

# **Scoping review of dashboards in health care: why, how, and for whom they have been developed, implemented, and evaluated**

Danielle Helminski, Jeremy B Sussman, Paul N Pfeiffer, Alex N Kokaly, Allison Ranusch, Anjana Deep Renji, Laura J Damschroder, Zach Landis-Lewis, Jacob E Kurlander

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Table of Contents

Original Manuscript..... 5

Supplementary Files..... 61

    Multimedia Appendixes ..... 62

        Multimedia Appendix 1..... 62

        Multimedia Appendix 2..... 62

        Multimedia Appendix 3..... 62

CONSORT (or other) checklists..... 63

    CONSORT (or other) checklist 0..... 63

TOC/Feature image for homepages ..... 64

    TOC/Feature image for homepage 0..... 65

# Scoping review of dashboards in health care: why, how, and for whom they have been developed, implemented, and evaluated

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## Abstract

**Background:** While dashboards are frequently used by health systems to monitor and improve performance, little is known about their characteristics and the processes used to incorporate them into practice.

**Objective:** This scoping review aimed to explore published literature on health care dashboards to summarize why, how, and for whom they have been developed, implemented, and evaluated.

**Methods:** MEDLINE, EMBASE, Web of Science and the Cochrane Library were searched from inception through July 2020. Studies were included if they described the development or evaluation of a health care dashboard with publication since 2015. Clinical setting, purpose (categorized as clinical, administrative, or both), and end-user were extracted for all studies. Data on dashboard development, design characteristics, implementation strategies, and evaluation were extracted only for studies published from 2018-2020.

**Results:** Data was extracted for 199 dashboards described in 193 publications. The most common settings in which dashboards were used were inpatient (n=81/199, 40.7%) and outpatient clinics (n=68/199, 34.2%). Most dashboards had 2 stated purposes (n=142/199, 71.4%); of these, 97/199 (48.7%) were administrative, 68/199 (34.2%) were clinical, and 31/199 (15.6%) met both criteria. Most dashboards included front-line clinical staff as end-users (n=154/199, 77.4%). In the 118 dashboards published from 2018-2020, half involved end-users in the design process (n=59/118, 50.0%); fewer described formative usability testing (n=26/118, 22.0%) or use of any theory or framework to guide development, implementation, or evaluation (n=24/118, 20.3%). Dashboards tended to be web-based (n=41/118, 34.7%) or embedded directly into the electronic health record (n=17/118, 14.4%). Common implementation strategies used alongside dashboards included education (n=60/118, 50.8%), audit and feedback (n=59/118, 50.0%), and advisory boards (n=54/118, 45.8%). Evaluations of dashboards (n=84/118, 71.2%) were mostly quantitative (n=60/118, 50.8%), or mixed methods, combining dashboard or health record data with qualitative findings (n=18/118, 15.2%).

**Conclusions:** Dashboards in health care have been used in diverse settings, for multiple purposes and varied end users. The complexity of many dashboards – serving many purposes and end users at once – and the frequent expectation that clinicians will use them underscores the importance of systematic design and evaluation to ensure they are having the intended outcomes. Future work is needed to identify best practices for the development, implementation, and evaluation of health care dashboards.

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## Original Manuscript

**Review Title**

Scoping review of dashboards in health care: why, how, and for whom they have been developed, implemented, and evaluated

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**Author Contributions**

DH – Study concept and design, database management, screening of identified articles, data extraction, data analysis, project management, drafting of manuscript.

JBS – Study concept and design, project management, drafting of manuscript.

PNP – Study concept and design and critical revision of the manuscript.

ANK – Screening of identified articles, data extraction, and critical revision of the manuscript.

AR – Screening of identified articles, data extraction, and critical revision of the manuscript.

ADR – Screening of identified articles, and critical revision of the manuscript.

LJD – Study concept and design and critical revision of the manuscript.

ZLL – Study concept and design and critical revision of the manuscript.

JEK – Study concept and design, data analysis, project management, drafting of manuscript.



## Abstract

### Background

While dashboards are frequently used by health systems to monitor and improve performance, little is known about their characteristics and the processes used to incorporate them into practice.

### Objective

This scoping review aimed to explore published literature on health care dashboards to summarize why, how, and for whom they have been developed, implemented, and evaluated.

### Methods

MEDLINE, EMBASE, Web of Science and the Cochrane Library were searched from inception through July 2020. Studies were included if they described the development or evaluation of a health care dashboard with publication since 2015. Clinical setting, purpose (categorized as clinical, administrative, or both), and end-user were extracted for all studies. Data on dashboard development, design characteristics, implementation strategies, and evaluation were extracted only for studies published from 2018-2020.

### Results

Data was extracted for 199 dashboards described in 193 publications. The most common settings in which dashboards were used were inpatient (n=81/199, 40.7%) and outpatient clinics (n=68/199, 34.2%). Most dashboards had  $\geq 2$  stated purposes (n=142/199, 71.4%); of these, 97/199 (48.7%) were administrative, 68/199 (34.2%) were clinical, and 31/199 (15.6%) met both criteria. Most dashboards included front-line clinical staff as end-users (n=154/199, 77.4%). In the 118 dashboards published from 2018-2020, half involved end-users in the design process (n=59/118, 50.0%); fewer described formative usability testing (n=26/118, 22.0%) or use of any theory or framework to guide development, implementation, or evaluation (n=24/118, 20.3%). Dashboards tended to be web-based (n=41/118, 34.7%) or embedded directly into the electronic health record (n=17/118, 14.4%). Common implementation strategies used alongside dashboards included education (n=60/118,



50.8%), audit and feedback (n=59/118, 50.0%), and advisory boards (n=54/118, 45.8%). Evaluations of dashboards (n=84/118, 71.2%) were mostly quantitative (n=60/118, 50.8%), or mixed methods, combining dashboard or health record data with qualitative findings (n=18/118, 15.2%).

## Conclusions

Dashboards in health care have been used in diverse settings, for multiple purposes and varied end users. The complexity of many dashboards – serving many purposes and end users at once – and the frequent expectation that clinicians will use them underscores the importance of systematic design and evaluation to ensure they are having the intended outcomes. Future work is needed to identify best practices for the development, implementation, and evaluation of health care dashboards.

## 1. Introduction

Health care systems must process and make sense of more incoming data than ever before. Understanding and acting on these data are essential to almost every aspect of the health care enterprise, from direct patient care and clinical research, in which real-time data are critical to safe, appropriate, and timely care, to the c-suite, where health systems are held financially accountable for the outcomes of their patients[1–4]. This process can be resource intensive. One large academic medical center reported expending roughly 180,000 person-hours and \$5 million dollars to prepare and report 162 quality metrics on inpatient and emergency department performance in a single year[5]. Increasingly, business intelligence tools are used in an attempt to reduce this burden by streamlining data aggregation and reporting to facilitate continuous monitoring and improvement of key metrics[6–8].

Health care “dashboards,” which analyze and present dynamic data about individuals and systems in readily interpretable ways to provide high-level and current snapshots of important metrics, have become one of the most common tools in this armamentarium. In modern health systems, they are widely seen as indispensable and are commonly used for clinical management, population health management, and quality improvement[6,9,10]. Despite dashboards’ ubiquity in health care, there is little research on them and how they have been used in practice[11,12]. Basic questions such as their purpose, end users, and design characteristics have relatively little empiric or theoretic explanation[6,13–15].

To address these gaps, here we performed a scoping review of dashboards used in health care settings to understand why, how, and for whom they have been developed, implemented, and evaluated. By identifying common practices, we hope to foster more systematic approaches to dashboard creation and deployment and to accelerate the science of dashboards.

## 2. Methods

In this scoping review, we followed Arksey and O'Malley's[16] and Levac et al.'s frameworks for scoping review methodology[17] to identify and map relevant literature. Methods and results are reported according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for Scoping Reviews (PRISMA-ScR) checklist[18]. The key research objectives were to explore why, how, and for whom dashboards have been developed, implemented, and evaluated.

### 2.1. Study selection and screening

The search strategy was developed with a research librarian and previously reported[19]. Briefly, in July 2020 we searched MEDLINE, Embase, Web of Science, and the Cochrane Library databases from inception through July 2020, using key terms, medical subject headings (MeSH), and Boolean operators, with no date, language or other restrictions applied. All records were uploaded into Covidence screening software for deduplication and dual reviewer screening of titles and abstracts. All studies describing use of a dashboard within a health care setting were included for full-text review in duplicate (DH, ADR, AR, ANK, RG, MLC, OJG).

Studies were eligible if they described how a dashboard was developed, implemented, or evaluated in a health care setting, were published in English since 2015, and were used successfully in routine workflow or outside of a practice environment[19]. Exclusion criteria were implementation of the dashboard only in a pre-testing environment and use solely for public health disease tracking or undergraduate medical education. Any disagreements on eligibility were resolved through discussion, with adjudication by a third author when needed. In the full-text screening stage, for any clinical trial registrations (e.g., clinicaltrials.gov NCT number), clinicaltrials.gov was visited and reviewed for linked publications, which were imported into Covidence for deduplication and full-text screening.

## 2.2. Data extraction and coding

A data extraction form was developed a priori[19]. In meetings, the extraction form was iteratively refined and a codebook of response options for categorical variables (e.g., health care setting, dashboard purpose) was developed (Supplement 1). Data was abstracted using Qualtrics (Qualtrics, Provo, UT).

For all included dashboards, data were extracted on health care setting, dashboard purpose, and intended end-user using a select-all-that-apply checklist of pre-defined attributes (see Table 1 for definitions of common purposes, and Supplement 1 for full codebook definitions). Because of the large number of studies identified, detailed data extraction was completed only for studies published from 2018-2020 (DH, AR, ANK), including the development process, design characteristics, and details of dashboard implementation and evaluation.

**Table 1. Top Reported Dashboard Purpose Definitions from Study Codebook\***

Dashboard Purpose	Codebook Definition
<b>Clinical Purposes</b>	
<b>Direct Patient Care</b>	A dashboard used when providing direct, immediate care to a patient in any health care setting.
<b>Population Health Management</b>	A dashboard used to identify patients in a clinic panel, department, or unit who are at risk for an adverse event or in need of intervention (i.e., dashboard identifies patients with potentially unsafe prescribing).
<b>Care Coordination</b>	A dashboard that supports care coordination by pulling information from multiple data sources and allowing both the patient and a health care provider to view the dashboard, and/or allowing the patient to enter self-reported health data into the dashboard to complement EHR information for the clinician to use for care planning and decision-making.
<b>Administrative Purposes</b>	
<b>Performance Monitoring</b>	A dashboard that provides data on individual provider or unit/site level performance. These dashboards often show performance trends over time as well as offer the user the ability to compare their performance to that of peers or to averages within their department.
<b>Utilization</b>	A dashboard used to provide data on health care utilization, either at the level of

<b>Tracking</b>	the patient (e.g., how often they visit, how long visits take, where the patient is seen) or at the level of the department or organization (e.g., services per day/month/year, services by category or unit, top services provided by cost or in a given time period).
<b>Resource Management</b>	A dashboard used to support resource management by providing data to support adequate staffing, ensure appropriate and adequate supplies are available, and monitor bed management and/or patient transfers.

\*Definitions for the most commonly reported dashboard purposes are displayed here. All codebook definitions are reported in Supplement 1.

### 2.3. Analysis

Because of the large number categories for dashboard purposes and end-users, we created larger super-categories for these domains so data could be summarized at a higher level. For both domains, these super-categories were “administrative/non-clinical,” “clinical,” “both administrative/non-clinical and clinical,” or “research” (Supplementary Tables 1 and 2).

For dashboard purpose, the following attributes were considered (1) clinical: direct patient care, population health management, and care coordination; (2) administrative: performance monitoring, utilization tracking, resource management, financial tracking, alert or best practice advisory (BPA) tracking, facilitating clinical or quality registry use, supporting education or training, and facility management. Some dashboards were categorized as both clinical and administrative (e.g., used for performance monitoring and population health management). Dashboards used solely to support clinical research activities were categorized as research.

For end-users, the following attributes were considered (1) non-clinical: leadership, administrators, and individuals involved in quality improvement efforts, or (2) clinical: front-line clinicians, pharmacists, clinician trainees, remote monitoring staff, and clinical research teams. Some dashboards were categorized as having both non-clinical and clinical end users (e.g., used by leadership or administration and front-line clinicians).

Abstracted data for variables of interest are reported as counts and percentages. We present both the prevalence with which each individual response option was selected, and the top combinations of attributes to allow for better interpretation of why, how, and for whom dashboards have been developed, implemented and evaluated. Data on dashboard development and design characteristics are described narratively in online appendices and summarized in the text.

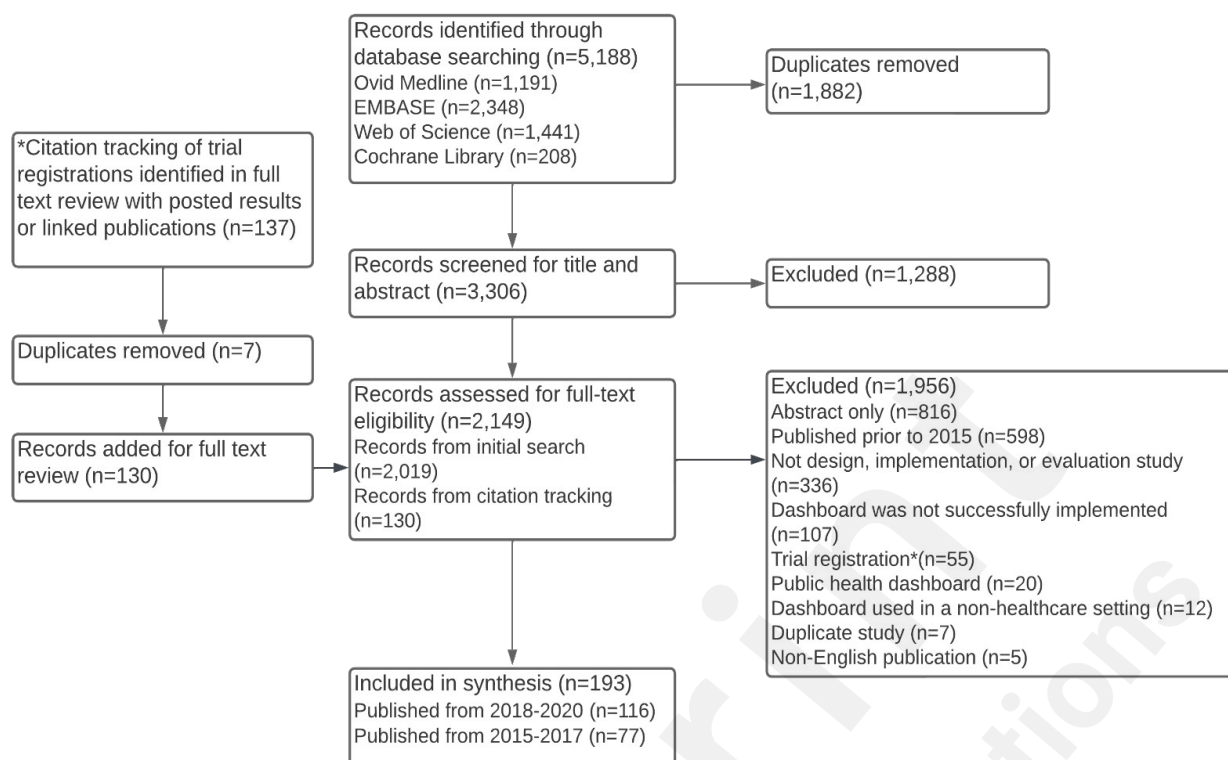
#### **2.4. Data availability statement**

Abstracted data from published results is available as an online supplement (Supplement 2).

### **3. Results**

A total of 3,306 unique studies were identified and underwent title and abstract review; 1288 articles were excluded, and the remaining 2,149 studies were screened in full text (Figure 1). Ultimately, 193 studies were included, with 118 dashboards described in 116 studies published from 2018-July 2020, and 81 dashboards described in 77 studies published from 2015-2017.

#### **Figure 1. PRISMA-ScR Flow Diagram**



### 3.1. *Why and for Whom Dashboards have been Developed in Health Care (n=199, 2015-2020)*

Most dashboards were used in North America (n=140/199, 70.4%) or Europe (n=30/199, 15.1%, predominantly the United Kingdom; Table 2 and Supplementary Table 3). Twelve US dashboards originated from the Veterans Affairs (VA) Health System[32,50,60,61,66,68,89,100,119,121,122,134]. The most prevalent settings were inpatient (n=81, 40.7%), outpatient clinics (n=68, 34.2%), and emergency services (n=28, 14.1%)[32–34,41,45,54,58,69,72,74,88,91,103,112,126,127, 132,138,141,145,155–162] (Table 2); 21/199 (10.5%) were used in >1 health care setting[33,34,45,58,72,74,79,88,91,101,126,145,155–157,159,160,163–166] (Table 2). Supplementary Tables 4 and 5 provide more granular data on the specific types of inpatient and outpatient settings. Front-line clinicians (n=154, 77.4%, predominantly physicians), and leadership or administrators (n=81/199, 40.7%) were frequent end-users (Table 2), often in combination (n=41/199, 20.6%) (Supplementary Table 2). Patients were sometimes included as end-users (n=18/199, 9.0%; Table 2)[22,46,67,78,83,90,104,115,147,167–

175].

The purpose(s) of 68/199 (34.2%) dashboards were categorized as solely clinical, and 97/199 (48.7%) were categorized as solely administrative, and 31/199 (15.6%) [24,26,36,49,60,69,73,79,81,94, 101,121,123,128,137,141,144,148,149,156,157,162,168,176–183] were categorized as both clinical and administrative (Table 3). The most prevalent purposes of dashboards were performance monitoring (n=88, 44.2%), direct patient care (n=75, 37.7%), utilization tracking (n=57, 28.6%), and population health management (n=54, 27.1%) (Table 2, definitions in Table 1). However, the majority of dashboards (n=142, 71.4%) met criteria for 2 or more purposes (Table 2). In dashboards whose purpose(s) were categorized as solely administrative (n=97), most included clinical end-users (n=74/97, 76.3%); few were used solely by non-clinical staff (n=21/97, 21.6%)[34,37,41,58,62,65,80,89,105,114,130,142, 146,159,182,184–188]; clinical users were almost always included as end-users regardless of *why* or *where* the dashboard was being used (Table 3, cross tab of purpose x user group in Supplementary Table 6).

Of dashboards used exclusively in inpatient settings (n=63), most were used for administrative purposes (n=33/63, 52.4%)[22,30,31,37,53,61,71,75,82,86,87,95,98,108,110,116,124,130, 131,133,137,142,143,151,170,189–196], followed by clinical purposes (n=22/63, 34.9%) [23,29,38,46,51,55–57,63,70,78,84,96,102,111,113,125,129,140,197–199]. Prevalent uses for solely inpatient dashboards included performance monitoring (n=30/63, 47.6%)[22,30,31,53,61, 71,75,82,86,95,98,108,110,116,124,130,131,133,142,143,151,176,189–196], direct patient care (n=26/63, 41.3%)[23,29,38,46,49,51,55–57,60,63,70,78,84,96,102,111,113,125, 129,137,140,180,197–199], utilization tracking (n=19/63, 30.2%)[22,31,53,60,61,86,98, 108,124,131, 137,151,170,180,189,190,192,195], and population health management (n=19/63, 30.2%)[23,29,38,51,56,57,63,70,73,96,102,111,125,129,140,176,197–199](Table 3). When used solely in outpatient clinics (n=58), dashboards nearly always had some clinical purpose (n=49/58,



84.5%)[20,21,24,26,28,40,43,48,66–68,76,81,83,94,97,100,104,109,115,119,121–123,135,144,147,148,152,154,167,168,171–173,175,177–179,183,200–208]; few were developed solely for administrative purposes (n=9/58, 15.5%)[25,64,77,85,89,105,136,146,209] (Table 3). Prevalent purposes of outpatient dashboards were direct patient care (n=31/58, 53.4%)[20,21,26,40,43,48,67, 68,76,83,97,104,115,147,152,154,167,168,171–173,175,177,183,201–207], population health management (n=25/58, 43.1%)[21,24,26,28,66,81,94,100,109,119,121–123,135,144,148,177–179,183,200,203,204,206,208], and care coordination (n=25/58, 43.1%)[20,21,28,40,43,48,67,68,76,83, 97,104,115,135,147,152,167,168,171–173,175,201,202,207](Table 3). Details on why and by whom dashboards in inpatient settings and outpatient clinics are used are available in Supplementary Tables 4 and 5.

The characteristics of dashboards used in emergency, surgical, radiology, and laboratory settings can be found in Table 3. Notably, in radiology or laboratory departments, dashboards were almost always used for administrative purposes (n=16/17, 94.1%)[35,36,47,59,65,120,128,149,150,153,186–188,210], including resource management (n=8/17, 47.1%)[59,65,120,128,150,153], performance monitoring (n=7/17, 41.2%)[35,47,65,120,187,188,210], and utilization tracking (n=6/17, 35.3%)[36,59,120, 128,150,210]. Emergency department dashboards were often used clinically for direct patient care activities (n=7/13, 53.8%)[69,127,141,158,161,162]. Common administrative purposes of emergency department dashboards included performance monitoring (n=5/13, 38.5%)[32,41,112,132,138] and utilization tracking (n=4/13, 30.8%)[41,54,69,138]. In surgical departments, dashboards were predominantly used for administrative purposes, most often performance monitoring (n=10/11, 90.9%)[27,39,106,107,117,118,169,182,184] and utilization tracking (n=5/11, 45.5%)[27,39,118,182,184].

**Table 2. Why and by Whom Dashboards have been Used (n=199, from 2015-2020)**

Characteristic	All Dashboards (n=199)	2018-2020 Dashboards (n=118)	2015-2017 Dashboards (n=81)
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Preprint  
JMIR Publications

<b>Publication Year – n (% of column)</b>			
2015*	25 (12.6%)	--	25 (30.9%)
2016	22 (11.1%)	--	22 (27.2%)
2017	34 (17.1%)	--	34 (41.9%)
2018	38 (19.1%)	38 (32.2%)	--
2019**	39 (19.6%)	39 (33.0%)	--
2020†‡	41 (20.6%)	41 (34.7%)	--
<b>Health care Setting – n<sup>μ</sup> (% of column)</b>			
Inpatient Setting	81 (40.7%)	45 (38.1%)	36 (44.4%)
Outpatient Clinic	68 (34.2%)	42 (35.6%)	26 (32.1%)
Emergency Services	28 (14.1%)	18 (15.2%)	10 (12.3%)
Other Setting or Unclear	17 (8.5%)	12 (10.2%)	5 (6.2%)
Imaging or Radiology Facility	14 (7.0%)	10 (8.5%)	4 (4.9%)
Surgical Departments	13 (6.5%)	7 (5.9%)	6 (7.4%)
Clinical Laboratory	10 (5.0%)	5 (4.2%)	5 (6.2%)
<b>Settings Reported – n (% of column)</b>			
1 Setting	178 (89.4%)	106 (89.8%)	72 (88.9%)
>1 Settings	21 (10.6%)	12 (10.1%)	9 (11.1%)
<b>Geographic Location<sup>ε</sup> – n (% of column)</b>			
North America	140 (70.4%)	79 (66.9%)	61 (75.3%)
Europe	30 (15.1%)	18 (15.2%)	12 (14.8%)
Asia	14 (7.0%)	11 (9.3%)	3 (3.7%)
Africa	8 (4.0%)	6 (5.1%)	2 (2.5%)
Australia	5 (2.5%)	4 (3.4%)	1 (1.2%)
South America	2 (1.0%)	0 (0.0%)	2 (2.5%)
<b>Purpose<sup>μ¥</sup> – n (% of column)</b>			
Clinical Purposes			
Direct Patient Care	75 (37.7%)	47 (39.8%)	28 (34.6%)
Population Health Management	54 (27.1%)	37 (31.3%)	17 (21.0%)
Care Coordination	33 (16.6%)	22 (18.6%)	11 (13.6%)
Administrative Purposes			
Performance Monitoring	88 (44.2%)	51 (43.2%)	37 (45.7%)
Utilization Tracking	57 (28.6%)	30 (25.4%)	27 (33.3%)
Resource Management	37 (18.6%)	22 (18.6%)	15 (18.5%)
Financial Tracking	9 (4.5%)	4 (3.4%)	5 (6.2%)
Facility Management	3 (1.5%)	0 (0.0%)	3 (3.7%)
Other Non-Clinical Purpose <sup>‡</sup>	7 (3.5%)	6 (5.1%)	1 (1.2%)
Other Purposes			
Clinical Trial Support Tool	3 (1.5%)	1 (0.8%)	2 (2.5%)
Other/Unclear	2 (1.0%)	0 (0.0%)	2 (2.5%)
<b>Number of Purposes– n (% of column)</b>			
1 Purpose	57 (28.6%)	34 (28.8%)	23 (28.4%)
2 or More Purposes	142 (71.4%)	84 (71.2%)	58 (71.6%)
<b>Intended End-User<sup>μ¥</sup> – n (% of column)</b>			
Clinical End-Users			
Front-line Clinicians	154 (77.4%)	97 (82.2%)	57 (70.4%)
MD or APP	136 (68.3%)	87 (73.7%)	49 (60.5%)
RN or MA	65 (32.6%)	35 (29.7%)	30 (37.0%)
Other or Not Specified	63 (31.6%)	38 (32.2%)	25 (30.9%)

<i>Pharmacists or Pharmacy Staff</i>	18 (9.0%)	12 (10.2%)	6 (7.4%)
<i>Patients</i>	18 (9.0%)	12 (10.2%)	6 (7.4%)
<i>Clinician Trainees</i>	9 (4.5%)	5 (4.2%)	4 (4.9%)
<i>Remote Monitoring Staff</i>	5 (2.5%)	4 (3.4%)	1 (1.2%)
<i>Clinical Research Teams</i>	4 (2.0%)	2 (1.7%)	2 (2.5%)
Non-Clinical End-Users			
<i>Leaders and/or Administrators</i>	81 (40.7%)	50 (42.4%)	31 (38.3%)
<i>QI Stakeholders</i>	9 (4.5%)	3 (2.5%)	6 (7.4%)
Other	13 (6.5%)	8 (6.8%)	5 (6.2%)
End-User Not Reported	4 (2.0%)	2 (1.7%)	2 (2.5%)
<b>Number of End-Users– n (% of column)</b>			
<i>1 End-User</i>	104 (52.3%)	54 (45.8%)	50 (61.7%)
<i>&gt;1 End-Users</i>	95 (47.7%)	64 (54.2%)	31 (38.3%)

MD=Medical doctor; APP=Advanced practice provider; RN=Registered nurse; MA=Medical assistant; QI=Quality improvement.

\*Four included studies from 2015 described 2 distinct dashboards and underwent 2 extractions (Gomez – 316, Nouei – 4027, Ratwani – 303, Wang – 345)

\*\*One included study from 2019 described 2 dashboards (Woo – 2019)

†One included study from 2020 described 2 dashboards (Stevens – 3478)

‡Databases were searched in July 2020 and only studies published and indexed in the databases searched by this date were screened for inclusion

€Geographic location by Country is available in supplementary table 3.

µCharacteristics are reported by prevalence of selection of each response across dashboards without missing data for the variable of interest. As characteristics are reported by prevalence of selection, totals may be greater than 100%.

¥Mapping of all purpose and user responses from data extraction to non-clinical, clinical, or both non-clinical and clinical groups are available in Supplementary Tables 1 and 2, respectively.

?Non-clinical purposes of education or training, facilitate use of clinical or quality registries, and tracking of alerts or best practice advisories (BPAs) were grouped as other. Definitions for all dashboard purposes are available in supplement 1.

Table 3. Intended End-Users and Purposes of Dashboards by Health care Setting (n=199, 2015-2020)

Characteristic	Overall (n=199)*	Inpatient Settings Only (n=63)	Outpatient Clinics Only (n=58)	Radiology or Laboratory Only (n=17)	Emergency Only (n=13)	Surgery Only (n=11)	Dashboards in Other Setting or >1 Setting (n=37)
<b>Purpose Group<sup>€</sup> – n (% of column)</b>							
Administrative	97 (48.7%)	33 (52.4%)	9 (15.5%)	13 (76.5%)	6 (46.2%)	10 (90.9%)	26 (70.3%)
Clinical	68 (34.2%)	22 (34.9%)	36 (62.0%)	1 (5.9%)	3 (23.1%)	0 (0.0%)	6 (16.2%)
Both	31 (15.6%)	6 (9.5%)	13 (22.4%)	3 (17.6%)	3 (23.1%)	1 (9.1%)	5 (13.5%)
Administrative & Clinical							
Research	3 (1.5%)	2 (3.2%)	0 (0.0%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	0 (0.0%)
<b>Purpose<sup>‡</sup> – n (% of column)</b>							
Performance Monitoring	86 (43.2%)	30 (47.6%)	17 (29.3%)	7 (41.2%)	5 (38.5%)	10 (90.9%)	19 (51.4%)
Direct Patient Care	76 (38.2%)	26 (41.3%)	31 (53.4%)	3 (17.6%)	6 (46.2%)	1 (9.1%)	8 (21.6%)
Utilization Tracking	56 (28.1%)	19 (30.2%)	6 (10.3%)	6 (35.3%)	4 (30.8%)	5 (45.5%)	17 (45.9%)
Population Health Management	55 (27.6%)	19 (30.2%)	25 (43.1%)	0 (0.0%)	4 (30.8%)	0 (0.0%)	6 (16.2%)
Resource Management	37 (18.6%)	11 (17.5%)	3 (5.2%)	8 (47.1%)	2 (15.4%)	2 (18.2%)	11 (29.7%)
Care Coordination	33 (16.6%)	2 (3.2%)	25 (43.1%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	5 (13.5%)
Financial Tracking	9 (4.5%)	3 (4.8%)	1 (1.7%)	0 (0.0%)	0 (0.0%)	3 (27.3%)	2 (5.4%)
Other Purpose Selected?	15 (7.5%)	3 (4.8%)	1 (1.7%)	4 (23.5%)	2 (15.4%)	2 (18.2%)	3 (8.1%)
<b>End User Group<sup>€</sup> – n (% of column)</b>							
Non-Clinical	23 (11.6%)	3 (4.8%)	4 (6.9%)	5 (29.4%)	1 (7.7%)	2 (18.2%)	8 (21.6%)

Characteristic	Overall (n=199)*	Inpatient Settings Only (n=63)	Outpatient Clinics Only (n=58)	Radiology or Laboratory Only (n=17)	Emergency Only (n=13)	Surgery Only (n=11)	Dashboards in Other Setting or >1 Setting (n=37)
Clinical	109 (54.8%)	33 (52.4%)	42 (72.4%)	7 (41.2%)	8 (61.5%)	5 (45.5%)	14 (37.8%)
Both Non-Clinical & Clinical	63 (31.6%)	24 (38.1%)	11 (19.0%)	5 (29.4%)	4 (30.8%)	4 (36.4%)	15 (40.5%)
User Not Reported	4 (2.0%)	3 (4.8%)	1 (1.7%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)
Intended End-User ‡– n, % of column							
Front-line clinicians	154 (77.4%)	47 (74.6%)	52 (89.7%)	11 (64.7%)	10 (76.9%)	9 (81.8%)	25 (67.6%)
MD or APP	136 (68.3%)	44 (69.8%)	44 (75.9%)	6 (35.3%)	12 (92.3%)	11 (100.0%)	19 (51.4%)
RN or MA	65 (32.7%)	27 (42.9%)	22 (37.9%)	0 (0.0%)	5 (38.5%)	1 (9.1%)	10 (27.0%)
Other or Not Specified	63 (31.6%)	20 (31.7%)	19 (32.8%)	7 (41.2%)	2 (15.4%)	1 (9.1%)	14 (37.8%)
Leadership and/or Administrators	81 (40.7%)	27 (42.9%)	13 (22.4%)	9 (52.9%)	4 (30.8%)	6 (54.5%)	22 (59.5%)
Pharmacists or Pharmacy Staff	18 (9.0%)	8 (12.7%)	8 (13.8%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	2 (5.4%)
Patients	18 (9.0%)	4 (6.3%)	11 (19.0%)	0 (0.0%)	0 (0.0%)	1 (9.1%)	2 (5.4%)
Other or Support Staff	13 (6.5%)	2 (3.2%)	4 (6.9%)	2 (11.8%)	1 (7.7%)	1 (9.1%)	3 (8.1%)
Clinician Trainees	9 (4.5%)	4 (6.3%)	0 (0.0%)	2 (11.8%)	0 (0.0%)	1 (9.1%)	2 (5.4%)
QI Stakeholders	9 (4.5%)	2 (3.2%)	3 (5.2%)	1 (5.9%)	1 (7.7%)	0 (0.0%)	2 (5.4%)
Remote Monitoring Staff	5 (2.5%)	2 (3.2%)	0 (0.0%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	2 (5.4%)
Clinical Research Teams	4 (2.0%)	2 (3.2%)	1 (1.7%)	0 (0.0%)	1 (7.7%)	0 (0.0%)	0 (0.0%)

Characteristic	Overall (n=199)*	Inpatient Settings Only (n=63)	Outpatient Clinics Only (n=58)	Radiology or Laboratory Only (n=17)	Emergency Only (n=13)	Surgery Only (n=11)	Dashboards in Other Setting or >1 Setting (n=37)
Not Reported	4 (2.0%)	3 (4.8%)	1 (1.7%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)

MD=Medical doctor; APP=Advanced practice provider; RN=Registered nurse; MA=Medical assistant; QI=Quality improvement.

€Responses were categorized into high-level groups for purpose and user according to the select-all-that-apply data available. Purpose responses of direct patient care, population health management and care coordination were categorized as clinical while responses of performance monitoring, utilization tracking, resource management, financial tracking, BPA tracking, facilitate clinical or quality registry use, education or training, and facility management were categorized as administrative purposes. Dashboards that had both purpose responses from clinical and administrative categories (e.g., performance monitoring and population health management) were categorized as both administrative and clinical dashboards. Dashboards used solely to support clinical research activities are categorized as research. End-user responses of front-line clinical staff, pharmacists or pharmacy staff, clinician trainees, remote monitoring staff, and clinical research teams were categorized as clinical users. Responses of leadership or administrators and QI stakeholders were categorized as non-clinical.

ℙOther purpose selected includes dashboards that included facility management, education or training, clinical trial support, facilitate use of clinical or quality registries, BPA tracking, or other selected as a key function of the dashboard.

‡Characteristics are reported by prevalence of selection of each response across dashboards without missing data for the variable of interest. As characteristics are reported by prevalence of selection, totals may be greater than 100%.

### 3.2. *How Dashboards Have Been Developed (n=118, 2018-2020 subset)*

Half of included dashboards (59/118, 50.0%; Table 4)[27,29,32,34,42,44,48–51,55–57,62,70,72,84,85,91,97,99,101,120,123,126,127,131,136,139,143,145,147,148,153,158,162,167,171,173–178,181,183,185,188,191–193,195,198,199,201,208,209,211] described steps to engage intended end-users in the design process. User involvement included dashboard metric selection, data validation, and formation of work groups to iteratively review and revise dashboard prototypes, among other strategies (Supplementary Table 7). Fewer dashboards described formative usability testing (26/118, 22.0%; Table 4, Supplementary Table 8) [32,42,44,48–51,55,57,58,72,85,97,101,111,127,139,158,167,171,174,175,192,201,208,212].

The software or coding languages were reported for 46/118 dashboards (39.0%)[27,28,32–35,37,42,44,47,55,61,64,68,74,78,83–85,91,101,104,110,117,128,133,141,145,153,165,168,171,174,177,187–189,192,194,195,198,201,208,209,212], with custom coding (n=14/118, 11.9%)[32,33,35,47,55,153,171,174,177,192,198,201,208], Tableau (n=10/118, 8.5%)[27,42,44,64,74,85,91,117,141,145], Microsoft Excel (n=6/118, 5.1%)[61,165,187,188,195,212] and Qlikview (n=4/118, 3.4%)[34,37,101,110] most commonly used (Table 4, details available in Supplementary Table 9). Dashboards developed using custom coding often described use of specific programs or coding languages, including structured query language (SQL), JavaScript, and Cascading Style Sheets (CSS).

Overall, dashboards were most often available to end-users as websites (n=41/118, 34.7%)[23,28,33,50,52,55,58,61,68,71,83,84,97,99,103,104,109,110,115,116,119,128,147,151,153,163,167–169,171,173–175,179,181,189,194,204,208,209] or as tools embedded directly into the



EHR (n=17/118, 14.4%)[29,49,51,55–57,69,101,107,111,124,126,127,144,148,199,211] (Table 4, combinations reported in Supplementary Table 10). However, clinical dashboards were more likely to be web-based (n=21/43, 48.8%) [23,28,33,52,55,68,83,84,97,104,109,115,119,147,167,171,173–175,204,208] or embedded in the EHR (n=9/43, 20.9%)[29,51,55–57,111,127,199,211], while dashboards with administrative purposes were more likely to be shared by email (n=11/54, 20.4%) [42,61,91,99,106,117,124,132,187,188,193], available via intranet or Sharepoint (n=10/54, 18.5%)[27,32,35,42,47,74,91,145,191,192], or posted directly within the setting (n=6/54, 11.1%; Table 4)[44,117,120,133,165,212].

Of dashboards that reported on updating frequency (80/118, 67.8%), most were updated in real time (31/118, 26.3%)[23,29,33,35,55–58,62,76,78,102,111,120,124,128,131,132,144,148,153,157,158,162,168,169,175,180,193,211], or near real time (5–60 minutes) (11/118, 9.3%; Table 4) [44,49,51,69,103,116,126,137,151,176,203]. Dashboards used solely for administrative purposes were more likely to take 24 hours or more to update (n=21/43, 48.8%) [27,30,32,47,71,74,99,107,110,133,136,143,145,163,165,185,187,188,191, 194,195,212], while the majority of clinical dashboards updated every 24 hours or less (n=19/43, 44.2%; Table 4) [23,29,33,51,55–57,70,76,78,102,111,139,158,175,199,203,208,211].

### **3.2.1. Dashboard Data Content (n=118, 2018-2020 subset)**

Nearly a third of health care dashboards used payor or accreditation organization reporting standards or professional guidelines as dashboard benchmarks or metrics of interest (43/118,

36.4%; Table 4)[23,32,44,47,50,61,64,68,70–72,74,78,81,91,97,99,102,109,122,123,132,135,144,145,148,165,167,174,176,178,183,185,187–189,191–194,198,200,209].

Dashboards designed for performance monitoring included metrics related to value-based payment and quality payment programs[71,144,209], and/or state- or national-level reporting mandates or guidelines[99,132,148,176]. When used for direct patient care or population health management, clinical guidelines were often used to identify patients for intervention or guide decision support[23,102,109] (citations are non-exhaustive, see Supplementary Table 11 for complete data). Of dashboards that reported on visual elements, tables (66/118, 55.9%), graphs (64/118, 54.2%), and color coding (61/118, 51.7%) were common display elements, as shown in Table 4.

### **3.2.2. Theoretical Frameworks (n=118, 2018-2020 subset)**

A theoretical or quality improvement framework was used to guide dashboard development, implementation, or evaluation efforts in 24/118 (20.3%) of dashboards (Table 4) [27,34,42,44,47,50,52,57,91,99,103,107,109,110,119,124,127,135,136,163,178,185,198,203].

None of the frameworks was used in more than 2 studies. Reported theories and frameworks varied widely and included behavior change theories (e.g., stages of change model[135], disruptive behavior pyramid theory[136], active choice principles[109]), technical frameworks (UTAUT[127], technology acceptance model[50]), implementation science specific frameworks (e.g., CFIR[178], ERIC[119], RE-AIM[57]), and a clinical governance framework[185], among others (dashboard level details are available in Supplementary Table 12).

**Table 4. How Dashboards have been Developed (n=118, 2018-2020 subset)**

Reported Strategy	Overall (n=118)	Dashboard Purpose Group <sup>€</sup>		
		Non-Clinical (n=54)	Clinical (n=43)	Both Non-Clinical and Clinical (n=20)
Use Of Theoretical Framework <sup>µ</sup> – n, % of column	24, 20.3%	14, 25.9%	8, 18.6%	1, 5.0%
End-User Involvement In Design <sup>µ</sup> – n, % of column	59, 50.0%	26, 48.1%	23, 43.5%	10, 50.0%
Formative Usability Testing <sup>µ</sup> – n, % of column	26, 22.0%	9, 16.7%	15, 34.9%	2, 10.0%
Benchmarks or Metrics Informed by Regulatory Guidelines <sup>µ</sup> – n, % of column	43, 36.4%	23, 42.6%	13, 30.2%	7, 35.0%
Software Used for Dashboard Development <sup>‡</sup> – n, % of column				
Software Not Reported	72, 61.0%	26, 48.1%	30, 69.8%	15, 75.0%
Custom Coding Build	14, 11.9%	6, 11.1%	7, 16.3%	1, 5.0%
Tableau	10, 8.5%	9, 16.7%	0, 0.0%	1, 5.0%
Microsoft Excel	6, 5.1%	6, 11.1%	0, 0.0%	0, 0.0%
Qlikview	4, 3.4%	3, 5.6%	0, 0.0%	1, 5.0%
Other Software Reported <sup>‡</sup>	21, 17.8%	9, 16.7%	9, 20.9%	3, 15.0%
Dashboard Delivery Channel <sup>‡</sup> – n, % of column				
Website	41, 34.7%	15, 27.8%	21, 48.8%	4, 20.0%
Embedded within the EHR	17, 14.4%	3, 5.6%	9, 20.9%	5, 25.0%
Site intranet or Sharepoint	13, 11.0%	10, 18.5%	2, 4.6%	1, 5.0%
Shared by email	12, 10.2%	11, 20.4%	0, 0.0%	1, 5.0%
Printed And Posted In Setting	12, 10.2%	6, 11.1%	2, 4.6%	4, 20.0%
Software App On Phone, Tablet Or Computer	7, 5.9%	4, 7.4%	2, 4.6%	1, 5.0%
Other	10, 8.5%	5, 9.2%	5, 11.6%	0, 0.0%
Delivery Channel Not Reported	29, 24.6%	13, 24.1%	8, 18.6%	8, 40.0%
Dashboard Data Update Frequency Reported <sup>‡</sup> – n, % of column				
Real Time	31, 26.3%	11, 20.4%	13, 30.2%	7, 35.0%
Near Real Time (5-60 Minutes)	11, 9.3%	4, 7.4%	2, 4.6%	4, 20.0%
Daily	16, 13.6%	10, 18.5%	4, 9.3%	2, 10.0%
Weekly, Monthly or Quarterly	12, 10.2%	11, 20.4%	0, 0.0%	1, 5.0%
Various Update Times	5, 4.2%	2, 3.7%	1, 2.3%	2, 10.0%
Other	5, 4.2%	5, 9.3%	0, 0.0%	0, 0.0%
Update Frequency Not Reported or Unclear	38, 32.2%	11, 20.4%	23, 53.5%	4, 20.0%

Reported Strategy	Overall (n=118)	Dashboard Purpose Group <sup>€</sup>		
		Non-Clinical (n=54)	Clinical (n=43)	Both Non-Clinical and Clinical (n=20)
Dashboard Visual Elements Reported <sup>‡</sup> – n, % of column				
Tables	66, 55.9%	29, 53.7%	26, 60.5%	11, 55.0%
Graphs	64, 54.2%	34, 63.0%	21, 48.8%	9, 45.0%
Color Coding	61, 51.7%	26, 48.1%	23, 53.5%	12, 60.0%
Gauges	8, 6.8%	4, 7.4%	3, 7.0%	1, 5.0%
Other	7, 5.9%	4, 7.4%	2, 4.7%	1, 5.0%
Visual Elements Not Reported	22, 18.6%	11, 20.4%	7, 16.3%	3, 15.0%

EHR=Electronic health record.

\*Table limited to dashboards published between 2018-2020 (n=118 dashboards from 116 studies)

<sup>€</sup>One dashboard that was categorized as “other” rather than non-clinical, clinical, or both, is not represented in the table. This dashboard was web-based with data reported in near real-time and reported use of a theoretical framework, but did not report any end-user involvement in design, formative usability testing, benchmarks or metrics informed by regulatory guidelines, software used to develop the dashboard, or any visual elements used in the dashboard display.

<sup>‡</sup>Dashboard level details on use of theory or frameworks, involvement of end-users in dashboard development, formative usability testing, dashboard metrics informed by professional guidelines or by payor- or licensing agency-specific quality metrics, and details on software used to develop dashboards are available in supplementary tables 8-13.

<sup>‡</sup>Characteristics are reported by prevalence of selection of each response across dashboards without missing data for the variable of interest. As characteristics are reported by prevalence of selection, totals may be greater than 100%.

<sup>†</sup>Software responses selected for 3 or more dashboards are shown here, with software reported to be used for 2 or fewer dashboards reported as “other” in this table. Dashboard level details are available in supplementary table 10.

### 3.3. How Dashboards Have Been Implemented and Evaluated (n=118, 2018-2020 subset)

#### 3.3.1. Implementation

Most dashboards used at least one adjunct implementation strategy to augment any intended behavior change (114/118, 96.6%; Table 5). Common implementation strategies and representative examples in citation included: (1) educational sessions or educational materials (60/118, 50.8%)[29,37,44,49–

52,55,56,58,61,62,64,72,76,83,84,98,99,102,104,107,111,116,117,119,122,123,131,135,136,141, 144,145,148,151,153,158,163,165,167,171,173–176,178,179,181,187,191–194,199,203,208,211,212], which ranged from peer-led clinician education[72,111,199] to patient education on using the dashboard[76,167]. (2) Audit and feedback or relay of clinical data (59/118, 50.0%)[23,29,30,32,44,47,58,61,62,64,68,70,72,81,97,99,101,102,107,109–111,115–117,119,120,123,124,131–133,135–137,141,145,148,153,163,165,169,173,179,181, 183,185,187,189,191,193,195,199,203,204,208,209,212], typically through one-on-one discussions between a clinician and a supervisor or academic detailer focused on how to improve performance or reach specific benchmarks[32,61,132,136,148,179,208,212]. (3) Formation of advisory boards or workgroups, or engagement of stakeholders (54/118, 45.8%) [29,30,32,34,42,44,49,50,55, 57,58,61,62,72,85,91,97,101–103,110,111,119,120,131,136, 139,141,145,147,148,153,162, 167,171,174–176,178,181,183,185,187–189,193,199,201,208,209,211], which were often multidisciplinary groups of clinical staff, site leaders, and sometimes patients, who participated in dashboard development, implementation, or formative usability testing [29,34,44,49,57,72,85,145,147,201,209]. Other strategies included changing the physical environment or record systems (42/118, 35.6%)[34,37,44,47–49,57,62,64,72,76,78,106,107,111, 116,119,123,126,128,131,132,137,139,141,143,145,148,153,157,158,162,167,171,178,189,193,194,199,211] (e.g., placement of physical reminders and/or relevant supplies); and needs assessments or efforts to identify implementation barriers and facilitators (37/118, 31.4%) [23,27,33–35,42,44,49,55,57,58,84, 85,91,97,102,106,110,111,123,127,131,139,141,145,148,158,167,174,176,178,185,192,193,198, 200]. While many implementation strategies were used at similar rates across dashboards with

clinical and non-clinical purposes, audit and feedback was most often used alongside administrative dashboards (n=34/54, 63.0%; Table 5) [30,32,44,47,58,61,62,64,72,99,107,110,116,117,120,124,131–133,136,137,145,153,163,165,169,185,187,189,191,193,195,209,212], especially those use for performance monitoring or utilization tracking. Conversely, when dashboards were used for clinical purposes, involving patients or families was more commonly reported (n=24/43, 55.8%) [28,29,48,52,57,68,76,83,97,104,115,119,135,139,147,167,171,173–175,200,201,204,211], often to engage patients in shared decision making (Table 5).

### 3.3.2. Evaluation

Most dashboards included results from an evaluation of either the dashboard's effect, using the dashboard as a tool for measuring change, or of the dashboard as both intervention and measurement tool (84/118, 71.2%; Table 5). Most evaluations were quantitative, using data from the dashboard or EHR alone (41/118, 34.7%)[23,30,37,44,51,71,72,74,76,78,84, 91,98,102,106,107,109,110,117,122,124,131–133,136,143–145,148,151,153,165,180, 181,183,189,193,199,200,208], from the dashboard or EHR in combination with survey data (10/118, 8.5%)[34,35,55,64,103,104,115,123,157,198], or from surveys alone (9/118, 7.6%) [33,50,81, 83,119,162,168,173,211]. An additional 18 studies reported mixed-methods evaluations which included interviews, focus groups, or analysis of chart notes[27,29,56,57,61,68,116,127,147,161,177–179,187,192,194,204,212]; only 6 reported results of qualitative assessments of end-user perceptions of dashboards without a quantitative evaluation[28,48,52,163,167,203](Table 5). When dashboards had an administrative purpose, evaluations more often were conducted using dashboard/EHR data (n=25/54, 46.3%)

[30,37,44,71,72,74,91,98,106,107,110,117,124,131–133,136,143,145,151,153,165,189,193].

**Table 5. How Dashboards have been Implemented and Evaluated (n=118, 2018-2020 subset)**

Characteristics of Implementation or Evaluation	Overall (n=118)	Purpose Group†		
		Non-Clinical (n=54)	Clinical (n=43)	Clinical and Non-Clinical (n=20)
Adjunct Implementation Strategies Used‡– n, % of column				
Audit and provide feedback, or facilitate relay of clinical data	59, 50.0%	34, 63.0%	16, 37.2%	9, 45.0%
Conduct educational sessions, or disseminate educational materials	60, 50.8%	27, 50.0%	24, 55.8%	9, 45.0%
Conduct a needs assessment, identify barriers and facilitators	37, 31.4%	17, 31.5%	14, 32.6%	6, 30.0%
Form advisory boards or workgroups	54, 45.8%	26, 48.1%	17, 39.5%	10, 50.0%
Identify champions, involve local opinion leaders	23, 19.5%	13, 24.1%	6, 14.0%	4, 20.0%
Mandate change, institute guidelines	33, 28.0%	19, 35.2%	9, 20.9%	5, 25.0%
Change teams or professional roles	22, 18.6%	10, 18.5%	7, 16.3%	5, 25.0%
Change environment or record systems	42, 35.6%	21, 38.9%	12, 27.9%	9, 45.0%
Involve patients and families, prepare patients to be active in care	31, 26.3%	4, 7.4%	24, 55.8%	3, 15.0%
Financial incentives or disincentives	8, 6.8%	4, 7.4%	3, 7.0%	1, 5.0%
Remind clinicians or other stakeholders	12, 10.2%	3, 5.6%	6, 14.0%	3, 15.0%
Other strategy reported	5, 4.2%	2, 3.7%	3, 7.0%	0, 0.0%
No adjunct implementation strategies reported	4, 3.4%	2, 3.7%	1, 2.3%	1, 5.0%
Number of Adjunct Implementation Strategies Reported – n (% of column)				
0 Implementation Strategies	4, 3.4%	2, 3.7%	1, 2.3%	1, 5.0%
1-3 Implementation Strategies†	67, 56.8%	28, 51.8%	26, 60.5%	12, 60.0%
4-6 Implementation Strategies	37, 31.4%	20, 37.0%	13, 30.2%	4, 20.0%
7-10 Implementation Strategies	10, 8.5%	4, 7.4%	3, 7.0%	3, 15.0%
Evaluation Type <sup>€</sup> – n, %				
Quantitative Evaluations	60, 50.8%	29, 53.7%	20, 46.5%	10, 50.0%
Using dashboard/EHR data alone	41, 34.7%	25, 46.3%	11, 25.6%	5, 25.0%

<i>Using survey alone</i>	9, 7.6%	1, 1.9%	25.6%	3, 15.0%
<i>Using both dashboard/EHR and survey data</i>	10, 8.5%	3, 5.6%	5, 11.6%	2, 10.0%
<b>Mixed-Method Evaluations</b>			4, 9.3%	
<i>Using both interview or focus group data and dashboard/EHR data or survey data</i>	18, 15.2%	7, 13.0%	8, 18.6%	3, 15.0%
<b>Qualitative Evaluations</b>				
<i>Using interview or focus group data</i>	6, 5.1%	1, 1.9%	5, 11.6%	0, 0.0%
<b>No Evaluation Reported</b>				
<i>No evaluation reported</i>	34, 28.8%	17, 31.5%	10, 23.3%	7, 35.0%

EHR=Electronic health record.

<sup>‡</sup>Implementation strategies are reported by prevalence of selection of each strategy across included dashboards from 2018-2020 (n=118). Reported combinations of adjunct implementation strategies used will be reported separately.

<sup>†</sup>One dashboard that was categorized as “other” rather than non-clinical, clinical, or both, is not represented in the table. This dashboard reported use of one implementation strategy (form advisory boards or workgroups) and included a quantitative evaluation with both EHR or dashboard data and survey data.

<sup>¶</sup>Evaluation type is reported as the combination of evaluation types selected for each of the 118 included dashboards from 2018-2020.

## 4. Discussion

### 4.1. Principal findings and comparison with prior work

This scoping review of 199 dashboards in health care settings found that they are used throughout health systems, and have a high degree of diversity in purposes, intended end-users, and features both within and across health care settings. Many dashboards included more than 1 purpose and/or end-user. Most prior reviews of dashboards in health care focused narrowly on specific settings[12,213], end-users[214], and purposes[215,216]. By contrast, our inclusion criteria imposed few restrictions, leading to a greater breadth of dashboards used in health care, and broader findings about the relationship between dashboard characteristics, such as purposes, end users, and settings.



A widely cited review of dashboards by Dowding et al. distinguishes two types of dashboards, both of which use “health IT to provide visual displays of quality or productivity,” with either a clinical focus – intended to aid clinicians in decision making to improve quality of patient care – or a quality focus – intended to enable managers to identify areas for practice improvement; the definition also allows for the possibility of overlap[8]. Our results suggest a more complicated relationship between dashboard purpose and end user, which reflect larger trends in health care. For example, nearly 1/3 of dashboards (n=63/199, 31.6%) serve both clinical and non-clinical end users simultaneously. In addition, most administrative dashboards (74/97, 76.3%) include clinicians as end users. This likely reflects that dashboards have become part of the growing burden of administrative responsibilities clinicians face [217,218]. We also found with the growth in dashboards, end users have expanded to include entire clinical teams (e.g., rapid response teams[51,111,157], care teams completing patient rounds[29,56,113]), and even patients, who often input symptoms or vital signs to supplement EHR data[104,115,147,167,171,174], reflecting the growing complexity of health care teams and trends in patient reported outcomes.

Despite the proliferation of dashboards throughout healthcare, we found major opportunities to improve the development process of dashboards. Half of dashboards (n=59/119, 50.0%) did not involve end users in the development process, and even fewer (26/118, 22.0%) included formative usability testing, which are effective strategies to improve usability and adoption [219,220]. This corroborates the findings a prior systematic review of safety dashboards, which

found a minority of dashboards used formative usability testing[221], and another recent scoping review which found that even when completed, usability testing is often incomplete [219]. Usability has been defined as the “extent to which a product can be used by specified users to achieve specified goals with effectiveness, efficiency and satisfaction in a specific context of use”[222]. The complexity of dashboards, exemplified by the multiplicity of purposes, end users, and settings often incorporated into a single dashboard, heighten the importance of thoughtful and deliberate usability testing in dashboard development. If clinicians, who are often overworked and burned out, are frequently tasked with using dashboards, usability testing will be crucial to making dashboard use as efficient and palatable as possible[223,224]. Physicians are likely to be more engaged if health IT tools provide direct benefit to them in their work[220].

We found a wide range of implementation strategies that have been paired with dashboards, often in used combination: education; audit and feedback or relay of information; engagement of working groups, stakeholders, or advisory boards; conducting local needs assessments; and changing the environment or electronic record systems. Knowledge of possible implementation strategies is essential since the mere existence of a dashboard does not ensure its adoption. Two prior studies of dashboards used in the US VA health care system found most facilities used an array of implementation strategies[119,225]. For example, one VA study assessing implementation strategies associated with a cirrhosis care dashboard identified 8 core implementation strategies, including use of workgroups, dashboard use, reminders, involving patients, tailoring strategies, and rapid testing, though facilities reported use of up to 52 implementation strategies annually[225]. While our findings reveal a smaller array of implementation strategies, we did find use of many distinct strategies. This finding can serve as a

starting point for future studies examining the potential effectiveness of these implementation strategies. Audit and feedback appears to be a common implementation strategy, highlighting the importance of engaging with end-users outside of the dashboard on important metrics. As dashboard developers consider implementation strategies, selection should depend on a thorough understanding of the specific barriers and facilitators of the specific context, in keeping with implementation theory[226,227]. Additionally, better reporting of which implementation strategies have been used in clinical research or health care quality improvement efforts is essential to improve understanding of how and why implementation strategies impact the effectiveness of these efforts[228,229].

#### **4.2. *Strengths and limitations***

Strengths of our study include the comprehensiveness of the data elements extracted, including basic characteristics, development, implementation, and evaluation components. In addition, we included all studies in which a dashboard was implemented in a health care setting, which allowed us to capture the full scope of health care dashboards. There are also some limitations. First, we excluded non-English publications, potentially limiting generalizability of our findings. Second, our search ended in 2020 and thus, represents a sample of published literature and did not capture more recent trends in dashboards. Since our goals were not primarily quantitative synthesis, this did not prohibit us from achieving the goal of broadly surveying the range of dashboard characteristics. Third, for studies in which the dashboard was not the main focus (e.g., when a dashboard was only a single part of a larger multicomponent intervention), the manuscript may not have included a complete description of the dashboard or the development, implementation, or evaluation process. Thus, these elements may have been underreported.

### **4.3. Implications**

These limitations notwithstanding, our findings have implications for the future design, implementation, and research of dashboards in health care. Given the complexity of many dashboards, often with multiple purposes, settings, and end users simultaneously, usability testing will be critical to ensure smooth and efficient operability for all end users. Usability testing may be particularly important for clinical care dashboards, not only because they have the potential to impact patients, but also because clinicians are already overloaded with administrative and documentation tasks and are increasingly burned out[217,218,230]. Relatively simple usability testing by novices can pay dividends, with the potential to increase adoption and effectiveness[231]. In a similar vein, dashboard evaluations should holistically consider potential impacts, including not only the performance indicator or quality measure of interest, but also those important to end users, like impact on workflow and efficiency. Finally, dashboard designers should be aware of the wide range of implementations strategies that have been used alongside dashboards.

Future research priorities should include a quantitative review of the impact of dashboards on performance indicators, which was not covered in this scoping review; qualitative evaluations of the impact of dashboards on job satisfaction; and comparative research on the effectiveness of different implementation strategies used with dashboards. In the future, the development of best practice statements may be useful.

### **4.4. Conclusions**

Dashboards are used across various settings and by a range of end users to support patient care and drive quality improvement and regulatory reporting. Notably, a majority of dashboards include clinical personnel as end users. Future research should aim to assess the effect of various design processes and the impact of contextual factors on the effectiveness of health care dashboards to identify best practices for developing and implementing these tools.

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## Supplementary Files

## Multimedia Appendixes

Supplement 1 study codebook and examples.

URL: <http://asset.jmir.pub/assets/a176b3c042430cf969f7e2008b452115.docx>

Supplement 2 study data file.

URL: <http://asset.jmir.pub/assets/0dd5f6d0671f0e2196050d6d28e7df75.xlsx>

Supplement 3 supplementary tables and additional findings.

URL: <http://asset.jmir.pub/assets/b454a3a227af3d82fc1f3a66ed921384.docx>

## CONSORT (or other) checklists

PRISMA-Scr checklist.

URL: <http://asset.jmir.pub/assets/767cb66511fe88823c7564fc385f9cfc.pdf>

## **TOC/Feature image for homepages**



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