

# The feasibility and acceptability of sharing video recordings of ALS clinical encounters with patients and their caregivers: a pilot randomized clinical trial.

Reed Bratches, Jeffrey Cohen, Elizabeth Carpenter-Song, Lisa Mistler, Paul Barr

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# Table of Contents

Original Manuscript	5
Supplementary Files	25
Figures	
Figure 1	
Multimedia Appendixes	
Multimedia Appendix 2	29
Multimedia Appendix 3	29
CONSORT (or other) checklists	30
CONSORT (or other) checklist 0	30

The feasibility and acceptability of sharing video recordings of ALS clinical encounters with patients and their caregivers: a pilot randomized clinical trial.

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# Abstract

**Background:** Multidisciplinary clinics (MDCs) provide benefits to patients and their caregivers, but visits are information heavy and can last four hours. There are questions about the effectiveness of current methods of sharing information from MDCs with patients.

**Objective:** The objective of this trial is to determine the feasibility and acceptability of sharing information via video recordings of amyotrophic lateral sclerosis MDCs with patients and caregivers.

Methods: Randomized, controlled pilot trial with 3 months of follow-up from April 2021 – March 2022 in a rural multidisciplinary neurology clinic. Patients with amyotrophic lateral sclerosis, their caregivers, and their clinicians were recruited. Patients and their caregivers were randomized to either receive their normal after-visit summary (TAU), or to receive their normal after-visit summary and a video recording of their multidisciplinary clinic visit (VIDEO). Each specialist visit had its own recording and was accessible by patients and caregivers using a secure online platform called HealthPAL over a three-month follow-up period. Primary study outcomes were feasibility and acceptability of the video intervention measured by recruitment rate (target: 70%), percentage of participants watching videos (target: 75%), and the Feasibility of Intervention Measure and Acceptability of Intervention Measure (targets: 3/5). We hypothesized that video recording would be feasible and acceptable to patients and their caregivers.

**Results:** Of 30 patients approached, 24 were recruited, while all family caregivers (n=20), and clinicians (n=34) approached were recruited. A total of 144 specialist visits were recorded, approximately 12 specialist visits at a median of one multidisciplinary clinic visit per patient. Of recorded patients, 9 out of 12 (75%) viewed videos. High median intervention feasibility (4.00; SD 0.99) and acceptability (4.00; SD 1.22) of intervention measures were reported by patients and caregivers in the intervention arm. High median intervention feasibility (5.00; SD 0.21) and acceptability (4.88; SD 0.40) were reported by clinicians. Of the 24 patients, 12 (50%) did not complete 3-month follow-up primarily due to death (n=10).

**Conclusions:** Video recording is highly feasible and acceptable for patients, caregivers, and clinicians at a rural amyotrophic lateral sclerosis clinic. Our level of attrition is a useful benchmark for future studies in multidisciplinary clinic populations. Despite high rates of patient death, 1-week assessments highlight the value of recordings for both patients and caregivers. Clinical Trial: This trial was registered on clinicaltrials.gov (ID NCT04719403) in 01/2021.

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# **Original Manuscript**

### Original Paper

The feasibility and acceptability of sharing video recordings of ALS clinical encounters with patients and their caregivers: a pilot randomized clinical trial.

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# **Abstract**

**Background**: Multidisciplinary clinics (MDCs) provide benefits to patients with amyotrophic lateral sclerosis and their caregivers, but MDC visits are information heavy and can last four hours, with patients and caregivers meeting with multiple specialists within each MDC visit. There are questions about the effectiveness of current methods of sharing information from MDCs with patients. Video recordings are a promising new method of sharing information that may allow patients and caregivers to revisit the MDC and remind them of clinical recommendations and conversations.

**Objective:** The objective of this trial is to determine the feasibility and acceptability of sharing information via video recordings of amyotrophic lateral sclerosis MDC visits with patients and caregivers.

**Methods**: This study was a randomized, controlled pilot trial with 3 months of follow-up from April 2021 – March 2022 in a rural multidisciplinary neurology clinic. Patients with amyotrophic lateral sclerosis, their caregivers, and their clinicians were recruited. Patients and their caregivers were randomized to either receive their normal after-visit summary (Treatment As Usual or TAU), or to receive their normal after-visit summary and a video recording of their multidisciplinary clinic visit (VIDEO). Each specialist visit had its own recording and was accessible by patients and caregivers using a secure online platform called HealthPAL over a three-month follow-up period. Primary study outcomes were feasibility and acceptability of the video intervention measured by recruitment rate (target: 70%), percentage of participants watching videos (target: 75%), and the Feasibility of Intervention Measure and Acceptability of Intervention Measure (targets: 3/5). We hypothesized that video recording would be feasible and acceptable to patients and their caregivers.

**Results**: Of 30 patients approached, 24 were recruited, while all caregivers (n=21), and clinicians (n=34) approached were recruited. A total of 144 specialist visits were recorded, approximately 12 specialist visits at a median of one multidisciplinary clinic visit per patient. Of recorded patients, 9 out of 12 (75%) viewed videos. High median intervention feasibility (4.00; SD 0.99) and acceptability (4.00; SD 1.22) of intervention measures were reported by patients and caregivers in the intervention arm. High median intervention feasibility (5.00; SD 0.21) and acceptability (4.88; SD 0.40) were reported by clinicians. Of the 24 patients, 12 (50%) did not complete 3-month follow-up primarily due to death (n=10).

**Conclusions**: Video recording is highly feasible and acceptable for patients, caregivers, and clinicians at a rural amyotrophic lateral sclerosis clinic. Our level of attrition is a useful benchmark for future studies in multidisciplinary clinic populations. Despite high rates of patient death, 1-week assessments highlight the value of recordings for both patients and caregivers.

**Trial Registration**: This trial was registered on clinicaltrials.gov (ID NCT04719403) in 01/2021.

Keywords: feasibility; acceptability; amyotrophic lateral sclerosis; digital intervention

#### Introduction

Amyotrophic lateral sclerosis (ALS) is a neurodegenerative disorder causing progressive loss of motor functioning typically leading to death within five years of symptom onset.[1][2]·[3] Patients and their caregivers require information from multiple clinical specialties to guide treatment plans involving interrelated aspects of their disease.[4] Multidisciplinary clinics (MDCs) where patients meet with up to 12 specialists sequentially during a 3 – 4 hour visit are the gold-standard approach to care management.[5] Given the duration and complexity of MDC visit less than 40% of treatment recommendations are recalled by patients.[6,7] This also impacts caregivers who report stress when they do not have enough information about managing the patient's condition.[8] [9]

MDCs currently provide patients with brief, written after-visit summaries (AVS) of their treatment plan from each specialist, yet the AVS is not comprehensive and is typically written in ways that are not easily understood by patients.[10,11] Sharing video recordings of clinic visits is an innovative strategy that may assist with addressing this knowledge gap by providing a more detailed and accurate record than memory alone.[12] While not studied in ALS, access to visit recordings has been linked to better self-management and treatment adherence in other chronic conditions.[13]

We conducted a randomized controlled pilot trial to determine the feasibility and acceptability of video recording in an ALS MDC setting. By understanding whether recordings are feasible or acceptable, the results of this pilot study will help determine whether these modalities have potential for future study. These findings will inform the development of future trials that are inclusive of patients with ALS and their caregivers, and which may help facilitate recall and understanding of visit information.

### **Methods**

#### **Ethical Considerations**

This study received ethical approval from the Dartmouth Health Institutional Review Board (Study ID 02000798) and was registered on clinicaltrials.gov (ID NCT04719403) in 01/2021. Recruitment began in 04/2021 and continued through 03/2022. Participants were consented according to Dartmouth Health protocols and were given paper and electronic copies of consent forms for review. Data collection concluded 06/2022. De-identified data are stored on protected and secured hard drives at Dartmouth College. This study adhered to the CONSORT extension for pilot trials.[14] (See Appendix A)

#### Design

We conducted a randomized, controlled pilot trial. Participants were allocated to receive their usual AVS or their usual AVS plus video recording of their ALS MDC visit (VIDEO) on a 1:1 ratio using variable block randomization with block sizes of 4 and 2. The randomization sequence was produced programmatically in R version 3.4 (Boston, MA) by RB and participants were randomly assigned upon completion of the baseline assessment.

# Setting

Participants were recruited from the Dartmouth Health Heater Road clinic. MDC occurred each month, with clinicians rotating between rooms based on a pre-set clinician schedule with visit times approximating 30-40 minutes.

#### **Participants**

<u>Clinic staff</u>: Clinical staff were recruited in January 2021 during a pre-MDC team meeting; we aimed to recruit, consent, and survey all clinicians, though not all clinicians attended every MDC visit or met with each patient.

Patients and caregivers: We included patients aged ≥18 years, who communicated in English and were primarily treated for ALS. Caregivers, aged ≥18 years, were identified by patients as a family member or friend who assists with their health and health care. Patients were sent letters describing the research project three weeks prior to their visit and given the opportunity to opt-out. Between 7-10 days prior to their visit potential patients (and their caregivers) were contacted by telephone, to determine their interest and eligibility. Eligible patients and caregivers could complete consent in-person or remotely. Post consent, patients completed a baseline assessment and were then randomly allocated to the Treatment as Usual (TAU) arm or VIDEO arm.

#### **TAU**

In the treatment-as-usual arm, patients and their caregivers met with clinicians as normal, with no change to clinic procedures.

#### Audio/video Intervention

All MDC visits during the 3-month study period for each participant in the VIDEO arm were recorded on a Canon Vixia camcorder and RØDE microphone. Patients accessed their recordings via HealthPal. HealthPAL is an NIH funded, open-source, HIPAA-compliant clinic visit recording, storage, and sharing platform developed by a member of the research team (PJB) and colleagues at Dartmouth College.[6,15] The camera was set up to capture the clinical encounter in-frame, including patient, caregiver, and clinician(s). A secure, password protected HealthPAL account was created for each patient that could be accessed within 48 hours of the clinic visit. In HealthPAL, each clinician visit was labeled by clinician, clinician specialty, and date, to allow easier navigation of the MDC recording. Though not required in our protocol, recordings could be shared with caregivers by the patient inviting the caregiver to create their own account or by watching the videos on the patient's account.

#### Study Procedures

At the clinic, a research associate (RA) managed the recording procedure, including set up and turning on and off the recording device. Within two days of the visit, patients would receive an email directing them to HealthPAL to access their recordings. Participants completed online follow-up surveys and interviews at one-week and three-months to explore factors related to feasibility of our research protocol and acceptability of recording. Participating patients received an honorarium of \$20 for completing each assessment and \$30 for completing the post-study qualitative interview.

### Patient and caregiver interviews

Patients and caregivers in the VIDEO arm were invited to complete semi-structured interviews informed by the Consolidated Framework for Implementation Research to better understand how they used the video recordings, barriers, and facilitators to recording implementation, and the impact of the recording on the clinic visit interactions (Appendix B).[14] Participants were given the option receive an email containing questions from the interview guide; this option was implemented in response to participant functional limitations, as ALS disease progression precludes many patients from speaking effectively.[16] Follow-up surveys and interviews were completed by RWRB, a male with qualitative methods training, and transcripts were audio-transcribed using TranscribeMe<sup>TM</sup> (Oakland, CA).

# Clinician Surveys

At the conclusion of the project, a six-question follow-up survey was distributed to all regular clinic staff at pre-clinic meetings to assess feasibility, acceptability, and appropriateness of VIDEO, and open-ended questions to identify challenges associated with recording, and their potential effects on practice style and patient encounters.[17]

#### **Outcomes**

Primary outcome measures were feasibility and acceptability of recording. We used two validated surveys, the Feasibility of Intervention Measure (FIM) and Acceptability of Intervention Measure (AIM);[17] we prespecified a target median FIM and AIM of  $\geq 3$  out of 5 based on published thresholds to indicate feasibility or acceptability.[17] We also assessed acceptability and feasibility based on the actual review of the video recordings: based on previous guidelines, we determined that 70% or higher viewing rate would indicate high feasibility and acceptability.[18] We collected preliminary data on patient and caregiver behavioral and health related outcomes including anxiety, depression, and adherence to exercise and medications using validated patient-reported surveys (see Box 1 for more information on outcomes).

#### **Analysis**

We aimed to recruit a sample of 24 patients, and at least 12 caregivers, as 10-30 participants is adequate to

detect feasibility issues and gather preliminary quantitative outcome data.[19]

#### **Quantitative Analysis**

In the process of determining feasibility and acceptability, we collected exploratory outcome data to determine whether participants would complete baseline and follow-up surveys. We present results of tests of differences using the Mann-Whitney U test between the intervention and TAU at each timepoint, and the results of a repeated-measures ANOVA between baseline and one-week. Repeated measures was not conducted on the three-month timepoint due to attrition. All analyses and the randomization sequence were conducted using R (Boston, MA).

### **Qualitative Analysis**

RB performed directed qualitative content analysis of the interview transcripts using a codebook consisting of *a priori* domains including attitudes and behavior related to: recording use, sharing recordings, and the impact of recordings on clinic visits.[20] Transcripts were reviewed and coded by RB. Coded transcripts were audited by LAM to assess alignment of text excerpts with the attached code. Disagreements were resolved by PJB. Text excerpts were aggregated by code; code reports were reviewed for prominent and salient patterns to develop themes. Field notes from RB were also analyzed in the qualitative analysis.

#### Results

All clinicians at the MDC agreed to take part, including the regularly scheduled staff (n=14) as well as rotating residents, fellows, and ad-hoc specialists (total clinicians n=34). We approached 30 patients to meet our targeted sample of 24 participants (80% recruitment rate; 22 via e-consent and 2 via in-person consent – see CONSORT Flow Diagram Appendix C). In TAU, demographic information for two patients was unavailable: one patient entered hospice care before their first visit, while another did not receive the demographic questionnaire. Patients were 65% male with a median age of 66.5 years (Table 1). Of the 12 participants in the intervention arm, study assessments were completed by 11 at 1-week and 7 participants at 3-months. Of 12 participants in TAU, study assessments were completed by 9 at 1-week, and 5 participants at 3-months. See CONSORT Flow Diagram (Figure 1) for more information. Attrition was primarily due to death (n=6 in TAU, n=4 in intervention arm) while one patient was dissatisfied with their allocation to TAU, and one newly-diagnosed patient withdrew to focus on managing their condition. We recruited 100% of caregivers approached (n=21 caregivers), with 12 in the intervention arm and 9 in TAU. Caregivers were 70% female, with a median age of 58.5 years (Table 1). After patients passed away, caregivers were not contacted further.

Visit recordings

A total of 144 unique encounters with clinicians were recorded for approximately 12 recordings per patient in the intervention arm. Of patients receiving recordings, 9 out of 12 (75%) viewed at least one video, with an average of 6 videos viewed each. One participant did not think they required videos, one patient did not want to watch the videos, and one patient's condition progressed quickly, and they did not feel the videos were relevant. Of the 55 videos watched, 20 were Neurology (36%) and 16 were physical/occupational therapy (29%). Of note, three caregivers continued watching videos after patients had passed away.

#### **Quantitative Results**

Median intervention feasibility (4.00; range 2.00-5.00, 95%CI 3.05, 4.70) and acceptability (4.00; range 2.00-5.00, 95%CI 2.79, 4.83) of intervention measures were reported by patients in the intervention arm. While not statistically significant, the VIDEO arm performed better in adherence to refills and medications, adherence to exercise, caregiver burden, and caregiver preparedness at one-week compared to TAU (Table 2). All 14 regularly scheduled clinicians at the MDC responded to the survey. Median intervention feasibility (5.00; range 3.00-5.00), and acceptability (4.5; range 3.00-5.00) were reported at the conclusion of the trial.

#### **Qualitative Results**

We received responses from six patient-caregiver dyads after reaching out to eight dyads for interest in completing an interview, resulting in two interviews of approximately 20 mins and four written responses. All 14 regularly scheduled clinicians completed the clinician survey. Clinicians did not report detrimental effects of visit recordings or study procedures on the patient visits. Some clinicians perceived a greater sense of accountability and awareness of their actions during the recorded visits, though clinicians thought this was beneficial and should be replicated in their non-recorded visits. Clinicians also expressed a desire to view the recordings for their own clinical improvements.

The qualitative analysis yielded three primary themes in relation to recording use, sharing recordings, and the impact of recording on clinic visits. For full qualitative results and illustrative quotes, see Table 3.

#### Recording Use

Participants used recordings to augment clinical encounters and monitor their condition.

"You get old and you forget, but you have the ability to go back to the video and say, 'Oh, that's what [the doctor] meant." (Patient)

Visits often occurred every three months, and participants found it useful to revisit material when planning for their next visit.

"To remind myself how the appointments went so that I could formulate some questions I might ask at the

second appointment. It kind of refreshed my mind about what we had talked about and what questions I had now that may have changed over three months." (Patient)

Participants also used the recordings to assess the progression of their condition. They found it useful to see themselves, rather than just rely on how they are feeling. This was echoed in another participant, who said that the video "*kept them honest*" when communicating about their condition with their caregivers and physical therapists.

"I have a tendency to just kind of go through and deny stuff or not always say when I was comfortable. I was pretty honest at the clinic visits, so [my caregiver] gets to hear the honest me communicating as well as, 'Oh, hey, have you been doing those exercises they told you to do in PT?" (Patient)

#### Sharing Recordings

Sharing recordings supported patient independence and facilitated updates to family and friends. Recordings were shared to engage family members and friends who couldn't attend visits. They were used to keep family members informed and engaged with the visits; indeed, one patient was scheduled off the waitlist and their caregiver could not attend, but the patient chose to attend the visit alone, with the knowledge that their caregiver would receive the information they needed from the videos; without the video, the patient would not have elected to attend the clinic.

"I have a friend who was very interested in going to some clinic visits with me.... I was able to tell her, 'No, you don't need to spend four hours of your time coming to clinic. If you want to, we can review these video tapes." (Patient)

#### Impact of Recording on Clinic Visits

Clinical workflow and interactions were not reported to be impacted by recording All participants mentioned they found no detrimental impact of video recordings on clinic visit interactions. Participants mentioned positive effects of recording, with one participant mentioning that the ability to watch the recording instead of taking notes allowed them to be less distracted in the visit and focus on interacting with clinicians.

"Oh, I don't think [recording] - I don't think [recording] affected [the visit] at all. I think we were tuned in to each person that came in to talk to [us], and we focused on what was being said. I don't think either of us paid much attention to the fact you're recording it at all... It didn't take away from the visit." (Caregiver)

#### Discussion

#### **Principal Findings**

In our sample, patients, caregivers, and clinicians found video recording to be highly acceptable and feasible to implement at ALS MDCs. While we experienced attrition, we found recordings were used by patients and their caregivers to remember what was said in visits and to share information from visits with family members.

#### Limitations

While we experienced high attrition, this was an acceptable risk when designed the pilot project. Patients with advanced ALS are often excluded from research, [21] often to protect researchers from attrition, despite the potential for interventions to provide benefit. In this case, we decided video recordings, which focus on communication, could be valuable to patients at any stage of disease. While we did not capture time since diagnosis, this information could be an important moderator to future use of clinic visit recordings. Additionally, our pilot trial adds an important contribution to the field by identifying the level of attrition one can expect when including patients with advanced ALS in order to inform sample size estimates for future efficacy trials. The high attrition rate of our participants, particularly at three-month follow-up, is a limitation for our quantitative analysis. However, our study is a pilot that seeks to understand how to design a larger trial and addresses a gap in current ALS research where patients with more advanced disease are often excluded from trials.[21] This trial occurred at a single site at a rural MDC; future trials should include clinics in urban environments to determine whether our positive findings may be generalizable. Despite the rural setting of the multidisciplinary clinic, none of our patients or caregivers reported problems in accessing or viewing the videos, indicating they had reasonably strong broadband internet connections. Further work is needed to determine potential solutions to sharing video recordings of clinic visits with those that may not have robust internet connections.

We chose not to mask at this stage as the trial was pilot in nature and not focused on efficacy. Because patients all chose to watch videos with caregivers, we do not know whether patients or caregivers initiated the viewing, or what proportion of video views were initiated by patients or caregivers. While we received six responses to eight requests for qualitative interviews, it is unknown whether the experience of the two who did not respond may have differed from those who did.

#### Comparison with Prior Work

Providing video recordings to patients is a promising method of providing information from clinic visits to patients and caregivers that has been well-received in disciplines such as oncology, pediatrics, cardiac surgery, and orthopedic surgery.[22] Our results are similar to those from other fields indicating that video recording is highly feasible and acceptable for patients with ALS and their caregivers at MDCs. In our sample, video recordings helped overcome the limitations of other methods of communicating visit information by offering a

record of what was said without patients or caregivers needing to rely on imperfect recall or notes and showed the clinician's best attempt to communicate the information rather than written medical jargon.[23,24]

Adherence to exercise and medication is important in the management of chronic disease, and is connected to improved outcomes in patients with ALS.[2,25] The pilot was not designed to detect statistically significant differences, though results were promising for both adherence to medications and adherence to exercise in the video arm – similar to the use of recordings in other fields.[26] This is supported by the video usage statistics where neurology visits (medications are discussed) and the physical and occupational therapy visits (exercises are discussed) were the most-watched specialties. Our qualitative findings also highlight the potential value of video for exercise adherence in patients with ALS and their caregivers, with patients and caregivers noting to the research assistant the utility of seeing the exercise demonstrated on-camera.

Despite the benefits of ALS MDCs, the length of visits and amount of information exchanged has been described as burdensome.[27] Our findings indicate that video recordings could make these visits easier: both patients and caregivers found that having a recording lessened the note-taking burden because the recording functioned as a perfect record of the visit. By reducing 'patient work' in visit, patients and caregivers could be more engaged with their clinicians during visits when they have videos to watch later; further work could understand the impact of recordings on communication in clinic visits. The visit may also be of higher quality – clinicians reported a greater sense of accountability supporting findings from a systematic review of visit recording.[28]

Caregivers of patients with ALS have reported strain associated with feeling less supported by the care team. [8] A scoping review of 33 recording studies found that while patients often shared recordings with caregivers, caregivers were rarely enrolled in the studies, and thus their experience with recording and its impact on outcomes are not well documented. [22] We found caregivers were enthusiastic about the recordings and used them to assist their caregiving, which could be supporting the pathway where a better understanding of treatment and diagnosis leads to improved caregiver performance. [29]

Future work could explore the impacts of recording on caregiver outcomes identified in the scoping review, including resilience and coping. The utility of clinic visit recordings as mementos or their effects on bereavement is similarly less understood; videos have been identified as valuable by Caregivers after patients have passed away, but the identified videos included home movies and personal videos, rather than those occurring in a medical encounter.[30]

**Implications** 

With significant developments in recording technology and utilization practices, video recorded clinic data can assist patient and caregiver management and by extension, improve health outcomes. While other methods of visit communication may be inadequate for patients with ALS and their caregivers because of low visit recall and jargon-heavy summaries,[31] video recordings provide a feasible alternative, especially when taken in conjunction with natural-language processing algorithms to facilitate understanding. These results lay a foundation that could change the way information is communicated for patients with ALS and their caregivers at the 73 American ALS MDCs. Video recordings could help address issues associated with long or strenuous visits by reducing documentation burden on patients, caregivers, and clinicians.

#### **Conclusions**

Our results indicate that recording interventions are feasible and acceptable in our sample of patients, caregivers, and clinicians at a multidisciplinary ALS clinic. A larger scale trial with flexible follow-up assessment is required to further explore the clinical utility of recordings. As recording technology is refined, recordings could have great utility for patients, their caregivers, and the clinical team.

#### **Declarations**

### **Ethics Approval and Consent to Participate**

This study received ethical approval from the Dartmouth Health Institutional Review Board (Study ID 02000798) and was registered on clinicaltrials.gov (ID NCT04719403) in 01/2021. Recruitment began in 04/2021 and participants were consented in-person or online per their preference.

**Acknowledgements:** We would like to acknowledge the staff of the multidisciplinary clinic, and especially the patients and their families for their time and commitment to participating in research that can help others.

#### **Consent for Publication**

Not Applicable

#### **Availability of Data and Materials**

The datasets and analyses generated during the study are available from the corresponding author on reasonable request.

#### **Conflicts of Interest**

The authors have no conflicts to declare.

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# **Author Contributions**

RB contributed to managing the trial and led the analysis of quantitative and qualitative data and drafting the manuscript. JC contributed securing funding and to the interpretation and clinical context of the results and editing the manuscript. ECS contributed to the quantitative analysis and editing the manuscript. LM contributed to the quantitative analysis and editing the manuscript. PB contributed to securing funding, to the

quantitative and qualitative data, and to drafting and editing the manuscript.

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Table 1: Patient and Caregiver Characteristics by Intervention

	Patients		Caregivers			
	VIDEO (N=12)	TAU (N=11)	Total (N=22)	Video (N=12)	TAU (N=9)	Total (N=21)
Age						
	63.67	64.8	64.182		55.0	
Mean (SD)	(14.71)	(9.93)	(12.489)	64.2 (10.5)	(5.0)	60.1 (9.5)
Range	30 - 81	52- 79	30 - 81	46-80	46-63	46-80
Gender						
		4			7	
Female	4 (33.3%)	(40.0%) 6	8 (36.4%)	7 (63.6%)	(77.8%) 2	14 (70.0%)
Male	8 (66.7%)	(60.0%)	14 (63.6%)	4 (36.4%)	(22.2%)	6 (30.0%)
Income						
		4			3	
<\$50,000	3 (27.3%)	(40.0%) 4	7 (33.3%)	2 (18.2%)	(33.3%) 2	5 (25.0%)
\$50-99,999	5 (45.5%)	(40.0%)	9 (42.9%)	6 (54.5%)	(22.2%)	8 (40.0%)
>\$100,000	3 (27.3%)	(20.0%)	5 (23.8%)	3 (27.3%)	(44.4%)	7 (35.0%)
Education						
		4			5	
Less than college	3 (25.0%)	(40.0%)	7 (31.8%)	6 (54.5%)	(55.6%)	11 (55.0%)
College Degree	4 (33.3%)	(30.0%)	7 (31.8%)	3 (27.3%)	0 (0.0%)	3 (15.0%)
More than		3			4	
college	5 (41.7%)	(30.0%)	8 (36.4%)	2 (18.2%)	(44.4%)	6 (30.0%)
Literacy Level						
		6			1	
Not High	6 (50.0%)	(60.0%) 4	12 (55.5%)	2 (18.2%)	(12.5%) 7	3 (13.8%)
High	6 (50.0%)	(40.0%)	10 (45.5%)	9 (81.8%)	(87.5%)	16 (84.2%)

Table 2: Study Outcome Measures by Intervention Arm at Baseline, One Week, and Three Months

Measure	Baseline	One Week	Three Months
Patient Outcomes			
Patient Satisfaction			
Questionnaire: 18[32]			
Video	7.75	7.64	8.29
Control	6.73	6.7	5.7
p value	0.19	0.29	0.23
Confidence Interval	-0.58, 2.62	-0.92, 2.86	-2.83, 7.75
Adherence to Refills and Medications - 7[33]			

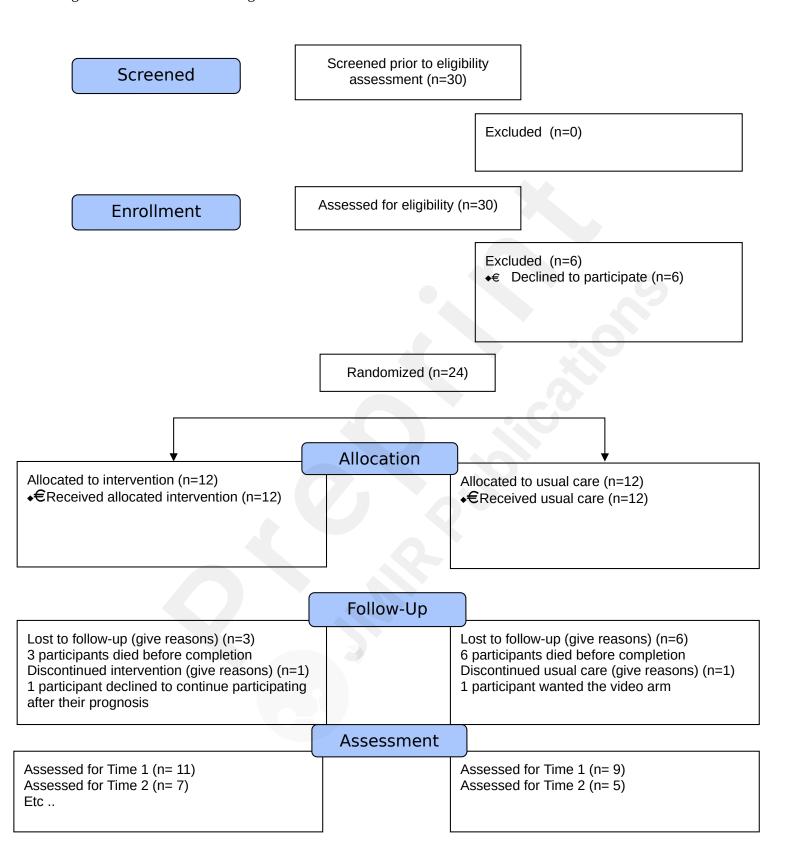
Video	24.67	25.27	25.67
Control	24.55	24.3	25
p value	0.86	0.06	0.48
Confidence Interval	-1.32, 1.56	-0.02, 1.90	-3.96, 2.37
Exercise Adherence Rating	1.52, 1.50	0.02, 1.70	3.70, 2.37
Scale[34]			
Video	10	11.82	10.42
UC	10.27	10.22	10
p value	0.80	0.11	0.56
Confidence Interval	-2.55, 2.01	-0.42, 3.61	-4.13, 6.38
General Anxiety Disorder - 7[35]			
Video	4	NA	4.28
Control	5	NA	2
p value	0.61	NA	0.33
Confidence Interval	-5.03, 3.03	NA	-2.30, 5.80
Patient Health Questionnaire -			
8[36]			
Video	6	NA	6.375
Control	5.8	NA	3
p value	0.92	NA	0.19
Confidence Interval	-3.62, 3.98	NA	-2.06, 8.81
Caregiver Outcomes			
Burden Scale for Family			
Caregivers[37] Video	18.33	18.81	21.67
Control	24.87	25.14	24.25
	0.04	0.01	0.48
p value	-12.71, -	-10.69, -	-10.70,
Confidence Interval	0.38	1.96	5.54
Preparedness for Caregiving			
Scale[38]			
Video	18.33	19.27	18.5
Control	17.5	17.85	20.25
p value	0.77	0.70	0.69
	-5.08, 6.75	-6.33, 9.17	-11.85,
Confidence Interval	2.22, 2.73	0.00, 7.17	8.34
Caregiver General Anxiety			
Disorder - 7[35]	4.58	4.36	4
Video	4.58	4.36 6.43	7
Control	0.04	6.43 0.41	0.41
p value	-12.55, -	0.41	-12.31,
Confidence Interval	0.53	-7.39, 3.26	6.31
Caregiver Patient Health	0.50		0.01
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Questionnaire - 8[36]			
Video	3.41	3.81	4.83
Control	9.63	6.71	4.75
p value	0.02	0.17	0.98
Confidence Interval	-11.11, - 1.30	-7.29, 1.49	-6.59, 6.75

Domain	Quote	, Quotes Numbers, and Quotes  Quote
Domain	Number	Quote
Recording Use	1	You get old and you forget, but you have the ability to go back to the video and say, 'Oh, that's what [the doctor] meant. (P)
	2	Watching, I was like 'I don't remember them saying that. Boy I'm glad I watched because I didn't write it down when I take notes, and I didn't remember it.'" (CG)
	3	Oh my goodness, I didn't focus on that while I was there Person number 1 was talking and person number 2 had an interjection that was helpful, but I didn't pick up on it. So the video actually brought that to light, which was fantastic.' (CG)
	4	To remind myself how the appointments went so that I could formulate some questions I might ask at the second appointment. It kind of refreshed my mind about what we had talked about and what questions I had now that may have changed over three months. (P)
Sharing Recordings	5	The drawback [of recordings] for me was seeing myself, and noticed [sic] I had declined! L Pertaining to my looks, and speech problems! That was not a bad thing, because I already knew the decline! It was important to me to know and observe the progress of my ALS!" (P)
	6	I have a tendency to just kind of go through and deny stuff or not always say when I was comfortable. I was pretty honest at the clinic visits, so [my caregiver] gets to hear the honest me communicating as well as, 'Oh, hey, have you been doing those exercises they told you to do in PT?' (P)
	7	I have a friend who was very interested in going to some clinic visits with me I was able to tell her, 'No, you don't need to spend four hours of your time coming to clinic. If you want to, we can review these video tapes.'(P)
	8	But as time goes on, it might be really helpful for some of my good friends to understand more about what's happening with me. They're very important members of my care team. (P)
Impact on Clinic Visits	9	Didn't have any impact on my care. (P)

11	Oh, I don't think [recording] - I don't think [recording] affected [the visit] at all. I think we were tuned in to each person that came in to talk to [us], and we focused on what was being said. I don't think either of us paid much attention to the fact you're recording it at all It didn't take away from the visit. (CG)
12	It's hard for me to write and taking notes kind of distracts me while I'm interacting. So it was very helpful for me not to have to take notes once I realized that I'd be able to just look at the video tapes later on. (P)

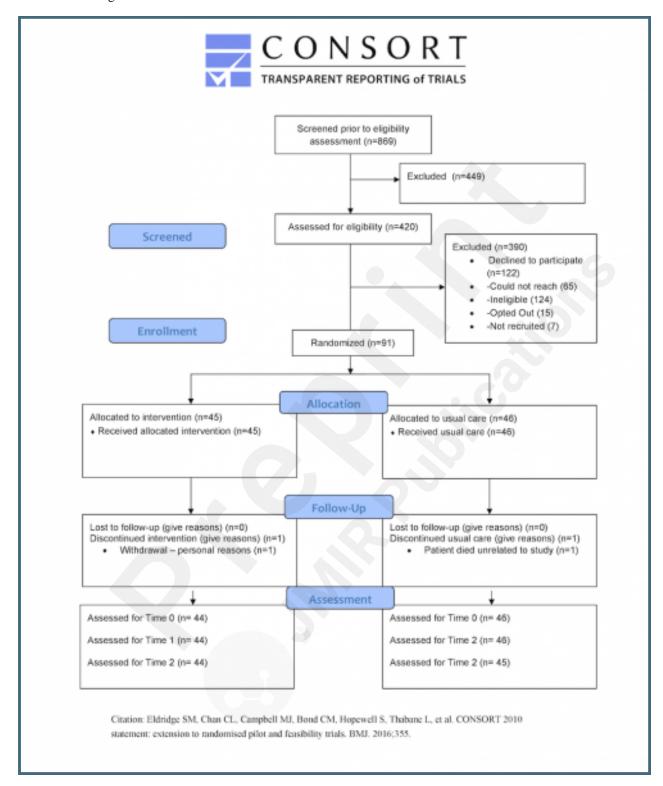
Figure 1. CONSORT Flow Diagram



# **Supplementary Files**

# **Figures**

### CONSORT Flow Diagram.



# **Multimedia Appendixes**

Interview Guide.

URL: http://asset.jmir.pub/assets/7baeb6231e9af2490a7c1ce458e60d8e.pdf

Participant Flow.

URL: http://asset.jmir.pub/assets/5e7933aed92df43696da4acbe0891f60.docx

# **CONSORT** (or other) checklists

Consort Extension.

URL: http://asset.jmir.pub/assets/f88c65d49d8ee318766df8cfdaecb443.pdf