

# Impact of Patient Personality on Adherence to Oral Anti-Cancer Medications: An Opportunity?

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## Abstract

Adherence to prescribed oral anticancer therapy is an important determinant of patient outcomes, including progression-free and overall survival. While many factors (e.g., medication side effects and out-of-pocket costs, problems with insurance authorization and timely medication refills) can affect adherence, one that is relatively unexplored is the impact of a patient's attitude and personality. Patient personality influences medication adherence and persistence in non-malignant chronic conditions such as cardiovascular disease and diabetes. In breast cancer and chronic myeloid leukemia, studies suggest that personality also affects adherence to oral chemotherapy which can be targeted to improve adherence. Here, we highlight the opportunity of incorporating patient personality as interventions to oral cancer therapy adherence, and discuss current barriers to implementation.

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## Original Manuscript

# Impact of Patient Personality on Adherence to Oral Anti-Cancer Medications: An Opportunity?

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## Abstract

Adherence to prescribed oral anticancer therapy is an important determinant of patient outcomes, including progression-free and overall survival. While many factors (e.g., medication side effects and out-of-pocket costs, problems with insurance authorization and timely medication refills) can affect adherence, one that is relatively unexplored is the impact of a patient's attitude and personality. Patient personality influences medication adherence and persistence in non-malignant chronic conditions such as cardiovascular disease and diabetes. In breast cancer and chronic myeloid leukemia, studies suggest that personality also affects adherence to oral chemotherapy which can be targeted to improve adherence. Here, we highlight the opportunity of incorporating patient personality as interventions to oral cancer therapy adherence, and discuss current barriers to implementation.

## Keywords

cancer; medication adherence; medication persistence; personality, Five-Factor Model; Type D personality

## Introduction

With acceleration in development of oral anti-cancer medications in recent years, a substantial number of patients with cancer are responsible for managing their medication. While oral anti-cancer medications have many advantages over parenteral chemotherapy including eliminating the need for venous access devices, many patients struggle with adhering to their prescribed regimens. Whereas medication adherence rates among patients with chronic diseases on oral treatment are estimated at approximately 50%, adherence rates for oral anti-cancer medications are substantially lower, with studies reporting adherence rates as low as 30% to 46% in patients with cancer [1-3]. Similarly, persistence to oral anti-cancer medications, defined as continuing treatment for the prescribed duration of therapy, is also suboptimal; for example, at 12 and 24 months, treatment persistence in patients with gastrointestinal stroma tumors and chronic myeloid leukemia

was reported to be 41% and 56%, respectively [4]. These are concerning statistics given that poor adherence to prescribed cancer therapy can lead to serious consequences such as disease progression, reduced treatment efficacy, increased symptom burden, an increased risk for recurrent cancer, and decreased overall survival [5-7].

Many patient-related factors can contribute to non-adherence and non-persistence to prescribed therapies, including health literacy [8], social determinants of health including food insecurity and housing instability [9], out-of-pocket medication costs [10,11], patient age [12,13], number of prescribed medications [14], and medication side effects [15-17]. However, the impact of patient personality has remained relatively underexplored [18-22]. In this viewpoint, we review literature on the impact of personality on medication adherence and argue that developing patient education that is tailored towards each individual patients' personality may improve anti-cancer medication.

### ***Assessment of Personality types***

The psychological literature frequently assesses personality using the Five Factor Model (FFM) [23]. Also known as the “universal” model, the FFM is one of the most empirically supported personality models to date and consists of five personality categories (**Table 1**): Openness, Conscientiousness, Extraversion, Agreeableness, and Neuroticism. An alternative model recognizes four personality types (ABCD) [24]. The original categories of Type A (competitive, ambitious) and Type B (patient, creative) were first defined and studied in patients with cardiovascular disease [25], and were subsequently expanded to include Types C (analytical, introverted) and D (anxious, negative). In particular, the Type D personality, a trait associated with negative emotions such as worry and lack of social interaction out of fear of disapproval (**Table 2**); [26-28]. The relationship between the FFM and ABCD personality models has not been fully defined, but Type D subjects display FFM traits ranging from neurotic introversion with relatively low conscientiousness to stable extroversion with relatively high consciousness [29]. The Eysenck personality theory recognizes personality traits across three dimensions: extraversion/introversion, neuroticism/stability, and psychoticism/superego [30], and is commonly assessed using the Eysenck Personality Questionnaire-Revised Short Scale (EPQ-RS) [31].

### ***Impact of personality on medication adherence in cardiovascular disease and diabetes***

Association between patient personality assessed by the Five Factor Model and medication adherence has been studied in patients with cardiovascular disease [32]. In a recent study, patient personality was measured using the Japanese Ten-Item Personality Inventory (TIPI-J) for evaluation of the “Big Five” personality traits. A twelve-item adherence scale measured medication compliance, health care provider collaboration, willingness to access medication information, and acceptance of needing to take medication. Having higher conscientiousness was significantly associated with greater medication compliance, patient-provider shared

decision-making, and willingness to access information about medications [32]. Conscientiousness has also been associated significantly with health-related quality of life, self-efficacy, and satisfaction with life in patients with cardiovascular disease [33]. The type D personality trait, a measure of low social interaction and negative affectivity, is frequently observed in patients with cardiovascular disease [34,35]. Type D individuals have significantly poorer medication adherence patterns in patients with myocardial infarction [36], heart failure [35,37], and acute coronary syndrome [38]. This is in addition to the type D personality being a significant predictor of mortality in patients suffering from coronary heart disease [34].

Another common disease where medication adherence and personality have been studied is diabetes. Low adherence is a known issue in diabetic patients, leading to increased adverse outcomes such as higher hemoglobin A1c (HbA1c) levels and peripheral neuropathy [39]. More recently, studies have investigated the role the Five Factor Personalities have in diabetes [40,41]. In one study, diabetics determined to possess the neuroticism trait based on the Eysenck Personality Questionnaire-Revised Short Scale (EPQ-RS) were significantly less likely to be adherent to medication in bivariate analyses. The authors hypothesized an indirect relationship between adherence and neuroticism mediated through neuroticism's association with a lack of social support and self-efficacy [40]. Another study also showed a significant negative relationship between neuroticism and adherence along with self-care behaviors [41], but found a significant positive relationship between agreeableness and adherence. Finally, conscientiousness has also been demonstrated to be significantly positively correlated to taking medications as prescribed in type 2 diabetics [42]. These trends are not exclusive to type 2 diabetes, as adolescents with type 1 diabetes who possessed the conscientiousness trait were significantly more adherent to insulin administration while those with the neuroticism trait showed a significantly negative correlation [43]. Like cardiovascular disease, type D personality has also been linked to poor medication adherence in type 2 diabetics [44,45] and to be associated with increased HbA1c [45].

### ***Medication adherence and personality in patients with cancer***

As in other chronic non-malignant diseases, non-adherence and non-persistence to oral anti-cancer medication can be associated with multiple patient-related factors, some of which may be specific to the type or stage of cancer diagnosis or the duration of the prescribed therapy. The behavioral impact that accompanies a diagnosis of cancer can also have significant effects on the personality of a patient [46-48]. Therefore, it is possible that some patients may adopt negative social and affective traits, such as those that define the type D personality. Relative to other chronic diseases like hypertension and diabetes, cancer therapy is unique in that patients are dealing with an imminent life-threatening condition with medications where the drug choices may be limited and the side effects are substantial. Indeed, many studies in cancer patients identify medication side effects to be a major factor contributing to poor adherence and persistence [3,49-51]. It follows that a patient's attitude and personality might have a major effect on coping with such symptoms. However, literature examining personality traits and adherence in patients with cancer is limited. A study that examined the link between the

Five Factor Model and adherence to outpatient cancer therapies suggested that the two personality types of Conscientiousness and Agreeableness correlated with increased adherence [52], but the specific types of cancer and treatments were not explored in detail. A review of psychosocial determinants of adherence to oral anticancer treatment also found high levels of distress (anxiety, depression) to be a major factor contributing to non-adherence [53].

Two cancer types where adherence has been studied in significant detail are early-stage breast cancer and chronic myeloid leukemia [7]. Patients with either of these vastly different malignancies share two characteristics: minimal symptoms arising from the cancer itself and the major impact of medication non-adherence on progression-free and overall survival. Patients with early-stage hormone-receptor positive breast cancer are frequently treated with oral medications targeting estrogen/progesterone signaling (adjuvant endocrine therapy; AET) following surgical management of the primary tumor. Non-adherence and non-persistence to prescribed AET have been shown in numerous studies to correlate with significantly reduced overall survival [54-56], particularly in black women [57]. Side effects of AET represent a major factor associated with non-adherence in this population [49,58,59]. Patient personality has not been studied explicitly as a factor in AET adherence, but other studies have identified anticipatory positive emotions [60] and lower depressive symptoms associated with greater social support [61] to be associated with increased adherence.

Therapy of chronic myeloid leukemia (CML) has been revolutionized by ABL1 tyrosine kinase inhibitors (TKIs) such as imatinib (Gleevec®). Most patients with CML achieve cytogenetic remission with TKI treatment [62,63] and enjoy age-adjusted normal life expectancy [64], but therapy must be lifelong for most patients [65]. Adherence and persistence to TKI therapy is of paramount importance to clinical outcomes of patients with CML, as missing just one dose a week is associated with suboptimal response [66] and treatment failure [67,68]. As a consequence, the factors associated with TKI adherence in CML have been studied extensively [69,70] and include out-of-pocket costs [71,72], long-term side effects [73,74], and dosing schedule [75]. In CML as in breast cancer, the impact of patient personality on medication adherence has been largely unexplored, but a recent study found that patients with either type A or type D (particularly negative affectivity) were more prone to TKI non-adherence [76].

### ***Can patient personality be leveraged to improve medication adherence in patients with cancer?***

Intuitively, many patient-related factors that influence medication adherence might be mitigated by patient education methods that are tailored to their personality or disposition. A patient's personality can inform differences in the way they think, behave and feel [77]. It can help predict their compliance with follow-up appointments, adherence to medications, and the tendency to accept and implement medical advice [78,79]. Moreover, the personality of a patient likely influences those patient-related factors in the first place, making



it an imperative area for providers to understand better. Patient education strategies that are tailored to include patient personality could play an important role by ensuring that the information received by the patient is conveyed in a way that is most effective. This may imply that adherence levels could be improved when provider interactions are tailored to meet the unique needs of each patient's personality and beliefs [7,19,53,80]. For example, patients who exhibit a 'neurotic' personality type could be more likely to experience negative emotions like irritability and anxiety following a cancer diagnosis, negatively impacting adherence [81]. A behavioral intervention strategy that acknowledges the patient's emotions and uses positive psychology techniques could prove helpful in this case [82]. For a patient who is extroverted or outgoing, allowing a safe and nonjudgmental space to share their opinions before educating them on their medication usage could ensure improved listening and adherence. Since extroverts thrive on being creative, they could also be empowered to take control of their own health and identify strategies that help them remember to take medications. It is important to note, however, that each of the five personality traits in the FFM represent a range between two extremes [23]. For instance, the extroversion trait represents a continuum between extreme extroversion and extreme introversion. In general, however, since most people lie at neither end of the spectrum but somewhere in between, multiple strategies for each patient's unique disposition would likely be more effective [23].

To this end, several recent studies in patients with breast cancer have utilized interventions focused on personal attitudes and values to increase adherence to AET [83,84]. Post-hoc analysis of a randomized controlled trial found relaxation training to be more effective than cognitive behavioral therapy in improving adherence to AET[85]. A remotely delivered intervention based on personal values demonstrated feasibility and acceptability and showed promise in improving AET adherence [86]. In CML, an education program tailored to individual patients based on interviews and a designed set of distinct adherence aids improved TKI adherence in a randomized trial [87]. However, most efforts to improve TKI adherence have relied on analysis of large data sets to identify interventions and lack patient-focused approaches [88]. To address this, we (the authors) have launched a clinical trial aimed at better understanding the correlation between patient personality (assessed via the FFM) and TKI adherence in patients with CML (ClinicalTrials.gov NCT06229860).

Before these strategies can be explored further in the real-world setting, existing FFM personality assessments currently used in cancer care or literature must be evaluated. Although assessments of patient personality often appear in medical records, they are usually one-sided remarks limited to terms such as "pleasant," "short-tempered," or "difficult" and portray a rather superficial and incomplete perspective, which can in turn lead to biased intuitions [89,90], suboptimal care, and poor adherence. Instead, a structured and validated approach should be adopted to provide a more reliable breakdown of personality. A recent study examined the utility of the 20-item Mini International Personality Item Pool (mini-IPIP) scale in adults with cancer and reported

potential validity of the tool in oncologic clinical settings [91]. Despite being a shorter version compared to other full versions of FFM personality measures, such as the NEO-Five Factor Inventory [92], the mini-IPIP has also been widely cited in studies including healthy adults and illustrated sufficient internal reliability across diverse population samples [93]. Since the mini-IPIP is a 20-item questionnaire with potential internal and external validity, the tool could be reasonably administered to cancer patients. To facilitate smooth patient-provider interactions, patients could be requested to complete these assessments during a patient intake process or prior to an appointment via patient portals to allow providers ample time to review their personality profiles and prepare as needed prior to an encounter.

## **Conclusion**

Behavioral intervention studies that seek to address each personality type should be conducted to reinforce positive health behaviors and promote adherence. Instead of using a cookie-cutter approach to patient counseling, understanding each person's unique personality, and adopting communication strategies that encourage optimal adherence can improve oncologic patient care. However, further research is needed to evaluate the impact of personality-specific medication counseling on adherence to oral anti-cancer medications. This includes validation studies that confirm the reliability of personality assessments in cancer patients, as well as studies that explore the effectiveness of psychological behavioral techniques on adherence in different personalities. At the same time, there is enough data to encourage research in this direction. We strongly believe that incorporating personality into oncological care will redefine how we approach patient care as a whole, especially in this age where personalized care models like precision medicine are on the rise.

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**Table 1: The Five Factor Personalities & Associated Adjectives**

Personality	Adjectives
Openness	Artistic, curious, imaginative, insightful, original, and wide interests
Conscientiousness	Efficient, organized, planful, reliable, responsible, and thorough
Extraversion	Active, assertive, energetic, enthusiastic, outgoing, and talkative
Agreeableness	Appreciative, forgiving, generous, kind, sympathetic, and trusting
Neuroticism	Anxious, self-pitying, tense, touchy, unstable, and worrying

Adapted from [23]

**Table 2: Characteristics of Type D Personality**

Type D Traits
1. Tendency to experience negative emotions
2. Propensity to suppress the expression of emotions and behaviors in social contacts
3. Feeling of unhappiness, worry, irritability, and low self-esteem
4. Distance in social relations, introversion

Adapted from [26]