged HU

⁸University of Ljubljana Ljubljana SI

⁹Centre for Family and Community Medicine, the Faculty of Health Sciences, Medical University of Lodz Lodz PL

¹⁰Department of Clinical Pharmacology, Faculty of Medicine, Slovak Medical University Bratislava SK

¹¹Ltd Mano Seimos Gydytojas (My Family Doctor) Klapeida LT

¹²Clinic of Social and Family Medicine, School of Medicine, University of Crete Heraklion GR

¹³Spanish Society for Family and Community Medicine Barcelona ES

¹⁴European Union of Geriatric Medicine Society Vienna AT

Results: A total of 108 nursing homes have participated in the pilot study and the first registration audit. The results of the first audit registration are expected to be published in autumn of 2024. The final results will be published by the end of 2025.

Conclusions: This is a European Union-funded project aimed at contributing to the battle against antimicrobial resistance through improvement of the quality of management of common infections based on evidence-based interventions tailored to the nursing home setting and a diverse range of professionals. We expect an increase in the number of hygiene activities implemented, a reduction in the number of inappropriately managed UTIs, and a reduction in the number of UTIs after the intervention. Clinical Trial: European Commission (EU4H-2021-PJ-14), Grant Agreement No. 101079838.

(JMIR Preprints 01/05/2024:60099)

DOI: https://doi.org/10.2196/preprints.60099

Preprint Settings

- 1) Would you like to publish your submitted manuscript as preprint?
- ✓ Please make my preprint PDF available to anyone at any time (recommended).

Please make my preprint PDF available only to logged-in users; I understand that my title and abstract will remain visible to all users. Only make the preprint title and abstract visible.

- No, I do not wish to publish my submitted manuscript as a preprint.
- 2) If accepted for publication in a JMIR journal, would you like the PDF to be visible to the public?
- ✓ Yes, please make my accepted manuscript PDF available to anyone at any time (Recommended).

Yes, but please make my accepted manuscript PDF available only to logged-in users; I understand that the title and abstract will remain very Yes, but only make the title and abstract visible (see Important note, above). I understand that if I later pay to participate in - a href="http://example.com/above/participate">

Original Manuscript

TITLE

Improving antibiotic use in nursing homes by infection prevention and control and antibiotic stewardship (IMAGINE): Protocol for a before-and-after intervention and implementation study.

RUNNING TITLE

Improving antibiotic use in nursing homes.

AUTHORS

Ana García-Sangenís¹, Daniela Modena¹, Jette Nygaard Jensen², Athina Chalkidou², Valeria S. Antsupova², Tina Marloth², Anna Marie Theut², Beatriz González López-Valcárcel³, Fabiana Raynal³, Laura Vallejo³, Jesper Lykkegaard⁴, Malene Plejdrup Hansen^{4,5}, Jens Søndergaard⁴, Jonas Kanstrup Olsen⁴, Anders Munck⁴, András Balint⁶, Ria Benkő⁷, Davorina Petek⁸, Nina Sodja⁸, Anna Kowalczyk⁹, Maciej Godycki-Cwirko⁹, Helena Glasová¹⁰, Jozef Glasa¹⁰, Ruta Radzeviciene¹¹, Lina Jaruseviciene¹¹, Christos Lionis¹², Marilena Anastasaki¹², Agapi Angelaki¹², Elena Petelos¹², Laura Álvarez¹³, Marta Ricart¹³, Sergi Briones¹³, Georg Ruppe¹⁴, Ramon Monfà¹, Anders Bjerrum¹, Carl Llor¹⁵

¹Fundació Institut Universitari per a la Recerca a l'Atenció Primària de Salut Jordi Gol, Barcelona, Spain

²Department of Clinical Microbiology, Copenhagen University Hospital – Herlev and Gentofte, Copenhagen, Denmark

³University of Las Palmas de Gran Canaria, Las Palmas, Spain

⁴Research Unit for General Practice, Department of Public Health, University of Southern Denmark,

Odense, Denmark

⁵Center for General Practice, Aalborg University, Aalborg, Denmark

⁶Szeged Autumns Nursing Home, Szeged, Hungary

⁷University of Szeged, Szeged, Hungary.

⁸University of Ljubljana, Ljubljana, Slovenia

⁹Centre for Family and Community Medicine, the Faculty of Health Sciences, Medical University of

Lodz, Lodz, Poland

¹⁰Department of Clinical Pharmacology, Faculty of Medicine, Slovak Medical University, Bratislava,

Slovakia

¹¹Ltd Mano Seimos Gydytojas (My Family Doctor), Klapeida, Lithuania

¹²Clinic of Social and Family Medicine, School of Medicine, University of Crete, Heraklion, Greece

¹³Spanish Society for Family and Community Medicine, Barcelona, Spain

¹⁴European Union of Geriatric Medicine Society, Vienna, Austria

¹⁵Institut Català de la Salut, Barcelona, Spain

Address for correspondence

Carl Llor

Via Roma Health Centre, Institut Català de la Salut

c. Manso, 19, 3rd floor

08015 Barcelona, Spain

Tel. +34644673590

E-mail: carles.llor@gmail.com

ABSTRACT

Background: Despite the extensive utilization of antibiotics and the growing challenge of antimicrobial resistance, there has been a lack of substantial initiatives aimed at diminishing the prevalence of infections in nursing homes and enhancing the detection of urinary tract infections (UTIs).

Objective: This study aims to systematise and enhance efforts to prevent healthcare associated infections, mainly UTIs and reduce antibiotic inappropriateness by implementing a multifaceted intervention targeting healthcare professionals in nursing homes.

Methods: Before-and-after intervention study carried out in a minimum of 12 nursing homes in each of the eight European participating countries (Denmark, Greece, Hungary, Lithuania, Poland, Slovakia, Slovenia, and Spain). A mean of four professionals consisting of nurses, doctors, healthcare assistants, or healthcare helpers are actively involved in each nursing home. Over the initial three-month period, professionals in each nursing home are registering information on urinary tract infections as well as infection and prevention control measures by means of the Audit Project Odense method. The audit will be repeated after implementing a multifaceted intervention. The intervention will consist of feedback and discussion of the results from the first registration, training on the implementation of infection and prevention control techniques provided by experts, appropriateness of the diagnostic approach and antibiotic prescribing for UTIs, and provision of information materials on infection control and antimicrobial stewardship targeted to staff, residents, and relatives.

Results: A total of 108 nursing homes have participated in the pilot study and the first registration audit. The results of the first audit registration are expected to be published in autumn of 2024. The final results will be published by the end of 2025.

Conclusions: This is a European Union-funded project aimed at contributing to the battle against antimicrobial resistance through improvement of the quality of management of common infections based on evidence-based interventions tailored to the nursing home setting and a diverse range of professionals. We expect an increase in the number of hygiene activities implemented, a reduction in the number of inappropriately managed UTIs, and a reduction in the number of UTIs after the intervention.

Protocol registration: European Commission (EU4H-2021-PJ-14), Grant Agreement No. 101079838.

Key words: Antimicrobial Stewardship; Medical Audit; Hygiene; Anti-Bacterial Agents; Quality Improvement; Nursing Homes; Health Personnel; Drug Resistance, Microbial; Frail Elderly.

INTRODUCTION

Life expectancy has been steadily increasing in the European Union (EU). Population projections estimate that by 2050 the old-age dependency ratio, calculated as the number of individuals aged over 65 years per 100 people of working age, will reach 50% [1]. The rise in the ageing population and the pressure on the healthcare provision systems across European countries has led to reductions in hospital beds and more patient care being provided in long-term care facilities [2]. In 2019 there were an estimated 2.9 million residents in such facilities, corresponding to approximately 0.7% of the total population in the EU.

A nursing home is a public or private residential facility providing a high level of long-term personal and nursing care for old persons who are unable to properly care for themselves. Residents in nursing homes are more prone to frequent and severe infections [3]. In general, infections are highly prevalent among older people, especially those living in nursing homes, as they are more vulnerable to urinary tract infections (UTI), respiratory tract infections, and acute bacterial skin- and soft tissue infections [4]. Microorganisms can be found on residents, relatives, and friends, on surfaces in the facility, and even on the hands or gloves of healthcare professionals (HCP) and medical equipment. Without appropriate and adequate cleaning and disinfection methods, the germs may spread to other residents and the environment. In younger healthy individuals, the immune system fights off germs and prevents infection; however, the declining ability of the body and immune systems to resist germs makes older people more susceptible to infection and they can become infected more easily [5].

Antibiotic overprescribing for infectious diseases is high in nursing homes. In a recent project on the prevalence of antimicrobial agents in 3,052 long-term care facilities in 24 EU countries in 2016–17, 4.9% of the residents had received at least one antimicrobial agent on the day of the survey [6]. This study also showed that for a 1% increase in the proportion of residents over 85 years of age, the prevalence of antimicrobial use increased by 5%. In addition, approximately 30% of the antibiotics prescribed were given prophylactically, and most were given inappropriately, with a wide variation across European countries. Furthermore, the urinary tract was the most common body site for which antimicrobials are prescribed, with nearly 50% of the cases, and according to the authors, at least 60% of these antimicrobials prescribed for UTI were inappropriate, leading to a spread of multidrug-resistant uropathogens [6]. As a result, Watch and Reserve broad-spectrum antibiotics, as defined by the World Health Organization (WHO) Access, Watch, Reserve (AWaRe) classification [7], are commonly given to residents with these infections, perpetuating the problem of antimicrobial resistance (AMR), which is accompanied with higher morbidity, mortality, as well as health, intersectoral and societal costs [8].

In nursing homes antibiotic prescribing decisions are known to be complex and influenced by many social and organisational factors [9-11]. Overdiagnosis of common infections largely explains antibiotic overuse for these infections. A review of four randomised controlled trials of interventions aimed at improving the diagnosis of UTIs in nursing home residents found that, despite being heterogeneous, the interventions used were to some extent successful, but there was no evidence that an intervention was feasible or sustainable following trial completion [12]. Several decision tools have been developed for the diagnosis of UTIs in nursing home residents to assist clinicians in identifying symptomatic UTI [13,14]. The overarching message is that there are no precise diagnostic rules for identifying UTI among elderly individuals in nursing homes. In general, there is agreement that non-specific symptoms alone, such as changes in mental or functional status or changes in urine character, including bad smell, colour, or sediment, should not be used to diagnose

UTI and that a diagnosis of UTI in nursing home residents should not be made on urinalysis or urine culture without UTI-specific symptoms.

Antibiotic-resistant bacteria have the potential to spread between individuals through direct or indirect contact. Therefore, the implementation of infection prevention and control (IPC) strategies plays a crucial role in reducing the risk of infection [15]. These strategies not only contribute to a decrease in the number of infections but also effectively and efficiently reduce antimicrobial consumption, thereby limiting opportunities for misuse [16]. It is essential to emphasize the significance of infection prevention, biosecurity measures, and control practices in managing all infectious microorganisms, as they minimize the reliance on antimicrobials and, consequently, hinder the development and spread of resistance [17]. Unfortunately, there is a general lack of IPC measures and antimicrobial stewardship (AMS) programs in nursing homes across Europe [6]. In addition, certain European countries exhibit weak interprofessional collaboration and inadequate integration of health and social care delivery, as evidenced during the COVID-19 pandemic [18].

In summary, in the effort to urgently decelerate the development of AMR, there is a need for evidence-based nursing home—focused AMS policies and IPC strategies aimed at preventing and controlling the spread of infections, as well as promoting appropriate antibiotic use across healthcare settings. We hypothesize that a multifaceted intervention targeting nursing home HCPs will increase the prevention of infections, mainly UTIs, and reduce inappropriate antibiotic utilisation.

METHODS

Study Design

This is a before-and-after intervention study in HCPs working in nursing homes. We are using the Audit Project Odense (APO) methodology [19], as the strategy to implement the multifaceted actions suggested in point 4.2 of the EU AMR Guidelines for long-term care facilities states [16]: 'establish

a multi-faceted approach which includes elements such as education of nursing and medical staff, audits of antimicrobial use, feedback to the prescribers, and targeting identified areas of antimicrobial overuse and misuse'. The IMAGINE project was initiated in January 2023 and is planned to finish in December 2025. The consortium includes 12 partners from nine countries, as indicated in Table 1.

Aims

The primary objectives of this study (IMAGINE project) are aimed at increasing the IPC elements implemented by 50% and decreasing the incidence of inappropriate antibiotic consumption for UTIs by 40%, with a subsequent 20% reduction in the incidence of UTIs. Different secondary objectives are planned: 1) Reduction in inappropriate use of urinary catheters in nursing home residents; 2) Reduction in the percentage of inappropriate antibiotic use for the prophylaxis of UTIs; 3) Reduction in the number of Watch and Reserve antibiotics from the WHO AWaRe classification; 4) Improvement in the satisfaction of nursing home HCPs, residents and relatives; and 5) Reduction in the incidence of healthcare associated infections treated by ATB others than UTI; and 6) Reduction in the percentage of hospital admissions.

Setting

We are carrying out interventions in nursing homes in eight European countries: Denmark, Greece, Hungary, Lithuania, Poland, Slovakia, Slovenia, and Spain. In each country, at least 12 nursing homes participate and a mean of four HCPs in each nursing home are actively involved. Different types of professionals are involved in the healthcare of residents in the nursing homes: registered nurses, doctors that can be external or working in the facility part-time, healthcare assistants, or healthcare helpers. The participating nursing homes select the HCPs of their setting who actively take part in the study. These HCPs will, in turn, become trainers in their own nursing home after the intervention meetings.

Project Methodology

A point prevalence audit is scheduled to assess the outcomes of the multifaceted intervention. The audit is conducted in two separate 3-month periods: one before the intervention and another after. The initial registration occurred from February to April 2024, prior to the intervention, while the second registration will take place from February to April 2025, after the intervention (Figure 1). Throughout the audit, HCPs record anonymised information on UTIs antibiotic prescriptions on a chart. Sometime during the audit registration participants are asked to also register the IPC measures in place in the nursing homes. Between the first and second audit, participants will attend a multifaceted intervention aimed at improving the prevention and management of infections in nursing homes (November 2024). The intervention and the data collection sheets are specifically designed for the study, based on preliminary work conducted in 2023 (see below).

Context Analysis

A preliminary study was conducted to identify potential areas for improvement in nursing homes across the eight participating countries. The study involved a context analysis, which included interviews with HCPs working in nursing homes. These interviews focused on IPC practices, as well as antibiotic management. The aim is to explore the factors that contribute to the spread of infections within the target organizations.

Qualitative analysis was utilised to incorporate the perspectives of various nursing home HCPs in the development of educational materials. These materials facilitate communication between HCPs, residents, and their relatives when discussing the necessity and appropriate use of antibiotics for common infections. The analysis also helps identify any gaps in information that need to be addressed during the multifaceted intervention. Local coordinators within the eight target countries of the IMAGINE project have contacted nursing home HCPs, who serve as the panel of experts for prioritizing areas of improvement. Their expertise guides the project's focus on enhancing practices

in nursing homes.

Data Collection

A specific registration chart has been developed considering the characteristics and the quality improvement areas identified during the preparatory study performed in 2023. During the audit period the participants are using the APO registration chart developed to record all the antibiotic prescriptions made in the nursing home. At most, ten main groups and a maximum of 45 variables are used to describe the topic being investigated [19]. The main groups are lined up in a logical way, for example: focus of infection, symptoms, indwelling urinary catheter, diagnostic tests, treatment, referral or not. The variables are exhaustive (include all possibilities) and exclusive (no overlapping), and all are in the same logic plan. One line is filled in for each case. As a rule, only ticks are allowed (no writing). At least one tick per main group is needed. Figure 2 depicts the definitive template used by IMAGINE. A short instruction sheet (maximum one page) has been provided for all participants. The instruction sheet specifies the registration period, the inclusion and exclusion criteria for the cases and briefly explains the content of each main group. Information about where to return the completed charts was given. A local contact person from the national teams is available for questions from the participants [19]. A pilot test of the chart was performed between October – November 2023 with three to five nursing home HCPs per country to ensure that the content of the registration chart was easily understood and to confirm that enough cases are available.

Multifaceted Intervention

Interventions that are expected to have the highest likelihood of success appear to align with the constructs of the Normalization Process Theory (NPT), which explain the mechanisms of implementation [20]. These constructs include coherence (making sense of interventions), cognitive participation (engagement with the intervention), collective actions (enabling the intervention to take place), and reflexive monitoring (evaluating the costs and benefits). In the IMAGINE project, the

multifaceted intervention aims to address all dimensions of the NPT. It will achieve this by facilitating open discussions regarding variations in practice behaviours and by strengthening communication between HCPs, residents, and their families. Through these efforts, the intervention seeks to enhance the understanding and acceptance of the intervention among all stakeholders involved.

The multifaceted intervention will be composed by face-to-face and online activities, the latter in an asynchronous manner, enabling nursing home HCPs to engage in these activities at their own convenience. The main part of the training will be a 2-day intervention programme consisting of: 1) Presentation of the first audit results and discussion with the rest of their colleagues in their respective countries, allowing self-reflection of their performance and discussing quality indicators associated with their practice; 2) AMR and IPC elements, with the participation of national experts; 3) Discussion on AMS on how to improve the diagnosis of UTIs and the role of antibiotics for these infections; and 4) Discussion of best practice case studies and practical issues.

The courses will be based on interactive discussion among participants, with the utilisation of videos, leaflets, online material, and role-playing, allowing fruitful discussion. This intervention will be recorded and adapted to an online environment. All material created for the intervention will be used to achieve three main objectives: 1) Reinforcing the training of the HCPs, allowing them to re-visit concepts whenever they need them; 2) Training of the rest of the nursing home staff on IPC measures and AMS; 3) After the end of the project, training of HCPs in other nursing homes in the country.

The intervention will be tailored to the nursing home setting. Achieving a significant behavioural change among multiple HCPs is essential for improving antibiotic prescribing in this population. To accomplish this, we will employ the Participatory Action Research (PAR) methodology, which actively involves HCPs in the implementation of IPC and AMS interventions that are customized to their specific healthcare settings. This approach takes into account the local barriers and facilitators

that may affect the successful implementation of these interventions [21]. The primary objective of PAR is to generate practical knowledge that can be readily applied in local practice. By employing this methodology, we aim to gain a better understanding of the complex decision-making process related to IPC practices and suspected healthcare-associated infections among frail elderly residents in nursing homes. It is important to consider the diverse elderly care settings across the various target countries, and PAR will help us navigate this heterogeneity [22].

National HCPs and stakeholders will participate to identify factors in the nursing home setting that contribute to increased risk of spread of infection and to determine national characteristics of nursing home management, diagnosis and antibiotic prescription practices for nursing home residents and elderly patients with symptoms of infection. They will also work together to identify quality improvement areas related to hygiene, infection prevention and inappropriate use of antibiotics; define priorities to be included when developing the educational material that will be used for facilitating communication between HCPs, residents, and relatives; and define an algorithm to be used for analysing antibiotic inappropriateness in the different types of healthcare-associated infections.

Data Analysis Plan

The data collected in the specific registration charts have a primary function at the individual level of health professionals, i.e., helping them to improve their practice by reconsidering their treatment choices in light of clinical evidence, guidelines, and professional consensus. The second function is to analyse the data to evaluate the effect of the intervention. In each audit, data are recorded for all antibiotics prescribed during the three-month period. We aim to record a minimum of 50 cases in each of the 12 nursing homes recruited in each country (96 in total), yielding an approximate number of 4,800 cases in each registration period.

We will apply the Chi-square test to determine the frequencies and appropriateness of prescriptions

before and after the intervention and Student t-test for the number of hygiene elements implemented in the nursing homes to test the null hypothesis of no effect of the interventions. To measure the potential reduction in UTI infections, we will assume that antibiotics are always prescribed for these infections and every antibiotic is associated with a new episode of UTI [23]. The number of hygiene elements implemented will be compared with a threshold that will be defined during the PAR analysis. The appropriateness of antibiotic prescriptions will be defined according to an algorithm based on symptoms and patient characteristics that will be developed at the start of the project. We will also estimate the effect of the interventions on inappropriate prescriptions for UTIs using multilevel logistic regression models with two levels: cases and HCP participants, to allow for the fact that patients belonging to the same HCP are not independent of each other. Statistical significance will be considered with p-values<0.05. The data will be analysed using the current version of the Stata statistical program (Stata v18).

Data Management

The collection of the audit data is performed on paper. The registration templates will be stored securely in in each participating nursing home and will be accessible only by study staff and authorised personnel. Charts will be transferred electronically to the APO service provider to be entered in a database. Data from residents will be anonymously collected from the beginning and no identifiable or personal information will be gathered. Nursing homes will be identified by a code. Qualitative interviews will be audio recorded, transcribed, and translated, and any identifiable information will be deleted in the process. Translations will be transferred securely to the organisation in charge of analysing the results. Anonymised data from the survey will be stored for a minimum of five years after publication of the results. Anonymised project data will be shared for common analyses and presentation to the scientific community through publications and conferences.

Ethical Considerations

The study is conducted in accordance with the protocol, the Declaration of Helsinki, the principles of Good Clinical Practice, The General Data Protection Regulation (EU) 2016/679 and the Human Research Act as well as other locally relevant regulations. Appropriate Regulatory/Ethical approval has been sought in each of the countries taking part in the study, and all study procedures started after gaining approval on the basis of the master protocol, translated where necessary to local language. In Spain, the coordinating country, the study protocol was approved by the Ethics Committee of IDIAP Jordi Gol, Institute of Research in Primary Health Care (ref. 23/080-P).

Nursing homes have been contacted by local coordinators that have explained the study and handed over the information, giving the nursing home time to read it and ask any questions. Informed consent was obtained from all participants. HCPs are participating voluntarily in this quality improvement project. No personnel data are collected from either HCPs or patients. A nursing home can withdraw from the project at any time without giving any explanation. The information gathered from the residents' prescriptions will be personally non identifiable data and we will collect the minimum data set necessary for the study. Data are anonymised from the beginning, coded in the template with the code of the nursing home and a consecutive number for each antibiotic treatment. Individual patient consent is therefore not required.

RESULTS

The local coordinators in each of the countries have already contacted all the nursing homes participating in the IMAGINE project, with a total of 108 nursing homes. All these nursing homes have already completed the first audit registration. The results of the first audit registrations will be available before the intervention takes place in November 2024. The overall results of the IMAGINE project will be available after summer 2025 and the results published by the end of 2025.

DISCUSSION

Overview

AMR is a global health and development threat, which disproportionately affects most vulnerable people and critically, frail elderly people living in long-term care facilities. The increasing concern about the emergence of AMR in nursing homes necessitates a cohesive and systematic approach to address challenges across very heterogenous settings and diverse healthcare delivery systems, already under pressure. Additional challenges result in a confluence of risks for colonization or infection with multidrug-resistant pathogens among residents, rendering nursing homes potential reservoirs for AMR [24]. Infections caused by antibiotic-resistant bacteria are associated with a greater need for medical visits, more hospital admissions, higher mortality, and higher economic, intersectoral and societal costs [25,26]. Implementing hygiene strategies and reducing the unnecessary prescription of antibiotics has been shown to be the most effective measure to curb the problem of AMR [27].

The IMAGINE study aims to address gaps related with AMS and IPC elements through an intervention targeting HCPs to enhance their adherence to good clinical practices. Importantly, this study poses no risks to the HCPs or the residents whose treatment data will be collected. The primary objectives of the study are to promote appropriate diagnosis and treatment of common infections, with a particular emphasis on UTIs, as well as to implement hygiene protocols in nursing homes. The intervention will employ various techniques, including providing feedback to HCPs in nursing homes, conducting follow-up meetings, facilitating discussions on guidelines, offering training courses, and distributing informative and educational materials to residents and their relatives.

Furthermore, the IMAGINE study encompasses eight European countries that exhibit diverse prevalence of antibiotic resistance, distinct cultural backgrounds, varying socioeconomic indices, and

different healthcare systems. This broad scope increases the applicability of the study findings to a wide range of settings. The final results of this quality improvement project will be submitted for publication in a peer-reviewed journal and presented at international scientific conferences. Ultimately, these findings will inform public health interventions aimed at promoting appropriate antibiotic prescribing and implementing effective hygiene strategies in nursing homes.

Limitations

The utilization of the APO method has certain limitations. One notable weakness is the lack of external validity associated with the collected data. Since nursing home HCPs participate voluntarily, their prescribing habits may not accurately represent the average antibiotic use in all nursing homes within their country. Additionally, the nursing homes involved in the audits might exhibit a greater interest in quality improvement and potentially employ more rational antibiotic practices compared to non-participating nursing homes [28]. Another aspect to consider is the potential influence of conducting an audit on providers' prescribing behaviours. The HCPs are aware of being observed, which can lead to what is known as the Hawthorne effect. It is important to note that this study is not a clinical trial and lacks a control group, which could be considered a limitation. However, the study will compare the same participants before and after the intervention.

Participation in a quality improvement project can pose a barrier due to the required time commitment. While completing a registration takes less than two minutes, HCPs need to allocate time for educational courses and other planned activities associated with the intervention. Another weakness is the cross-sectional nature of the APO method. The variables included in the registration chart are aligned with the consultation process, and ideally, the decision to treat should follow the establishment of a diagnosis. However, in practice, diagnostic procedures and treatment decisions are often intertwined [29]. HCPs may determine whether to prescribe a medication concurrently or even prior to establishing a diagnosis. Consequently, clinicians may adjust the diagnosis to align with the

treatment decision, potentially introducing a diagnostic misclassification bias. Nevertheless, this potential bias would impact the validity of the diagnosis both before and after the intervention, with minimal likelihood of influencing the intervention's effectiveness.

Due to time constraints in the registration process and limitations on the maximum number of columns in the APO chart, only the typical signs and symptoms of UTIs are collected. This may result in certain limitations, as non-biomedical factors that could serve as powerful predictors of antibiotic prescriptions are not considered in this study. This restricts the definition of antibiotic appropriateness in our study, as it is based on the collected items in the registration charts.

CONCLUSION

Despite the widespread use of antibiotics and the increasing development of AMR, there have been limited efforts to reduce the occurrence of common infections in nursing homes and improve the diagnosis of UTIs. Moreover, there is a lack of initiatives focused on implementing measures related to hygiene and reducing the inappropriate use of antibiotics in this setting. This protocol has summarised the rationale and design of a before-and-after intervention study aimed at evaluating the impact of a multifaceted intervention on antimicrobial use for UTIs among residents in nursing homes, its appropriateness and the elements of hygiene implemented.

ACKNOWLEDGMENTS

We would like to acknowledge the contribution of the other members of the IMAGINE project: Lars Bjerrum from the University of Copenhagen; Asbjørn Tang Hall, Susanne Døssing Berntsen, Nina Camilla Døssing-Poulsen, and Jørgen Nexøe from RUPO; Ana Squaglia, from the University of Las Palmas; Maria-Nefeli Karkana, from University of Crete, and Ana Moragas and Rosa Morros Pedrós, from IDIAP.

Co-funded by the European Union's EU4H Programme, Grant Agreement number 101079838. The

funding organisation had no role in study design or concept or approval of manuscript. Views and

opinions expressed are, however, those of the author(s) only and do not necessarily reflect those of

the European Union or HADEA. Neither the European Union nor the granting authority can be held

responsible for them.

DATA AVAILABILITY

All open results from IMAGINE are expected to be stored in an Open Access repository and will

also be available at the webpage of the project.

AUTHORS' CONTRIBUTIONS

AGS, JNJ, JK, MPH, ABj, and CLl conceived the study. CLl drafted the manuscript. BGLV, FR, and

LV were responsible for the statistical analyses. AGS, MPH, JNJ, AC, VSA, TM, BGLV, FR, LV, JL,

MPH, JS, AK, CLi, MA, AA, and EP provided critical revisions. AGS, DM, JNJ, AC, VSA, TM,

AMT, BGLV, FR, LV, JL, MPH, JS, JKO, AM, RB, ABa, DP, NS, AK, MGC, HG, JG, RR, LJ, CLi,

MA, AA, EP, GR, LA, MR, SB, ABj, RM, and C.Ll read, commented, reviewed, and approved the

final manuscript.

CONFLICTS OF INTEREST

JS has been member of advisory boards for SmithKlinePharma a/s, Roche, Roche Diagnostics and

Novo Nordisk A/s, but none of those are relevant for this publication. The rest of the authors declare

no competing interests.

ABBREVIATIONS

AMR: antimicrobial resistance

AMS: antimicrobial stewardship

APO: Audit Project Odense

AwaRe: Access, Watch, Reserve

EU: European Union

HCP: healthcare professional

IPC: infection prevention and control

NPT: normalization process theory

PAR: participatory action research

UTI: urinary tract infections

WHO: World Health Organization

REFERENCES

- European Union (EU) Eurostat. Old-age dependency ratio increases across EU regions. Europe:
 EU Eurostat, 2021. URL: https://ec.europa.eu/eurostat/en/web/products-eurostat-news/-/edn-20210930-1
- European Union (EU) Eurostat. Healthcare resource statistics beds in 2019. Europe: EU
 Eurostat; 2022. URL: https://ec.europa.eu/eurostat/statistics-explained/index.php?
 title=Healthcare resource statistics beds
- 3. Montoya A, Mody L. Common infections in nursing homes: a review of current issues and challenges. Aging Health. 2011;7(6):886–899.
- 4. Beckett CL, Harbarth S, Huttner B. Special considerations of antibiotic prescription in the geriatric population. Clin Microb Infect. 2015;21(1):3–9.
- 5. Kline KA, Bowdish DM. Infection in an aging population. Curr Opin Microbiol. 2016;29:63–67.
- 6. Ricchizzi E, Latour K, Kärki T, Buttazzi R, Jans B, Moro ML, et al; The Halt Study Group. Antimicrobial use in European long-term care facilities: results from the third point prevalence survey of healthcare-associated infections and antimicrobial use, 2016 to 2017. Euro Surveill.

- 2018;23(46):1800394.
- 7. World Health Organization. 2021 AWaRe classification: WHO access, watch, reserve, classification of antibiotics for evaluation and monitoring of use; 2021. Accessed 21 September from https://www.who.int/publications/i/item/2021-aware-classification
- 8. OECD Health Policy Studies. Health and economic burden of antimicrobial resistance, in Stemming the Superbug Tide: Just A Few Dollars More, OECD Publishing, Paris, 2019. URL: https://doi.org/10.1787/9789264307599-7-en
- 9. van Buul LW, Vreeken HL, Bradley SF, Crnich CJ, Drinka PJ, Geerlings SE, et al. The development of a decision tool for the empiric treatment of suspected urinary tract infection in frail older adults: a Delphi consensus procedure. J Am Med Dir Assoc. 2018;19(9):757–764.
- 10. Mayne S, Sundvall PD, Gunnarsson R. Confusion strongly associated with antibiotic prescribing due to suspected urinary tract infections in nursing homes. J Am Geriatr Soc. 2018;66(2):274–281.
- 11. van Buul LW, van der Steen JT, Doncker SMMM, Achterberg WP, Schellevis FG, Veenhuizenet RB, et al. Factors influencing antibiotic prescribing in long-term care facilities: a qualitative indepth study. BMC Geriatr. 2014;14:136.
- 12. Mylotte JM. Decision tools and studies to improve the diagnosis of urinary tract infection in nursing home residents: A narrative review. Drugs Aging. 2021;38(1):29–41.
- 13. Loeb M, Bentley DW, Bradley S, Crossley K, Garibaldi R, Gantz N, McGeer A, Murder RR, Mylotte J, Nicole LE, et al. Development of minimum criteria for the initiation of antibiotics in residents of long-term care facilities: results of a consensus conference. Infect Control Hosp Epidemiol. 2001;22(2):120–4.
- 14. Hughes C, Ellard DR, Campbell A, Potter R, Shaw C, Gardner E, et al. Developing evidence-based guidance for assessment of suspected infections in care home residents. BMC Geriatr. 2020;20(1):59.

15. World Health Organization. WHO launches first ever global report on infection prevention and control, 6 May 2022. URL: https://www.who.int/news/item/06-05-2022-who-launches-first-ever-global-report-on-infection-prevention-and-control

- 16. Directorate-General for Health and Food Safety. EU Guidelines for the prudent use of antimicrobials in human health (2017/C 212/01). URL: https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A52017XC0701%2801%29
- 17. European Commission. Communication from the commission to the council and the European parliament. A European One Health Action Plan against Antimicrobial Resistance (AMR). URL: https://health.ec.europa.eu/system/files/2020-01/amr 2017 action-plan 0.pdf
- 18. Lindner S, Kubitschke L, Lionis C, Anastasaki M, Kirchmayer U, Giacomini S, et al; VIGOUR consortium. Can integrated care help in meeting the challenges posed on our health care systems by COVID-19? Some preliminary lessons learned from the European VIGOUR project. Int J Integr Care. 2020;20(4):4.
- 19. Plejdrup Hansen M, Lykkegaard J, Søndergaard J, Munck A, Llor C. How to improve practice by means of the Audit Project Odense method. Br J Gen Pract. 2022;72(718):235–236.
- 20. Lau R, Stevenson F, Ong BN, Dziedzic K, Treweek S, Eldridge S, et al. Achieving change in primary care—effectiveness of strategies for improving implementation of complex interventions: systematic review of reviews. BMJ Open. 2015;5(12):e009993.
- 21. van Buul LW, Sikkens JJ, van Agtmael MA, Kramer MHH, van der Steen JT, Hertogh CM. Participatory action research in antimicrobial stewardship: a novel approach to improving antimicrobial prescribing in hospitals and long-term care facilities. J Antimicrob Chemother. 2014;69(7):1734–1741.
- 22. Lionis C, Papadakaki M, Saridaki A, Dowrick C, O'Donnell CA, Mair FS, et al. Engaging migrants and other stakeholders to improve communication in cross-cultural consultation in primary care: a theoretically informed participatory study. BMJ Open. 2016;6(7):e010822.

23. Bader MS, Loeb M, Leto D, Brooks AA. Treatment of urinary tract infections in the era of antimicrobial resistance and new antimicrobial agents. Postgrad Med. 2020;132(3):234–250.

- 24. Cassone M, Mody L. Colonization with multi-drug resistant organisms in nursing homes: scope, importance, and management. Curr Geriatr Rep. 2015;4(1):87–95.
- 25. Antimicrobial Resistance Collaborators. Global burden of bacterial antimicrobial resistance in 2019: a systematic analysis. Lancet. 2022;399(10325):629–655.
- 26. Friedman ND, Temkin E, Carmeli Y. The negative impact of antibiotic resistance. Clin Microbiol Infect. 2016;22(5):416–422.
- 27. Dumyati G, Stone ND, Nace DA, Crnich CJ, Jump RLP. Challenges and strategies for prevention of multidrug-resistant organism transmission in nursing homes. Curr Infect Dis Rep. 2017;19(4):18.
- 28. Strandberg EL, Ovhed I, Troein M, Håkansson A. Influence of self-registration on audit participants and their nonparticipating colleagues. A retrospective study of medical records concerning prescription patterns. Scand J Prim Health Care. 2005;23(1):42–46.
- 29. Howie JGR. Diagnosis The Achilles heel? J R Coll Gen Pract. 1972;22(118):310–315.

Table 1. Partners in IMAGINE.

Participant organisation name	Abbreviatio	Role
	n	
Institut Català de la Salut and Fundació Institut	ICS/IDIAP	Coordinator of the project.
Universitari per a la Recerca a l'Atenció		Spanish coordinator
Primària de Salut Jordi Gol, Spain		
The Capital Region of Denmark	CAP	Preparation of materials for intervention. Danish coordinator
Research Unit for General Practice Odense,	RUPO	APO methodology
Denmark		
Szeged Autumns Nursing Home, Hungary	SAN	Hungarian coordinator
University of Ljubliana, Slovenia	UOL	Slovenian coordinator
Medical University of Lodz, Poland	MUL	Polish coordinator
Slovak Medical University in Bratislava,	SMU	Slovak coordinator
Slovakia		
Ltd Mano Seimos Gydytojas (My Family	FDC	Lithuanian coordinator
Doctor)		
University of Crete, Greece	UOC	Greek coordinator
University of Las Palmas de Gran Canaria and	ULPGC/	Analysis and evaluation of
Fundación Canaria Parque Científico	FCPCT	the results
Tecnológico		
Spanish Society for Family and Community	SEMFYC	Dissemination and training
Medicine		in communication skills

European Union of Geriatric Medicine Society	EUGMS	In charge of dissemination
		of results

FIGURES

Figure 1. Audit Project Odense cycle in the IMAGINE project.

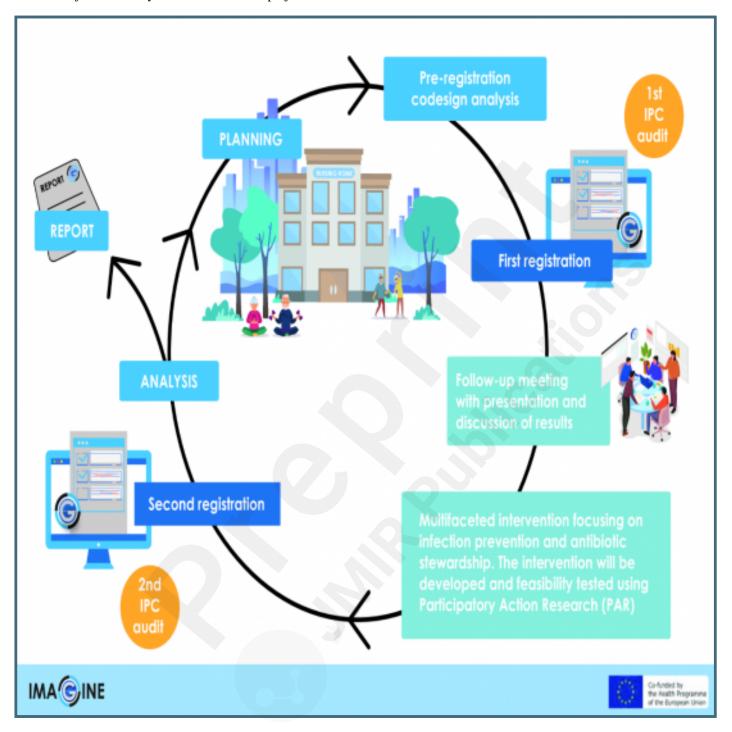
Figure 2. Template used in the IMAGINE project*

*For patients receiving antibiotics. Subject to subsequent changes that may arise during the pilot study.

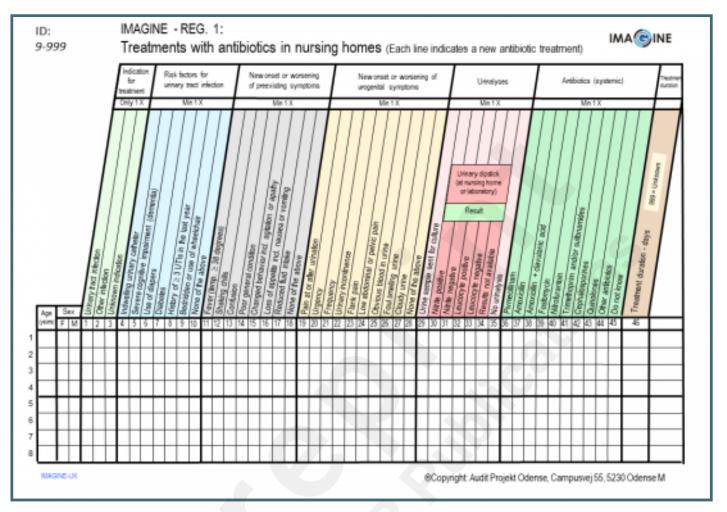
Supplementary Files

Figures

Audit Project Odense cycle in the IMAGINE project.



Template used in the IMAGINE project* *For patients receiving antibiotics. Subject to subsequent changes that may arise during the pilot study.



Multimedia Appendixes

Funder letter.

 $URL: \ http://asset.jmir.pub/assets/4e21381e2a9f07b5e0a37e4fb6e526ac.pdf$

CONSORT (or other) checklists

STROBE checklist.

URL: http://asset.jmir.pub/assets/0e0291d6925a6e3db1de1fcf6b747acd.pdf