

# Generative AI models in time varying biomedical data: a systematic review

Rosemary Yuan He, Varuni Sarwal, Xinru Qiu, Yongwen Zhuang, Le Zhang, Yue Liu, Jeffery N Chiang

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### Table of Contents

Original Manuscript	5
	40
Figure 2	41
Figure 3	42
Multimedia Appendixes	43
Multimedia Appendix 1	44 
Multimedia Appendix 2	44
Multimedia Appendix 3	44

#### Generative AI models in time varying biomedical data: a systematic review

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#### Abstract

**Background:** Trajectory modeling is a longstanding challenge in the application of computational methods to healthcare. However, traditional statistical and machine learning methods do not achieve satisfactory results as they often fail to capture the complex underlying distributions of multi-modal health data, and long-term dependencies throughout patients' medical histories. Recent advances in generative AI have provided powerful tools to represent complex distributions and patterns with minimal underlying assumptions. These have had a major impact in fields such as finance and environmental sciences, and recently researchers have turned to these methods for disease modeling.

**Objective:** While AI methods have proven powerful, their application in clinical practice remains limited due to their black-box like nature. The proliferation of AI algorithms poses a significant challenge for non-developers to track and incorporate these advances into clinical research and application. In this work, we survey peer-reviewed, generative AI model papers with specific applications in time series health data.

**Methods:** Our search includes single- and multi-modal generative AI models that operate over structured and unstructured data, medical imaging or multi-omics data. We introduce current generative AI methods, review their applications in each data modality and discuss their strengths and weaknesses compared to traditional methods.

**Results:** We follow the PRISMA guideline and review 155 articles on generative AI applications in time series healthcare data across modalities. Furthermore, we offer a systematic framework for clinicians to easily identify suitable AI methods for their data and task at hand.

**Conclusions:** We review and critique existing applications of generative AI to time series health data with the aim of bridging the gap between computational methods and clinical application. We also identify shortcomings of existing approaches, and highlight recent advances in generative AI that represent promising directions for healthcare modeling.

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# **Original Manuscript**

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Background: Trajectory modeling is a longstanding challenge in the application of computational methods to healthcare. However, traditional statistical and machine learning methods do not achieve satisfactory results as they often fail to capture the complex underlying distributions of multi-modal health data, and long-term dependencies throughout patients' medical histories. Recent advances in generative AI have provided powerful tools to represent complex distributions and patterns with minimal underlying assumptions. These have had a major impact in fields such as finance and environmental sciences, and recently researchers have turned to these methods for disease modeling.

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Keywords: Generative AI, electronic health records, time-series forecasting

#### Introduction

Generative artificial intelligence (GenAI) is a family of AI models that are capable of generating synthetic samples for different modalities across text, images, audio, and video. Well-known genAI tools such as ChatGPT[1] and DALL-E[1] have revolutionized the way people view AI. Given some input, these tools are capable of generating new content that has not been seen before[2]. One potentially impactful application of genAI tools is in healthcare, as more digital health records are generated every day. Time series health data has been of particular interest to researchers for decades in tasks including tracking patient trajectories[3], treatment estimations[4], etc. Compared to cross-sectional data which deal with one timepoint, time series provide more information on patient trajectory and development over time. There are two main types of time series data: real time and longitudinal. While real time data usually spans a shorter period of time (minutes or days) and has more time point entries, longitudinal data refers to data recorded over the magnitude of months or years with fewer samples. As the amount of data available continues to grow, genAI models have begun to outperform traditional statistical and machine learning (ML) methods in various tasks[5]. These tools offer new ways to manage and understand patient data patterns over time and can assist clinicians in making treatment decisions across different data modalities. In

(Figure 1), we illustrates different modalities that GenAI has been applied to, including structured health records (EHR: e.g., demographics, laboratory tests, vital signs, medications, and diagnoses), images from medical scans[6, 7], and free-text, including progress and discharge notes, as well as reports from radiology and surgeries. GenAI also has applications in multi-omics[8], which looks at different molecular data to get a clearer picture of biological processes. However, introducing GenAI into healthcare comes with its set of issues. Concerns about keeping patient data private, making the AI's decision-making process transparent, needing large amounts of resources and ethical issues are all significant challenges that must be tackled. Nonetheless, GenAI tools have the potential to improve disease prediction, tailor treatments to individual patients, and make healthcare more efficient.

#### Relative work: existing reviews and surveys

To get a list of existing surveys on the topic of generative AI for disease trajectory, we conducted a preliminary search of review papers written after 2010 in databases including Google Scholar, Scopus and PubMed. We identified 39 entries broadly covering the use of AI in healthcare (Supp. Table 1). From a review of these works, although we see an increasing number of AI-related review papers in the biomedical setting in recent years (Supp. Figure 1), there remains a gap in reviewing generative AI approaches working with time series data specifically, prompting the need for our paper.

#### Algorithms and techniques overview

In this section, we introduce a set of traditional, machine learning (ML), deep learning (DL) and generative AI models. Despite a shared nomenclature, it is important to differentiate between modern AI and the field of artificial intelligence. Modern AI typically refers to advances in deep learning, which are a specific class of machine learning algorithms. Machine learning is itself a subset of the general study of artificial intelligence. Previous ML approaches have been mostly *discriminative*, such that given a set of input predictors, they learn a strategy (decision boundary) to fit or separate data points. On the other hand, GenAI, which are *generative* ML methods, capture the underlying data generation process. By learning the distribution domain of the data, these models can synthesize hypothetical data points that are statistically indistinguishable from the originals. We briefly describe methods that are referenced later and show a timeline for when these models were first introduced in (Figure 2), and a comparison among these methods using common metrics in (Table 1).

#### Benchmark models

First we introduce some baseline statistical models commonly used as benchmarks in modeling time series data. The Naive2 model predicts the outcome value at a certain time point by either using the observed value from the most recent observation or from a similar period in the past. For autoregressive methods, the Auto-Regressive Moving Average (ARMA) model[9] predicts the outcome value at a given time point using both a linear combination of multiple past observations and a linear combination of past error terms in the regression model. As an extension from ARMA, the Auto-Regressive Integrated Moving Average (ARIMA) model[10] accounts for seasonal or periodical changes in the data. Lastly, the exponential smoothing (ETS) model[11] uses a weighted combination of multiple past observations where the decay of the weights is exponentially distributed.

#### Deep learning models

Deep learning models are the combination of an architecture, i.e. the structure, and an objective, i.e. the loss function. Whether DL models are discriminative or generative is governed by the choice of objective function. Therefore, they are not inherently generative nor discriminative, and are the building blocks of modern AI models, though they have typically been developed and demonstrated in the discriminative setting. Here we introduce the canonical DL architectures which have been applied across different GenAI settings, and in the next section we introduce genAI specific models.

#### Convolutional Neural Networks

Convolutional Neural Networks (CNNs)[12] are a type of deep learning neural network architecture that is particularly well-suited for processing and analyzing image data. CNNs are good at recognizing patterns in small areas and work well for short-term connections due to their ability to perform convolutions step-by-step over time[13]. CNNs can be used for univariate time series forecasting, in which the trend can be viewed as a 2D graph. In addition, researchers have explored different CNN architectures for time series forecasting, such as temporal convolutional networks[14] that are tailored for sequence-to-sequence modeling tasks, leveraging the strengths of CNNs while addressing the challenges of working with sequential data.

#### Recurrent Neural Networks

Recurrent Neural Networks (RNNs)[15] are one of the earliest deep learning frameworks that are designed to capture sequential dependencies and patterns across time steps. RNNs have an internal/hidden state that captures information from previous time steps and influences the processing of subsequent inputs. During training, sequential data is fed into the network one step at a time. The network processes each input along with its corresponding hidden state, updating the hidden state based on the current input and the previous hidden state. This process is repeated for each time step in the sequence, allowing the network to capture temporal dependencies and learn patterns in the data. The architecture of RNN consists of multiple recurrent layers, each containing recurrent units. There are two main types of units: Long Short-Term Memory (LSTM) cells and Gated Recurrent Units (GRU). These units are responsible for capturing temporal dependencies and encoding information from previous time steps. By training on sequential data and leveraging their recurrent structure, RNNs performed strongly against traditional deep learning networks in modeling complex patterns in time series data. However, RNNs can be time consuming as inputs are processed sequentially and do not model long range dependencies well as the information diminishes over time.

#### Transformer

Transformers[16] are the foundation of many state-of-the-art generative models including ChatGPT. Unlike RNNs, which process data sequentially and can be computationally expensive, transformers rely on a mechanism called self-attention[16] to capture dependencies simultaneously and over long ranges. They consist of an encoder-decoder architecture, where the encoder processes the input sequence, and the decoder generates the output sequence. During training, the input is embedded into a high-dimensional space and the encoder applies a self-attention mechanism to capture relationships between different parts of the input sequence in the embedding space. Self-attention allows each position in the sequence to attend to all other positions, enabling the model to learn contextual representations efficiently. These representations are then passed through feedforward neural networks within each layer to further process the information. The decoder, on the other hand, predicts the output sequence step by step based on the encoder's contextualized representations and previous outputs. Transformer models typically include multiple layers of encoder and decoder blocks, each containing self-attention mechanisms and feedforward neural networks. In the age of

large data, transformers are one of the most powerful models to capture complex relationships in sequential data.

#### Generative AI models

#### Generative Adversarial Networks

Generative Adversarial Network (GAN)[17], is a generative vision model that consists of two parts: the generator that creates fake data, and the discriminator that critiques them. The generator's goal is to produce realistic outputs from random noise that resemble realistic images. On the other hand, the discriminator's role is to differentiate between real data and the data generated by the generator. Through this back-and-forth process of creation and critique, GANs improve over time, producing increasingly realistic results. GANs are one of the earliest image generation models that have shown great success in generating synthetic images, and have been used extensively for generation of new dataset and domain transfer in medical imaging. However, training GANs can be challenging due to issues like limited type of data produced and instability during training.

#### Variational Autoencoders

Autoencoders are a class of neural network methods that learn a low-dimensional representation of high-dimensional structured data. Autoencoders consist of two parts: an encoder that projects high dimensional data into a latent space with lower dimensions, and a decoder that learns to map a point in the latent space back to its high dimensional representation. However, the latent distribution of a vanilla autoencoder is unknown, making inference difficult and prompting the need for variational autoencoders (VAE). VAE models[18] make further assumptions about the sample generation process that allow for us to model an approximation of the distribution of the latent space, usually a Gaussian with a mean and variance estimated by the model. Unlike other image generation models, VAEs provide a latent space that can be estimated efficiently and used for further modeling. As with many medical applications, conditional variables offer additional information and can improve parameter estimation. A natural extension to the VAE is Conditional VAE, which includes additional conditional variables.

#### **Diffusion Models**

Denoising Probabilistic Diffusion Models (DPDM)[19] are a class of vision models that generate a new image by removing noise gradually from a pure noise input. The model learns to denoise data by understanding the probabilistic relationships between noisy and clean data points, making it particularly effective in scenarios where data is corrupted by noise. During training, DPDM simulates a diffusion process, where noise is gradually added to the data, and then iteratively removing this noise to reconstruct the original signal. At each step, the noisy observation is generated by adding a small amount of noise to the previous observation. The diffusion process is typically modeled using an autoregressive process, where the next observation is conditioned on the previous observation and noise.

#### Natural Language Processing Models

Natural language processing (NLP) models are a class of generative AI models that deal with unstructred text data, such as spoken words and medical notes. In the general domain, transformer-based[16] NLP models have achieved state-of-the-art performance for name entity recognition, relation extraction, sentence similarity, natural language inference, and question answering. Currently, Bidirectional Encoder Representations from Transformers (BERT)[20], has become state-of-the-art, achieving the best performance across many NLP benchmarks[21, 22]. Typically, these models are trained in two stages: language model pretraining (i.e., learning using a self-supervised

training objective on a large corpus of unlabeled text) and fine-tuning (i.e., applying the learned language models solving specific tasks with labeled training data).

#### Foundation Models for medicine

Foundation models (FMs)[23] are machine learning models capable of performing various generative tasks after being trained on extremely large, and typically unlabeled datasets[24]. In the past few years, FMs have received significant attention given their impressive range of capabilities across multiple domains. FMs trained on electronic health records have shown the ability to predict the risk of 30-day readmission[25], select future treatments[26], and diagnose rare diseases[27]. There are two broad categories of foundation models built from electronic medical record data: Clinical Language Models (CLaMs) and Foundation models for EMRs (FEMRs). The first category of FMs are Clinical Language Models, or CLaMs, which are a subtype of large language models (LLMs). The unique attribute that separates CLaMs from general LLMs is their specialization on clinical/biomedical text- CLaMs are primarily trained on, and output clinical/biomedical text. The second class of clinical FMs are Foundation models for Electronic Medical Records (FEMRs). These models are trained on the entire timeline of events in a patient's medical history. Given a patient's EMR as input, a FEMR will output not clinical text but rather a machine-understandable representation for that patient. This representation, also referred to as a patient embedding, is typically a fixed-length, high-dimensional vector which condenses large amounts of patient information. Almost all CLaMs trained on clinical text used a single database: MIMIC-III, which contains approximately 2 million notes written between 2001-2012 in the ICU of the Beth Israel Deaconess Medical Center[28]. Unfortunately, the exceptions are the very CLaMs that seem to have the best performance -- ehrBERT[29], UCSF-Bert[30], and GatorTron[31]-- as they were trained on private EMR datasets. Researchers developed BioBERT[32] (with 110 million parameters) and PubMedBERT[33] (110 million parameters) transformer models using biomedical literature from PubMed. NVIDIA developed BioMegatron models in the biomedical domain with different sizes from 345 million to 1.2 billion parameters using a more expansive set of PubMed-derived free text[34]. ClinicalBERT[35] was one of the initial largest transformer models using clinical narratives. ClinicalBERT has 110 million parameters and was trained using 0.5 billion words from the publicly available Medical Information Mart for Intensive Care III (MIMIC-III, cite) dataset. The largest clinical model so far is GatorTron, which was trained using a corpus of >90 billion words from UF Health (>82 billion), Pubmed (6 billion), Wikipedia (2.5 billion), and MIMIC III (0.5 billion).

Table 1. Metric comparison between methods mentioned (all categories out of 5 where 1 is the lowest and 5 is the highest)

Model Interpretabilit Computationa Model size Accuracy Data l Cost requirement y Benchmark 1 5 1 1 2 models 2 2 CNN 3 3 3 2 2 3 3 3 **RNN** 3 3 3 Transformer 4 5 4 **GAN** 4 2 4 5

VAE	2	3	3	4	4
Diffusion	2	1	3	4	5
NLP	3	2	3	3	4
Foundation Models	5	1	5	5	3

#### **Methods**

In this review, we survey GenAI applications in time series healthcare data and its ability to improve patient care and diagnosis process, while considering the challenges and showcasing real-world uses that highlight its importance in the field. For the selection process, we follow the PRISMA guideline and searched in PubMed and Google Scholar for conference and workshop papers, with keywords including generative AI, healthcare, time series, longitudinal, EHR/EMR and genetics. The initial search returned over 3500 papers, which we then apply the exclusion criteria as follows: peer reviewed, published after 2000, generative AI method, time series or longitudinal data for structured and imaging data, ultimately yielding 155 studies as of April 2024. We organize the review by data modality and offer suggestions on how to choose the appropriate models for each section, for clinicians who are interested in incorporating GenAI into their practice. In (Figure 3), we organize the workflow for choosing an appropriate model for the data and computational resources at hand.

#### Results

#### Structured text data

In an effort to improve patient safety and reduce medical costs, as of 2015, over 500 000 US physicians and almost 6000 hospitals should have operating electronic health records and health information technology systems[36], which a digital version of paper charts[37]. As a result, hospitals and medical practices in the United States have rapidly adopted EHR systems, resulting in massive growth of electronic patient data. Among these, the most abundance data is text, which can be structured and unstructured. We first discuss structured data, also referred to as tabular data, which typically include numeric (e.g., laboratory measurements, vital signs) and categorical (demographics, medications, diagnostic codes) features. In disease trajectory prediction, vital and lab data are the most common time series data. These are typically represented as a 2D matrix with each row as an encounter or patient, and each column as a feature of interest. Time series inputs can be represented as multiple features, such as BP\_time1, BP\_time2, etc, or as stacked 2D matrices where each matrix is a time point. Depending on the recorded values, feature values can either be numerical or categorical.

#### **Applications**

Generative AI has been used extensively in tabular data and we will introduce existing work in three main categories: data augmentation and imputation, disease classification and prediction, and counterfactual estimation.

EHR datasets often face the problem of missing or unavailable data, which is especially common in lab data, where not every patient in the cohort will receive the same tests. In addition, incomplete documentation or patient privacy concerns could also limit access to the complete dataset. However, naive approaches such as filling missing data with 0 or forward filling in time add noise to the dataset at the cost of performance, prompting the need for better methods. Generative

models learn to impute missing values by learning patterns from existing data and generating plausible values for missing entries, thereby enhancing the completeness and usability of the dataset. Another challenge is scarcity, where the prevalence for certain rare diseases are so low, it is impossible for models to learn the pattern. Generative models have been used to generate synthetic patient records that mimic real-world data distributions. This can help in augmenting limited datasets and improving the robustness of predictive models trained on EHR data. To impute data, a general approach will start with a RNN model with LSTM layers[38-43], or GRU layers[44]. In more advanced approaches, researchers can modify the architecture parameters based on the dataset size or augment the model with additional pieces. In this work, the authors combine the GRU with ordinary differential equations to model irregularly sampled data and impute the entire time series[45]. ImputeRNN[38] is a method that imputes data with consideration of medical bias by applying a mask during training. One can also combine deep learning methods, where in this work a variational autoencoder is used first to find a data representation, which is then passed to a RNN for imputation[46]. For filling missing data, it may be favorable to consider both previous and later observations. BRITS[47] imputes the missing data bi-directionaly with an RNN graph. While RNN is the most common method in the space, GANs have also become a popular choice. In these works, time series data is treated as an "image", on which a GAN is trained to fill in the missing "gaps" [48-51].

The most common goal in modeling disease trajectory is for disease prediction and classification. Generative models can learn temporal dependencies within these sequences and generate realistic patient trajectories over time, enabling predictive modeling of disease progression or treatment outcomes. There are several types of predictions that can be made, a binary classification of whether an event will occur (mortality, sepsis, etc), a probability prediction of an event occurring at some time, or a time that an event occurs (survival analysis). Due to time series data's nature of unfixed length and frequency, many ways are proposed to handle such data. In earlier approaches, time points in time series data are treated as separate entries with no relation to each other and passed through a fully connected neural network to predict the disease of interest. However, this approach ignores temporal information that can be crucial in future predictions. One of the most common ways of handling input with variable length is to first convert the input to some fixed length representation, which is then passed through a network. In this paper, measures such as body mass index, smoking status and cholesterol are first converted to an embedding of fixed size, and then passed through an RNN with LSTM layers to predict cardiac dysrhythmias[52]. In another approach, time series data including labs and vitals are divided into 5 relative timepoints to the duration of the hospital stay to fix the input length and predict mortality in heart failure patients[53]. Once the embedding choice is made, the subsequent model of choice is similar between many works. In these work, RNN models are used to predict MRSA positivity[54], mortality[44, 55-57], readmission[58-61], next diagnosis[62-65], length of stay[66, 67], hypertension[68, 69], treatment response[70], and survival analysis[71]. Further, these general use prediction models[26, 72-74] all use a RNN model to predict clinical events based on time series data. Extending from a single prediction point, this work uses LSTM to model irregularly timed time series data and predict multistep future trajectories on diabetes and mental health cohorts[74]. In recent years, researchers have also turned to transformer models for prediction tasks[75-77] as they capture more long-range dependencies and provide more interpretability of model weights than RNNs. In this work, selfattention is used to measure each feature's relevance to each other and also each time point's relevance within each feature to predict delirium in critical care[78], and another paper uses similar mechanisms to predict cardiac patient mortality risk[79]. Transformers have also been useful in making multi-point predictions. BEHRT is one of the earlier models using transformers to predict clinical events, and can predict up to 300 events simultaneously[80]. DuETT is a general purpose transformer based method that takes in time series features[81]. Besides RNN and transformers, a deep diffusion model can also be used to learn complex representations of disease networks that can

be used for downstream prediction tasks[82].

Lastly, counterfactual estimations aim to track the "what-if" trajectory where if a patient was not given any medication or treatment, what would their trajectories look like. To estimate a trajectory that is never observed, one must take into account both observed and hidden confounding variables, which is challenging in causal inference models. AI models offer the advantage of making no prior assumptions about the relationship between variables, only to learn purely from data. However, it does not tackle the hidden variables problem, which is still an active problem in the field. Most commonly, RNN is used to estimate treatment outcomes and therefore provide counterfactual estimation in these works[70, 82-84]. Depending on the task and data at hand, modifications can be made to the basic RNN to achieve better results. In this work, a 2-layer bidirectional LSTM model is used for survival estimation[85]. Similarly, this work combined RNN with g-computation, a causal inference method to estimate treatment effects[86]. A combination of reinforcement learning and RNN can also be used to predict counterfactual evaluation, in this example for public intervention to COVID-19[87]. Besides RNN models, autoencoders have been used to discriminate patient characteristics from treatment to estimate counterfactuals[88].

#### **Comparison with standard methods**

Although direct comparison bewteen GenAI methods and traditional statistical methods in healthcare settings is limited, studies have been conducted to compare the performance of deep learning methods to the standard statistical methods using more general time series data.

Cerqueira et al compared machine learning methods in time series forecasting (Rule-based, random-forest, Gaussian Process regression) to statistical methods (ARIMA, naive2, ETS) using datasets with varying sample sizes up to 1000 and showed that machine learning methods out-performed statistical methods when the sample size was relatively large[89].

Makridakis et al jointly evaluated the accuracy of deep learning methods and popular statistical methods using 1045 M3 dataset[90]. The statistical methods evaluated included the Naitve2 method, ARIMA, ETS and an ensemble approach of ARIMA and ETS. The DL methods included DeepAR, FeedForward, Transformer, WaveNet and an DL ensemble method. The authors showed that among the non-ensemble approaches, the statistical methods on average yielded better performance than DL methods for short range prediction with regard to symmetric mean absolute percentage error (sMAPE) and mean absolute scaled error (MASE), while the DL methods performed better in general for medium and long range predictions<sup>85</sup>. The DL ensemble method using a combination of the four DL methods consistently out-performed the non-ensemble methods in the study<sup>85</sup>.

#### Model selection

Unstructured text data is the most common data form in EHR and has the most existing work among all data modalities and the most number of models available, as illustrated in the workflow in (Figure 3). The flowchart is organized as sections in this paper, where different colors refer to different data modalities for easier visualization. Besides the statistical methods mentioned above, there are also a number of ML models including logistic regression, decision tree, random forest and XGboost methods (colored red in Figure 3). While we do not discuss these methods in this review, there exists review papers that describe them in detail[91-93]. If the dataset size is quite small, a traditional autoregressive or a simple ML method would work better as more complex models require more data. If the dataset size is large, however, deep learning models would perform better as the relationship between features are more complex and tend to be nonlinear. Commonly, the model of choice will be RNN or transformer-based, which also have the most literatures available. Once one has chosen a base model, they can start with a published framework and modify certain parameters based on their goal. If the model is quite simple and only consists of linear layers, they may also consider changing part of the structure to newer architectures such as attention layers, or chaining

two models together to improve performance.

#### Unstructured text data

In this section, we discuss unstructured data, including clinical narratives such as progress notes, radiology reports, patient correspondence and discharge summaries. Unstructured data comprise 80% of EHR data[94]. The loosely structured nature of typed text (also known as 'free text') is effective in day-to-day clinical workflows but presents a major challenge for automating EHR-based registries. The unstructured data may contain key patient information missing in structured data, extra information complementing structured data, or even data that may contradict information represented by structured data. The complexities of unstructured data, along with the fact that existing text mining tools and natural language processing applications have limited accuracy in extracting information from free text, have prompted some registries to ask for a manual chart review of individual patients before final inclusion in the registry. Unstructured data limits the application of automated computational phenotyping methods and increases the likelihood of low data quality (e.g., missing data) when data are extracted from structured EHR data only[95, 96].

#### **Applications**

Since the applications of LLMs on healthcare time series data is still a developing area of research, here we discuss static time applications of these models. We will discuss potential research directions for time series unstructured text based on progress in other fields such as climate and finance in the future works section. Both traditional NLP and foundation models can be used to perform clinical NLP tasks such as clinical concept extraction (or named entity recognition NER), medical relation extraction (MRE), semantic textual similarity (STS), natural language inference (NLI), medical question answering (MQA) and Medical Report Summarization. The domain of medical report summarization is a popular area of research with numerous papers published on the use of NLP and foundation models to summarize medical reports, healthcare records and medical dialogues[97]. A radiology report is a medical document that contains the details of an imaging study (such as X-ray, MRI, etc). A Radiology report consists of three components: (1) Background section which contains the medical history of the patient, (2) Findings section which discusses the crucial observation and findings of the radiology study, and (3) Impression section which is a short summary of Findings section. The impression section is usually written by medical professionals which is a timeconsuming process. The only aim of radiology report summarization is to automate the generation of this impression section. Several attention based and FM based models have been developed to improve the quality of summarization[98].

Medical dialogue summarization corresponds to the automatic generation of coherent summaries that capture medically relevant context from dialogues between patients and healthcare providers. Medical conversation summarization can help medical providers to keep a record of patient encounters and also provide the necessary context of a patient's medical history during patient hand-offs between providers. Existing studies have leveraged techniques from computational linguistics[99], NLP (PEGASUS[100]), pretrained language models and low-shot learning to collect labelled data and perform medical dialogue summarization.

Medical question answering refers to developing a technique that automatically analyzes thousands of articles to generate a short text, ideally, in less than a few seconds, to answer questions posed by physicians [101]. Such a technique provides a practical alternative that allows physicians to efficiently seek information at point of patient care. Several QnA systems have been developed using NLP[102], Foundation Models (GMAI, MedLM).

#### **Comparison with standard methods**

The use of foundation models has several advantages and disadvantages compared to traditional

machine learning models. Foundation models haver a significantly higher computational cost compared to other traditional ML models, requiring massive datasets and GPU requirements in training. As a result, these models are composed of millions to billions of parameters, but can achieve high predictive accuracy. However, this results in poor model interpretability and a higher latency/runtime. Here, we detail six comparison parameters are listed below:

- 1. Cost: foundation models are several times more expensive to train and fine tune, as compared to traditional ML models. While these costs can be lowered over multiple downstream applications, their value may take longer to realize than a smaller model developed for a single high-value task[24].
- 2. Privacy: data privacy and security are significant concerns with foundation models, as they may leak protected health information through model weights or prompt injection attacks[103].
- 3. Interpretability: interpretability is of utmost importance in the healthcare domain, and foundation models have lower interpretability as compared to traditional ML models because of a significantly larger number of parameters and more complex architectures.
- 4. Performance: foundation models have been proven to have an improved predictive performance on classification, regression and generative tasks based on auroc, auprc, F1-Score, and Accuracy[104].
- 5. Multimodality: unlike traditional ML models where the majority of evaluation tasks span one data modality, foundation models are trained and evaluated on multimodal datasets consisting of both text and images.
- 6. Labeled Data: once trained, foundation models require smaller labeled datasets for downstream evaluation tasks, and the zero-shot and few-shot performance is high. On the other hand, traditional ML models require several thousands of training examples for the performance to scale well.

#### Model selection

Foundation models hold great potential to assist clinicians for a wide range of healthcare problems. However, clinicians should be aware of the risks associated with the use of these models, and potential data leaks. They should also select which models to use based on the computational resources available to them as shown in (Figure 3), since the training of these models is getting increasingly expensive (Table 1).

#### **Medical imaging**

Medical imaging refers to 2D or 3D images obtained from radiology procedures such as magnetic resonance imaging(MRI), computed tomography(CT) scan and waveform recordings (EEG, ECG, etc.). Generative AI has been applied to a wide set of problems in medical imaging to aim and solve the problems of scarcity, heterogeneity between datasets, low resolution quality, etc. The medical images are usually first aligned to an existing template for uniformity, and are represented by 2D matrices or 3D vectors of the pixels/voxels. There are three main classes of generative vision methods: GANs, VAEs, and DDPMs. Each of these classes have been applied in medical imaging including waveform data. Among all applications, medical image series and waveform series data input have been used mostly in anomaly detection and classification, data imputation, and image segmentation and registration tasks.

#### **Applications**

The most common application in longitudinal images is anomaly detection and disease progression prediction. For clinical diagnosis, generative AI based anomaly detection tools have been developed where the model identifies deviations in one image from the rest of the population, aiding in the

detection of diseases or abnormalities. For medical image series, previous scans are used as inputs or conditional variables to predict the next image. Many works in this space are a variation of GAN. as they were introduced earlier than VAEs and DDPMs. GP-GAN uses stacked GANs to predict tumor growth from longitudinal MRI[105]. MPGAN makes longitudinal Prediction of Infant MRI With Multi-Contrast Perceptual Adversarial Learning[106]. In LD-GAN[107], the authors predict disease progression images with missing MRI in the input. In these papers, the authors train a GAN to predict autistic spectrum disorder given longitudinal sMRI scans[108]. More recently, VAEs have been applied extensively as they offer a latent space to work with. In general, researchers start with a vanilla VAE and apply slight modifications to fit their data modality and goal to detect and predict diseases including: MS[109], AD[110-113], tau-biomarker detection for AD[114], glaucoma[115] and lung cancer[116]. There has also been an increase in works published using multi-modality data such as imaging data and tabular or genetics data. In this work, the authors train a VAE model to predict disease progression score based on longitudinal images and microRNA data input[117]. In dealing with multi-modality inputs, a combination of deep learning models dealing with each modality may also be advantageous. This work combined VAE with a linear mixed-effect model to learn a Riemman progression model that can be applied to general disease trajectory estimation[118]. Another work combined an autoencoder framework with attention units in a transformer to predict final ischemic stroke lesions from MRI[119]. In this paper, a spatio-temporal convolutional LSTM is learned to combine imaging and non-imaging data to predict tumor growth images[120]. C-SliceGen is a method that takes an arbitrary axial slice in the abdominal region as a condition and estimates a vertebral level slice[121].

Similar to tabular data, data generation is also a task of interest. For imputing waveform data such as EEG and EGG signals, GANs have been used extensively[122-127], along with diffusion models[128]. For longitudinal medical image series, all three classes have been applied. In this work, a recurrent conditional GAN is used to generate sequential image data conditioned on past variables. Diffusion based models have also been applied to impute longitudinal CT and MR medical images[129]. Finally, an example of applying autoencoders with contractions on the latent space is used to predict and generate aging brain images with only the input of age and disease state[130].

A very common task in medical imaging is image segmentation and registration. We note here that while image segmentation and registration tasks are different concepts, the underlying models applied to solve the problems are largely the same. In this work, an encoder-decoder structure model is used for contrastive learning for image segmentation[131]. Extending from autoencoders, a conditional VAE is used to produce cardiac image segmentation conditioned on pre-defined anatomical criteria[132]. We note here that while work in this area with time series input is scarce, the underlying model and training process remain the same for single time input models[133-138]. Furthermore, many of the methods mentioned above for anomaly detection can also be applied to registration and segmentation tasks during training.

#### **Comparison with standard methods**

As an alternative to CNN and vision models, a common way to analyze imaging data is to convert the image to numeric outputs (by extracting measures such as thickness, mean intensity, etc) that can be fed into linear models for tasks such as classification and segmentation. The first step is considered feature extraction, which is separate from subsequent tasks. To work with images directly, linear models of estimation such as PCA or single value decomposition are often used. These methods estimate a population average and individual variabilities based on external variables and generate a new image by randomly sampling variations to be added onto the population average. However, these generations often have poor resolution or fail to adequately capture variability. In contrast, modern neural network approaches (e.g., CNN) jointly learn the optimal feature extraction strategy together with the downstream task. GenAl algorithms are thus able to consider highly nonlinear and nuanced interactions between inputs that better capture the underlying distribution of data.

#### Model selection

With computer vision models' success in recent years, there is a lot of potential in their applications in medical imaging. In recent years, diffusion models have shown great promise in generating images that are comparable to the state-of-the-art GAN methods. Furthermore, GenAI methods that support video generation can potentially be adapted for medical imaging[139-143]. However, some issues that are present in current vision models are also propagated to medical imaging, such as illogical generations that do not comply with physical laws. Therefore, clinicians should take the generations with a grain of salt when applying it to downstream tasks.

Although there are many tasks associated with imaging data input, the underlying model architecture remains largely the same for prediction, synthesis, registration or even domain transfer tasks. For anyone with time series or longitudinal images for any tasks, choosing one of the three families of vision methods following the workflow in (Figure 3) is a good place to start. One may also refer to (Table 1) to compare the models' strengths and weaknesses. GANs are an earlier framework that remain powerful today, especially with synthetic generation, but are more complicated to implement as it has a generator and a discriminator. On the other hand, VAEs offer a latent space that can be used to downstream linear models such as mixed effect models to control for variables such as age, but its generation quality may not be as ideal as the other two. Lastly, diffusion models are largely applied in recent years due to their simple architecture compared to the other two and low memory requirements, but offer very little interpretability on the generation process. For clinicians with limited computing resources, large 3D images may be too large to fit onto GPU, and in many cases the images can be split into smaller patches to reduce memory footprint[144]. Lastly, there are publicly available general purpose vision models that can be downloaded as a starting point, which can be further trained and fine-tuned for the specific task at hand.

#### Genetics and multi-omics data

Trajectory modeling in multi-omics data helps us understand how biological systems like cell development, diseases, or reactions to treatments change over time. Multi-omics data emcompasses genomics, transcriptomics, proteomics, epigenomics, and metabolomics, offering a detailed picture of biological activities at various molecular layers. In genomics, DNA sequences are represented as strings of nucleotides ATGC, which can be transformed into a numerical matrix where each nucleotide is represented as a binary vector. For transcriptomics, expression data is often represented as a matrix of continuous numeric values where each row corresponds to a sample or a cell and each

column to a gene or transcript; proteomics data is similarly structured but represents peptide abundances or protein expression levels, and epigenetic data such as methylation status is typically captured as binary values or continuous levels indicating methylation at specific sites. By merging this data and using trajectory modeling, clinicians and scientists can uncover time-related trends and critical factors that influence those biological shifts.

#### **ML and DL applications in multi-omics**

Identifying pathogenic mutations is pivotal for unraveling the genetic underpinnings of diseases. The task of variant effect prediction (VEP), which seeks to determine the phenotypic implications of genetic variations, stands as a cornerstone challenge in human genetics. Traditional approaches, leveraging statistical methods alongside evolutionary conservation scores such as SIFT and PhyloP, have made strides in classifying variants of uncertain significance[145, 146]. Despite these advancements, accurately differentiating between variants that disrupt protein function and those with neutral effects remains a daunting challenge. The persistence of many missense variants within the gray area of VUS significantly hampers the diagnostic utility of exome sequencing in clinical settings.

To effectively address the challenge of categorizing mutations, particularly those classified as variants of uncertain significance (VUS), ML techniques have been increasingly applied. These methods leverage a broad spectrum of features, including documented phenotypic outcomes of known genetic variants, functional annotations from genomic databases, evolutionary conservation scores that highlight the significance of sequences across species, and epigenetic data offering insights into gene regulation mechanisms. Among the array of ML methodologies, random forests, gradient boosting models, and support vector machines (SVM) stand out as potent tools for training complex genetic datasets to decipher VUS. Random forest, for example, operates by constructing multiple decision trees during training and outputting the mode of the classes (for classification) or the mean prediction (for regression) of the individual trees. This approach is particularly adept at managing the heterogeneity and high dimensionality of genetic data, with DEOGEN2, RENOVO, and VEST4 serving as prime examples of random forest application in this field [147-149]. Gradient boosting models enhance decision-making by building an ensemble of decision trees sequentially, with each new tree correcting errors made by the previous ones. This method excels at detecting intricate patterns within data, thereby producing highly precise classification models. M-CAP and MutPred2 utilize gradient-boosting trees to demonstrate the method's effectiveness in markedly reducing the number of VUS in clinical exomes, all the while maintaining high sensitivity [150, 151]. Moreover, the integration of multiple ML methods, as seen in ClinPred, which combines random forest and gradient boosting models, exemplifies the pursuit of superior performance in predicting the impact of VUS. Despite these advancements and superior performance, ML methods face limitations, particularly in handling the vast complexity and the subtle nuances inherent in genetic data. The high dimensionality of genomic information and the intricate interactions between genetic variants often exceed the capacity of traditional ML techniques, leading to potential overfitting and a lack of generalizability to unseen data.

DL methods, including CNNs and RNNs, offer a promising solution for addressing the challenges of modeling the complex, non-linear relationships found in high-dimensional biological data. These methods significantly diminish the need for manual feature engineering by efficiently learning from raw data. Among the DL models developed for predicting VUS, such as ESM1b, EVE, MetaRNN, MBP, PrimateAI, and DANN. ESM1b is particularly noteworthy for its innovative use of a protein language model developed by MetaAI[152-157]. ESM1b has demonstrated exceptional capability in classifying approximately 150,000 ClinVar/HGMD variants and annotating around 2 million variants as damaging in specific protein isoforms, a feat not previously achieved with ML-based methods. This focus on isoform-specific annotations underlines the critical importance of considering all protein isoforms in variant effect predictions, marking a significant advancement that

ESM1b contributes to genetic research.

#### **Trajectories modeling in multi-omics**

Time-series gene expression data has been an abundant source for studying the complicative of biological processes and disease development. Huang et al.[158] analyzed time-series expression data from infected human volunteers with influenza. They identified distinct temporal patterns of gene expression that could discriminate between asymptomatic and symptomatic infections. Similar approaches have been used to study the response to various treatments, such as interferon-beta therapy in multiple sclerosis patients[159].

Single-cell transcriptomics data has revolutionized our understanding of cellular dynamics. Pseudotime inference is a widely used approach for reconstructing cellular trajectories from singlecell data. The idea behind pseudotime is to order cells along a continuous trajectory based on their transcriptional similarity. Monocle uses single-cell variations to sequence cells in pseudotime, depicting their progression through biological processes like differentiation, based on gene expression. Monocle2[160] employs reversed graph embedding (RGE) with a minimum spanning tree algorithm for pseudotime reconstruction, while Monocle3[161] enhances this with principal graph learning for refined trajectory inference. Wanderlust adjusts each cell's trajectory position using weighted averages from the shortest-path distances of randomly chosen waypoints until convergence, thereby producing an average trajectory. scVelo[162], a likelihood-based dynamical model, estimates RNA velocity to derive dynamic insights from RNA sequencing data, analyzing gene-level transcriptional dynamics by determining gene-specific transcription, splicing, and degradation rates, suitable for both transient states and systems with varying subpopulation kinetics. SCUBA (Single-Cell Universal Bayesian Analysis)[163] forecasts the temporal evolution of gene expression in single cells using theories of nonlinear dynamics and stochastic differential equations, aiding in the comprehension of gene expression dynamics. PAGA (Partition-based Graph Abstraction)[164] merges clustering and trajectory inference in single-cell RNA sequencing data, creating a graph to depict cell relationships based on gene expression and enhance understanding of cellular transitions. Lastly, TIGON[165] uses dynamic unbalanced optimal transport (OT) based on the Wasserstein-Fisher-Rao (WFR) distance to integrate temporal datasets, which provides a framework for connecting temporal measurements and predicting novel dynamics.

In the fields of proteomics, epigenomics, and metabolomics, CellTag-multi[166], a method for single-cell lineage tracing across scRNA-seq and scATAC-seq assays, mapped transcriptional and epigenomic states of progenitor cells, significantly improved cell fate prediction. Palii, Carmen G., et al.[167] used single-cell proteomics to define the temporal hierarchy of human erythropoiesis, the analysis reveals a timely ordered appearance and disappearance of transient cell populations or stages that accumulate at various positions along the erythroid trajectory, with cells undergoing gradual transitions between these stages. Additionally, Linear Mixed Models (LMMs) have been utilized to quantify temporal changes in metabolite concentrations, offering insights into the dynamics of the metabolic system[168, 169].

#### GenAI in multi-omics and model selection

GenAI has shown great promise in analyzing multi-omics data for disease progression analysis. By utilizing techniques such as omicsGAN, which employs Generative Adversarial Networks (GANs), and GLUE and MultiVI, which use VAEs, GenAI facilitates the integration and compression of multi-omics data from diverse sources into a unified representation[170-172]. This aids in a more comprehensive analysis of the data. Additionally, tools like PRESCIENT leverage generative modeling to map out potential landscapes from time-series single-cell transcriptomics data, making it

possible to generate trajectories for unseen data points[173]. This opens up avenues for hypothesizing about biological perturbations and pathways of disease progression. Moreover, GenAI proves instrumental in identifying distinct disease subtypes and stratifying patients based on their multi-omics profiles. For example, Gene-SGAN utilizes a GAN to generate imaging features from brain MRIs and SNPs to explore conditions such as Alzheimer's Disease and hypertension [174], while the T-GAN-D model stratifies breast cancer patients into high vs. low-risk categories based on their transcriptome profiles[175]. For clinicians interested in working with multi-omics data, we outline a procedure for finding the best tool in (Figure 3) based on their specific needs, such as resource availability and the type of data analysis required, including genetics, single-cell transcriptomics, and proteomics/epigenomics.

#### **Discussion**

# Challenges and ethical considerations Regulatory and Legal Challenges

Policies such as the Health Insurance Portability and Accountability Act (HIPAA) in the U.S.[176], along with the General Data Protection Regulation (GDPR) in the European Union[177], provide regulatory frameworks to safeguard personal health information. However, the advent of new genAI technologies in healthcare presents a challenge to these existing laws. While these regulations clearly address the handling of directly identifiable health data, the nuances of AI-generated synthetic data or the indirect identification risks posed by AI analyses can be less clearly defined[178]. The rapidly evolving nature of genAIs further complicates compliance. Traditional regulatory frameworks are often static and slow to adapt to technological advancements. In contrast, AI in healthcare is rapidly evolving, with new models and applications emerging at a pace that surpasses regulatory updates. The surge in new genAI models since 2019, is reflected by the exponentially growing number of published articles[179]. This mismatch can result in healthcare providers and AI developers being unsure about their legal responsibilities and the best practices for protecting patient privacy when using these new technologies. For instance, chatbots like ChatGPT generate responses that may contain portions of copyrighted materials, such as books or novels, and potentially include personal privacy information, such as addresses or passwords[180, 181].

Addressing these legal challenges requires a concerted effort from policymakers, legal experts, AI developers, and healthcare providers. Together, they must work to update existing laws, formulate new regulations, and establish ethical guidelines that ensure the safe, responsible, and equitable use of generative AI in healthcare[182]. So far, some progress has been made. The U.S. congressional leaders are currently formulating the SAFE Innovation Framework to steer AI legislation, with a focus on security, accountability, and explainability[183]. The world's first major act to regulate AI was passed by European lawmakers on March 13, 2024, to ensure the safety and compliance of AI[184]. In 2023, the Cyberspace Administration of China issued the Interim Measures for the Administration of Generative Artificial Intelligence Services (PRC AI Regulations), emphasizing the responsible and transparent utilization of Generative AI Services[185].

#### **Technical Challenges**

Using pre-training and fine-tuning for time series forecasting in healthcare, such as predicting patient outcomes, faces two main problems: the short length of time series and significant changes in data over time[186]. The shortness of healthcare time series makes it hard to train models because there's not much historical data on patients, increasing the risk of models learning from random noise instead of real trends and reducing their ability to make general predictions. This lack of data also makes it difficult to estimate parameters accurately, limiting how well models can understand and

predict health trends. Additionally, changes in patient conditions, treatment methods, or external factors over time can alter the data distribution, requiring models that can adapt to these new patterns to ensure they continue to forecast accurately across various conditions and patient groups [187].

#### **Ethical Considerations**

While generative AI offers significant potential in healthcare, particularly in understanding and predicting disease trajectories, its implementation must be approached with caution for ethical considerations[188], such as privacy, security, bias, and transparency.

#### Data Ownership

In the complex terrain of healthcare generative AI, the notion of data ownership emerges as a pivotal concern, interweaving regulatory, ethical, and practical dimensions. At its core, data ownership refers to the rights and responsibilities associated with the control, use, and dissemination of data[189]. In the healthcare sector, this encompasses a broad spectrum of data types, from patient medical records to genetic information and AI-generated synthetic datasets. The ambiguity surrounding ownership of such data poses significant challenges, particularly in light of new AI technologies that defy traditional categorizations of data generation and utilization. For instance, the generation of synthetic data by AI for research or diagnostic purposes raises questions about who holds the rights to this data—the entity that developed the AI, the healthcare providers who supplied the original data, or the patients themselves.

#### **Data Privacy**

Data privacy in the context of healthcare generative AI pertains to individuals' right to control how their personal information is collected, used, and shared[190]. Current regulations mandate the adherence to principles of data minimization, purpose limitation, and consent, among others, ensuring that patient data is handled in a manner that respects privacy rights[191]. Despite existing regulations, the implementation of generative AI technologies presents intricate challenges in preserving patient confidentiality. Primarily, the character of generative AI, especially in the context of training LLMs, requires extensive patient data during the training phase[192]. In health care, training such models implies an enormous amount of detailed patient histories, genetic information, and other sensitive data points will be used. Re-identification of anonymized data via advanced algorithms presents a substantial risk to data privacy, potentially subjecting individuals to privacy violations and unauthorized access to their personal health records[193, 194]. Consequently, it is imperative that raw patient data is adequately protected and cannot be retrieved by any means in the final product.

#### **Data Security**

As AI models grow larger, the need for healthcare data and collaboration grows and with it the number of healthcare data/model breach events[195]. In 2023 alone, over 500 cases affecting more than 112 million individuals were reported[196, 197]. At the time of writing this review, 120 new cases were reported on the OCR website within the first two months of 2024. Unauthorized access or breaches to the training data could result in the disclosure of highly sensitive and confidential patient information, violating HIPPA and other confidentiality laws[198]. Phishing, malware, and data center penetration represent conventional cyberattack methodologies. However, generative AI, particularly LLMs, have introduced another form of attack known as prompt injection. This issue arises when an end user manipulates the model by inputting instructions that compel it to circumvent its preestablished operational parameters, including safety and content restrictions. Such manipulation can result in the model executing actions or producing outputs that it was programmed to avoid, such as engaging in prohibited discussions or disclosing sensitive information[199]. As we continue to

explore the potential of generative AI in healthcare, prioritizing data security will be key to achieving its full benefit while respecting the rights and dignity of patients. Currently, the most comprehensive countermeasures employed include prompt filtering and the human-in-the-loop system[199, 200]. However, these measures come at the price of poorer model performance, prompting the need for a better AI-suited strategy. In recent work, Salem et al. implemented Maatphor to mark prompt injection datasets at the pretraining phase[201], Piet et al. employed Jatmo to defend against prompt injection during the training phase[202], and Chen et al. utilized StruQ to eliminate the harmful portion of the prompt during the filtering phase[203]. As the field grows rapidly, more strategies will be developed to combat attacks on data security.

#### **Bias**

Another critical issue of generative AI in healthcare is the potential for bias and discrimination. These models are trained on vast amounts of data, which may inadvertently encode societal biases, stereotypes, or historical patterns of discrimination[204]. Such biases in AI can lead to disparities in treatment across racial, gender, or patient groups[205]. A notable example is an algorithm flagged by Obermeyer et al. for racial bias in patient care prioritization in the US, favoring white patients due to its reliance on healthcare costs as a health proxy[206]. Additionally, Zack et al. found that GPT-4 might reinforce gender biases by associating diseases with specific genders[207]. The root causes of AI bias are multi-layered, spanning from data collection to model development, leading to underperformance for marginalized groups[208-211]. These include data collection flaws, historical data inequalities, and subjective data annotation. To address these pervasive issues, a comprehensive and informed strategy is required across the entire spectrum of AI development and implementation processes.

#### Clinical Safety and Reliability

The assessment of clinical safety and reliability is paramount for healthcare genAls. It underpins the trust and efficacy of these technologies in medical settings. One challenge is the potential for generative Al models to produce inconsistent, contradictory, or erroneous, particularly when dealing with complex medical scenarios or rare conditions[212]. One cause is that these models may exhibit hallucinations, especially with LLMs. They generate plausible-sounding but factually incorrect information, or make recommendations that could potentially harm patients if acted upon without proper scrutiny[213]. In healthcare, the tolerance for hallucination is considerably lower and more stringent. A single error could result in delayed or inappropriate treatment, potentially exacerbating the patient's condition or causing unnecessary harm.

#### Transparency and Explainability

The "black box" nature of AI models, particularly in deep learning models, makes transparency and explanability difficult to achieve. Transparency refers to the openness and accessibility of AI systems[214]. Explainability concerns the capacity of AI systems to offer comprehensible and interpretable justifications for their outputs or decisions[215]. Clear and confident decisions are critical in healthcare, and the obscurity of AI processes poses a significant obstacle to its acceptance and utilization[216]. Efforts to enhance AI's transparency and explainability are increasing. Explainable AI (XAI) techniques, such as feature importance scores, decision trees, and neural network visualization activations are often utilized[217]. Regulatory and ethical frameworks also play a crucial role in promoting transparency and explainability and need to be better established[218].

#### **Future Directions**

In this section, we highlight some fundamental advances in GenAI that could impact healthcare and discuss possible future directions of the field. Large vision models have moved beyond static imaging and have shown the ability to generate and extrapolate videos. Most notable of which, Sora from OpenAI is a model that can generate realistic videos from text inputs[219]. Such capabilities could be used to better characterize and forecast imaging-based trajectories. Similarly, foundational models for time series data are a developing area of research, with several technical papers recently published. One of the most notable, TimeGPT[220] has been developed as a general forecasting model and exceeded state-of-the-art across multiple domains, including finance, web traffic, IoT, weather, demand, and electricity. The transition from discriminative to generative modeling enabled by GenAI also pushes the capabilities of personalized medicine, for example individual treatment effect estimation and prognostication via trajectory generation[221].

Current applications of GenAI are also limited in that they are mostly restricted to the inpatient setting, where time-varying data are regularly sampled with high fidelity. By contrast, outpatient data are sparsely sampled and heterogeneous. The generative capabilities of GenAI mentioned above also provide a path for model-based inference in the outpatient setting.

#### Conclusion

While GenAI continues to be applied to healthcare, its application to time series healthcare data is still limited. In this survey we cover and critique existing applications of GenAI to time series health data, noting that recently developed technical capabilities remain to be exploited. To facilitate better collaboration between technical and applied disciplines, we hope this review bridges the gap and promotes collaboration to develop better methods that are suitable and robust in a practical setting.



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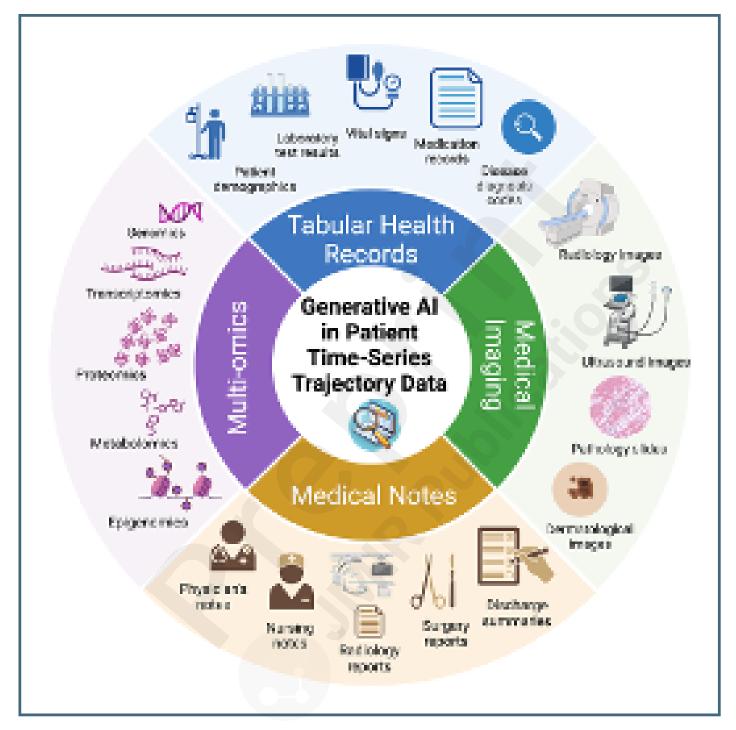
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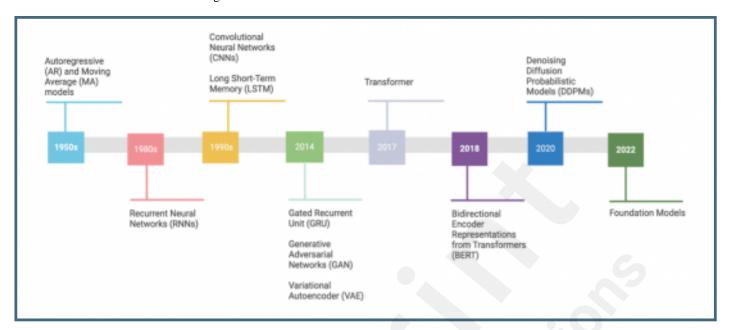
# **Supplementary Files**

# **Figures**

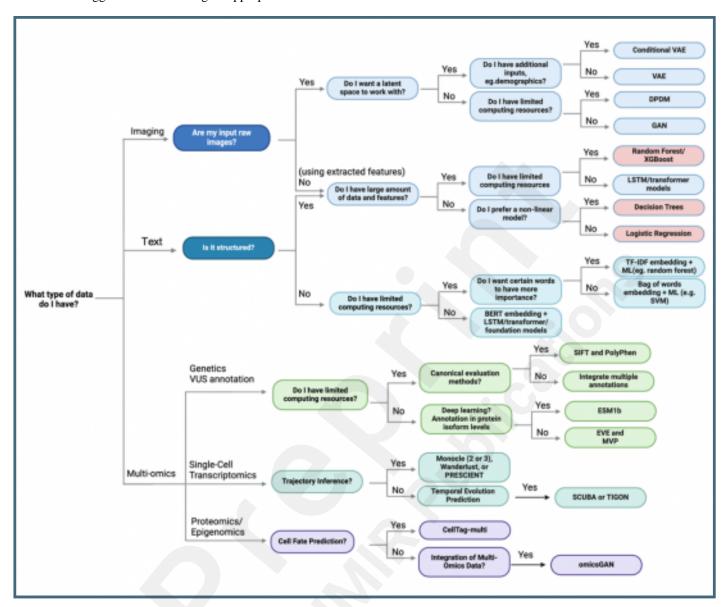
Types of data modality utilized in generative AI for time-series analysis.



Milestones in time-series forecasting models.



Workflow suggestion for choosing an appropriate model.



### **Multimedia Appendixes**

Number of existing reviews and surveys published after 2010 from the preliminary search by year (before filtering), identified by the preliminary search with AI and biomedical related keywords: ("Generative AI" OR "Generative artificial intelligence" OR "genAI" OR "foundation model" OR "GPT" OR "Generative Adversarial Networks" OR "transformer" OR "variational autoencoder" OR "diffusion model" OR "flow model") AND ("review" OR "summary" OR "survey") AND ("time series") AND ("disease trajectory" OR "healthcare").

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Summary on existing reviews on AI in healthcare (1=limited coverage, 2=partial coverage, 3=comprehensive coverage, NA=not applicable), part 1.

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Summary on existing reviews on AI in healthcare (1=limited coverage, 2=partial coverage, 3=comprehensive coverage, NA=not applicable), part 2.

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