

CLINICAL CHARACTERISTICS AND PROGNOSTIC FACTORS FOR ICU ADMISSION OF PATIENTS WITH COVID-19: A RETROSPECTIVE STUDY USING MACHINE LEARNING AND NATURAL LANGUAGE PROCESSING

Jose Luis Izquierdo, Julio Ancochea, Savana COVID-19 Research Group, Joan B Soriano

Submitted to: Journal of Medical Internet Research on: June 29, 2020

Disclaimer: © **The authors. All rights reserved.** This is a privileged document currently under peer-review/community review. Authors have provided JMIR Publications with an exclusive license to publish this preprint on it's website for review purposes only. While the final peer-reviewed paper may be licensed under a CC BY license on publication, at this stage authors and publisher expressively prohibit redistribution of this draft paper other than for review purposes.

Table of Contents

riginal Manuscript	5
upplementary Files	24
Figures	25
Figure 1	
Figure 2	
Figure 3	28
Figure 4	29
Multimedia Appendixes	30
Multimedia Appendix 0 Multimedia Appendix 1	31
Multimedia Appendix 1	31

CLINICAL CHARACTERISTICS AND PROGNOSTIC FACTORS FOR ICU ADMISSION OF PATIENTS WITH COVID-19: A RETROSPECTIVE STUDY USING MACHINE LEARNING AND NATURAL LANGUAGE PROCESSING

Jose Luis Izquierdo¹ MD; Julio Ancochea² MD; Savana COVID-19 Research Group³; Joan B Soriano⁴ MD, PhD

Corresponding Author:

Joan B Soriano MD, PhD Hospital Universitario de La Princesa

Diego de León 62 Madrid ES

Abstract

Background: There remain many unknowns regarding the onset and clinical course of the ongoing COVID-19 pandemic.

Objective: Here we aimed to describe the clinical characteristics and predictors of ICU use in a large cohort of COVID-19 patients in real time.

Methods: To achieve the research objective, we used a combination of classic epidemiological methods, natural language processing (NLP), and machine learning (for predictive modeling), to analyse the electronic health records (EHRs) of patients with COVID-19.

Results: A total of 10,504 patients with a clinical or PCR-confirmed diagnosis of COVID-19 were identified, 52.5% males, with a mean age of 58.2 and S.D. 19.7 years. Upon admission, the most common symptoms were cough, fever, and dyspnoea, but all in less than half of cases. Overall, 6% of hospitalized patients required ICU admission. Using a machine-learning, data-driven algorithm we identified that a combination of age, fever, and tachypnoea was the most parsimonious predictor of ICU admission: those younger than 56 years, without tachypnoea, and temperature <39° C, (or >39° C without respiratory crackles), were free of ICU admission. On the contrary, COVID-19 patients aged 40 to 79 years were likely to be admitted to the ICU if they had tachypnoea and delayed their visit to the ER after being seen in primary care.

Conclusions: Our results show that a combination of easily obtainable clinical variables (age, fever, and tachypnoea with/without respiratory crackles) predicts which COVID-19 patients require ICU admission.

(JMIR Preprints 29/06/2020:21801)

DOI: https://doi.org/10.2196/preprints.21801

Preprint Settings

- 1) Would you like to publish your submitted manuscript as preprint?
- **✓** Please make my preprint PDF available to anyone at any time (recommended).

Please make my preprint PDF available only to logged-in users; I understand that my title and abstract will remain visible to all users. Only make the preprint title and abstract visible.

No, I do not wish to publish my submitted manuscript as a preprint.

2) If accepted for publication in a JMIR journal, would you like the PDF to be visible to the public?

¹Hospital Universitario de Guadalajara, Guadalajara, Spain - - Guadalajara ES

²Hospital Universitario de La Princesa, Madrid, Spain - - Madrid ES

³Savana - - Madrid ES

⁴Hospital Universitario de La Princesa - - Madrid ES



Yes, but please make my accepted manuscript PDF available only to logged-in users; I understand that the title and abstract will remain ves, but only make the title and abstract visible (see Important note, above). I understand that if I later pay to participate in <a href="http://example.com/above/library/li

Original Manuscript

CLINICAL CHARACTERISTICS AND PROGNOSTIC FACTORS FOR ICU ADMISSION OF PATIENTS WITH COVID-19: A RETROSPECTIVE STUDY USING MACHINE LEARNING AND NATURAL LANGUAGE PROCESSING

Jose L. Izquierdo^{1,2}, Julio Ancochea^{3,4,5}, Savana COVID-19 Research Group*, and Joan B. Soriano ^{3,4,5} (ORCID 0000-0001-9740-2994)

- ¹Universidad de Alcalá, Madrid
- ² Hospital Universitario de Guadalajara, Guadalajara
- ³ Hospital Universitario de La Princesa, Madrid
- ⁴ Universidad Autónoma de Madrid, Madrid
- ⁵ Centro de Investigación en Red de Enfermedades Respiratorias (CIBERES), Instituto de Salud Carlos III (ISCIII), Madrid; all in Spain

*Savana COVID-19 Research Group are: Ignacio H. Medrano, MD; Jorge Tello; Alberto Porras, MD, PhD; Marisa Serrano, PhD; Sara Lumbreras, PhD, Universidad Pontificia Comillas (ORCID: 0000-0002-5506-9027); Carlos Del Rio-Bermudez, PhD (ORCID: 0000-0002-1036-1673); Stephanie Marchesseau, PhD; Ignacio Salcedo; Andrea Martínez; Claudia Maté, MD; Sergio Collazo, MD; Jesús Barea, MD; María Villamayor, MD; Antonio Urda, MD, PhD; Carolina de la Pinta, MD; Imanol Zubizarreta; Yolanda González, PhD; Sebastian Menke, PhD (ORCID: 0000-0002-2588-6405).

Address for correspondence:

Dr. Joan B Soriano, MD, PhD, FERS, FCCP Servicio de Neumología Hospital Universitario de la Princesa, UAM Diego de León 62, 28005-Madrid, Spain

Email: jbsoriano2@gmail.com Cellular: +34 618867769

Date: September 22, 2020

File name: BigCOVIData paper JMIR.docx

Word count: 3,384 words

Number of references: 41 references

Number of illustrations: 4 figures and 2 tables; Supplementary Materials: 2 figures and 1

table

Running Title: Big data and COVID-19

Conflict of Interest: Individual forms from all co-authors are appended.

Keywords: artificial Intelligence, big data, COVID-19, electronic health records,

tachypnoea, SARS-CoV-2

ABSTRACT

Background: There remain many unknowns regarding the onset and clinical course of the ongoing COVID-19 pandemic. We used a combination of classic epidemiological methods, natural language processing (NLP), and machine learning (for predictive modeling), to analyse the electronic health records (EHRs) of patients with COVID-19.

Objective: Our primary objectives are to describe the clinical characteristics and determine the factors that predict ICU admission of patients with COVID-19. These are aimed at better understanding the real-world epidemiology of the disease using a well-defined population.

Methods: We explored the unstructured free text in the EHRs within the SESCAM Health-care Network (Castilla La-Mancha, Spain) from the entire population with available EHRs (1,364,924 patients) from January 1st to March 29th, 2020. We extracted related clinical information upon diagnosis, progression and outcome for all COVID-19 cases.

Results: A total of 10,504 patients with a clinical or PCR-confirmed diagnosis of COVID-19 were identified, 52.5% males, with age of 58.2±19.7 years. Upon admission, the most common symptoms were cough, fever, and dyspnoea, but all in less than half of cases. Overall, 6% of hospitalized patients required ICU admission. Using a machine-learning, data-driven algorithm we identified that a combination of age, fever, and tachypnoea was the most parsimonious predictor of ICU admission: those younger than 56 years, without tachypnoea, and temperature <39°C, (or >39°C without respiratory crackles), were free of ICU admission. On the contrary, COVID-19 patients aged 40 to 79 years were likely to be admitted to the ICU if they had tachypnoea and delayed their visit to the ER after being seen in primary care.

Conclusions: Our results show that a combination of easily obtainable clinical variables (age, fever, and tachypnoea with/without respiratory crackles) predicts which COVID-19 patients require ICU admission.

Abstract word count: 285 words

Funding: This study was sponsored by SAVANA (https://www.savanamed.com/)

INTRODUCTION

The unprecedented, global spread of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) that causes coronavirus disease 2019 (COVID-19) requires innovative approaches that deliver real-time results[1, 2]. To date, big data analytics have been primarily used to assess SARS-CoV-2 transmission[3], and to indirectly estimate COVID-19 incidence using data from social media[4]. However, there remain many unknowns regarding the onset and temporal distribution of the ongoing COVID-19 pandemic. Similarly, both the individual and population burden of COVID-19 are just beginning to be unravelled. Although big data analytics and artificial intelligence (AI) are widely used in the realms of health and medicine [5-7], such tools are only beginning to explore the clinical characteristics and predictive factors of COVID-19 patients, including mortality [8-11].

Considering the unprecedented spread and severity of the ongoing COVID-19 outbreak, focus has been set on hospital's unmet need, and in particular ICU requirements[8, 9, 12]. Indeed, health systems have been/are near collapse and independent modelling efforts have aimed at forecasting a number of epidemiological estimators, including ICU use [13-15].

Previously, our team reported that the combination of big data analytics and machine learning techniques helped to better determine quality of diagnosis and treatment of chronic obstructive pulmonary disease (COPD) via an analysis of hospital electronic health records (EHRs) using natural language processing (NLP) and validated algorithms[16, 17].

By means of The BigCOVIData study, our primary objectives are to describe the clinical characteristics and determine the factors that predict ICU admission of patients with COVID-19. These are aimed at better understanding the real-world epidemiology of the disease using a well-defined population. To achieve this aim, we used a combination of classic epidemiological methods[18], NLP, and machine learning (for predictive modeling), to analyse the clinical information contained in the EHRs of patients with COVID-19.

METHODS

The BigCOVIData study was conducted in compliance with legal and regulatory requirements and followed generally accepted research practices described in the ICH Guideline for Good Clinical Practice, the Helsinki Declaration in its latest edition, Good Pharmacoepidemiology Practices, and applicable local regulations. This study was classified as a 'non-post-authorization study' (EPA) by the Spanish Agency of Medicines and Health Products (AEMPS), and it was approved by the Research Ethics Committee at the University Hospital of Guadalajara (Spain). We have followed and endorsed the STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) guidance for reporting observational research[19].

Study design and data source

This was a multicenter, non-interventional, retrospective study using data captured in the EHRs of the participating hospitals within the SESCAM Healthcare Network in Castilla-La

Mancha, Spain (**Figure 1**). Data captured in the EHRs was collected from all available departments, including inpatient hospital, outpatient hospital, and ER, for virtually all types of provided services in each participating hospital. The study period was January 1, 2020 – March 29, 2020.

The study database was fully anonymized in a structured format and contained no personal information from patients. Likewise, personal information was not accessed during either the application of automated and algorithmic methods (i.e., NLP) or during the conversion of unstructured data into the structured database. Importantly, given that clinical information was handled in an aggregate, anonymized, and irreversibly dissociated manner, patient consent regulations do not apply to the present study

Study sample

The study sample included all patients in the source population diagnosed with COVID-19. Patients were identified on the basis of clinical diagnosis (i.e., COVID-19 cases determined by observed symptomatology, imaging (mostly chest X-ray) and laboratory results, as captured in the unstructured, free-text information in the EHRs) and/or microbiological test results (i.e., COVID-19 cases confirmed by RT-PCR or similar available tests). Our decision to consider both PCR- and clinically confirmed cases is justified by the limited availability of routinely administered RT-PCR tests in the region during the study period and supported by recent discussions on the far-from-optimal sensitivity of RT-PCR for COVID19 (i.e., a single negative result from a single specimen cannot exclude the disease in suspected cases)[20, 21]. Indeed, recent reports highlight the clinical validity and relatively high sensitivity of symptom- and imaging-based identification of COVID-19 patients, especially in early stages of the disease[20, 22, 23].

EHRead®

To meet the study objectives, we used *EHRead*®[24], a technology developed by SAVANA that applies NLP, machine learning, and deep learning to analyse the unstructured free-text information written in millions of de-identified EHRs. This technology enables the extraction of information from all types of EHRs and the subsequent normalization of extracted clinical entities to a unique terminology. This process allows for further analysis of descriptive or predictive nature. Originally based on SNOMED CT terminology, our unique body of terminology comprises more than 400,000 medical concepts, acronyms, and laboratory parameters aggregated over the course of five years of free-text mining, targeting the most common diseases (e.g. respiratory diseases, cardiovascular diseases, and diabetes, among others).

Using a combination of regular expression (regex) rules and machine learning models, the terminology entities are detected in the unstructured text and later classified based on sections typically contained in the EHRs, hospital services, and other clinical specifications. Importantly, each detected term is described in terms of negative, speculative, or affirmative clinical statements; this is achieved by using deep learning CNN classification methods that rely on word embeddings and context information (for a similar methodological approach, see [25]). Limitations in a case by case detection are also overcome with a similar approach to ensure that the detected concepts are used within the appropriate context for the descriptive and predictive analysis.

For particular cases where extra specifications are required (i.e., to differentiate COVID cases from other mentions of the term related to fear of the disease or to potential contact),

the detection output was manually reviewed in more than 5000 reports to avoid any possible ambiguity associated with free-text reporting. All NLP deep learning models used in this study were validated using the standard training/validation/testing approach; we used a 75/12/13 split ratio in the available annotated data (between 2,000 and 3,000 records, depending on the model) to ensure efficient generalization on unseen cases. For all developed models, we obtained F-scores greater than 0.89.

Data Analyses

All categorical variables (e.g., comorbidities, symptoms) are shown in frequency tables, whereas continuous variables (e.g., age) are described via summary tables that include the mean, standard deviation, median, minimum, maximum, and quartiles of each variable. To test for possible statistically significant differences in the distribution of categorical variables between study groups (i.e., male vs. female, ICU admission vs. No ICU admission), we used Yates-corrected chi² tests. For continuous variables, mean differences were tested using t-tests. Given our general population approach, and our larger than usual sample size, we were interested in exploring sex-related differences in COVID-19 patients, so most results are stratified by sex[26]. All statistical inferences were performed at the 5% significance level using 2-sided tests or 2-sided CIs.

Predictive model

We developed a decision tree to classify COVID-19 patients according to their risk of being admitted to the ICU. The two types of patients or *classes* considered in the model were therefore "admitted to the ICU" and "not admitted to the ICU". The model maps the characteristics of patients (the *variables*) to their class in the shape of a tree. From a clinical perspective, this model contemplates all patient variables upon admission, meaning that is predictive from symptom debut until outcome. The tree is composed of nodes that branch to subsequent children nodes depending on the patient's variables. The tree is built in such a way that each branch separates the two classes as much as possible. This separation is measured as *Shannon entropy*, where a node with an entropy of zero means that the classification is perfect (either all or none of the patients were admitted to the ICU) and an entropy of one is the worst possible mix (50%/50%)[27].

Model training and validation

The model was developed and tested on the available data from hospitalized patients that had either been admitted to the ICU or not; the latter were either discharged from the hospital or died in the course of the disease. This amounted to a total of 900 patients. Our algorithm was validated in a split of our COVID-19 sample, in a 70% training set and a 30% validation set. This means that the model was trained with 630 patients (582 who did not require intensive care, vs 48 who did) and validated over the remaining 270 patients. Because the two classes were unbalanced (far fewer patients require ICU), we used the standard technique of oversampling the lower class to guarantee a balance of accuracy and recall (in other words, the tradeoff between false positives vs. false negatives). Further, we sought to replicate the results from this validation in a posteriori sensitivity analysis, as per recent recommendations for predictive modeling in COVID-19[28] and TRIPOD guidance[29]. For this second validation, we trained the model with data from the provinces of Ciudad Real and Guadalajara (38% of the study sample from Castilla La-Mancha), and used an independent set with combined data set from the other three provinces, namely

Toledo, Cuenca, and Albacete for validation.

Additional details regarding the development and validation of the predictive algorithm are included in the Supplementary Methods. The workflow used for the generation of the predictive algorithm is summarized in Figure S1.

RESULTS

From a source general population of 2,035,000 inhabitants, we used NLP and machine learning to analyse the clinical information contained in the EHRs of 1,364,924 anonymous patients (**Figure 1**). Among these, we identified a total of 10,504 patients diagnosed with COVID-19 (**Figure 2**). The flowchart of participation in the study up to hospital admission, ICU admission, or discharge is presented in **Figure 2**.

COVID-19 patients were 52.5% males, with a mean±SD age of 58.2±19.7 years, (**Table 1**). Most COVID-19 patients were 50 years and older (**Figure 3**). Upon diagnosis, the most common symptoms reported were cough, fever and dyspnoea (**Table 1**); notably, less than half of patients presented with these symptoms. Further, respiratory crackles, myalgia, and diarrhoea were identified in 5% or more of cases, while other respiratory and non-respiratory signs and symptoms were less common. Sex-dependent differences in sign and symptom frequencies upon diagnosis are shown in **Table 1**. Of note, we observed subtle increases in frequency of diarrhoea, myalgia, headache, chest pain, and anosmia in female COVID-19 patients, while men showed significant increases in fever, dyspnoea, respiratory crackles, ronchus, lymphopenia, and tachypnoea (all *P*<.05).

Similarly, the most frequent comorbidities were cardiovascular disease (48.2% of patients) - mainly arterial hypertension (33.6%) and heart disease (25.1%)- and diabetes (15.7%) (**Table 1**). Regarding respiratory diseases, COPD was present in 6.4%, asthma in 7.2%, OSA in 2%, and bronchiectasis in 1.2% of patients. Sex-dependent differences in comorbidities upon diagnosis are also shown in **Table 1**; except for asthma, the frequency of all comorbidities was significantly higher in male than female COVID-19 patients (all P<.05).

Next, we explored whether the distribution of comorbidities and sign/symptoms captured in the patients' EHRs upon diagnosis differed between those COVID-19 patients who were admitted to the ICU vs. those who were not (**Table 2**). Regarding comorbidities, diabetes, obesity, cardiovascular disease (mainly hypertension), heart disease (mainly ischemic heart disease), and renal dysfunction were more common among those patients who were admitted to the ICU (all P < .01). As for signs and symptoms, cough, fever, dyspnoea, respiratory crackles, diarrhoea, tachypnoea, lymphopenia, and rhonchus were more frequent among ICU patients (all P < .05). Interestingly, respiratory diseases were not more frequent among patients who were admitted to the ICU (**Table 2**).

Finally, by using a machine-learning, data-driven algorithm, we identified that the combination of three easily available clinical variables, namely age, temperature, and respiratory frequency, was the most parsimonious predictor of ICU admission among COVID-19 patients (**Figure 4**). For this model, age and temperature were captured as continuous variables, whereas tachypnoea (yes/no) was defined as respiratory frequency of more than 20 breaths per minute. With accuracy, recall, and AUC values of 0.68, 0.71, and 0.76, respectively, the presented model reached optimal balance in terms of positive and negative predictive value for ICU admission. On the one hand, those younger than 56 years, without tachypnoea, and with temperature <39°C/102°F (entropy = 0, n = 145) (or >39°C/102°F without respiratory crackles), were free of ICU admission, (entropy = 0, n =

18). On the other hand, COVID-19 patients aged 40 to 70 years were likely to be admitted in the ICU if they presented with tachypnoea and delayed their visit to the ER after being seen in primary care (entropy = 0, n = 104). As stated in the Methods section, we performed an additional sensitivity analysis with different data sets to further validate the results of our predictive model. The independent data set of two provinces (Ciudad Real and Guadalajara, including a total of 753,408 individual patients, or 38% of the entire study sample from Castilla-La Mancha; **Figure 1** and **Supplemental Table S1**), was used to retrain our algorithm to identify ICU admission at onset; validation was performed in the remaining three provinces. As shown in **Supplemental Figure S2**, the new decision tree identified the same relevant clinical variables, that is age, tachypnea, temperature, and respiratory crackles/ronchus with similar (but not identical) thresholds in some of them. This additional model reached values of accuracy, recall, and AUC of 0.85, 0.57, and 0.84, respectively, thus providing additional proof of validity for our main findings.

DISCUSSION

By accessing the clinical information of more than 10,000 anonymous patients with COVID-19 (a number that largely surpasses samples included in recent reports about the disease[30, 31]), we were able to describe their demographic and clinical characteristics, their clinical journey, and the statistical relationship between the most common symptoms and comorbidities on admission, and COVID-19 prognosis (i.e., ICU admission). There were subtle differences in clinical symptoms at onset by sex, while all comorbidities (but asthma) were significantly higher in male than female COVID-19 patients. The variables identified in our ICU admission model (i.e., age, temperature, and tachypnoea) are clinically relevant as they are readily available and easily observable in the everyday practice with COVID-19 patients. Although tachypnea is not an exclusive manifestation of COVID-19 and can be present in patients suffering from other respiratory diseases (i.e., pneumonia), our model suggests that this sign (in combination with age and temperature) is the most reliable predictor of ICU use over other common symptoms and signs such as cough, dyspnea, or respiratory crackles.

The reported sex-dependent differences in the symptomatology of COVID-19 at onset have been further confirmed by our group using similar methods[32], and should be interpreted in light of data suggesting that female teenagers and young adult women are significantly more affected by the disease than their male counterparts [32]. In this regard, our results warrant further investigations aimed at closing the gender gap in the ongoing pandemic [33].

Given that the stability and capacity of ICUs worldwide is threatened by the rapid spread of COVID-19, the identification of individual factors that predict ICU admission may not only improve patient management but also optimize healthcare resource use and planning. Thus, recent studies using big data and machine learning have explored the prognostic factors of the disease, including ICU transfer, discharge, and mortality [8-11]. In line with our results, respiratory rate has also been identified as an important predictor for ICU transfer in COVID-19 patients [9].

Further applied to other national and international healthcare networks, the tools and methodology presented in this study can potentially characterize and predict the prognosis of COVID-19 in a timely and unprecedented manner. As recently pointed out[34, 35], there might be value in the application of artificial intelligence to the current COVID-19 pandemic, not only to predict outbreaks[36] or read chest CT scans[37], but also to disentangle

COVID-19's clinical onset and natural history in nearly real-time. Indeed, classical methods would have required months of questionnaire-based data collection and questionnaire validation, along with multiple Ethics Board approvals and other practical hurdles, all saved with our current approach.

In the race against COVID-19[38], where the goal is to curb the pandemic, it is imperative to leverage big data and intelligent analytics for the betterment of public health. However, it is of the utmost importance not to neglect privacy and public trust, to keep best practices, and to maintain responsible standards for data collection and data processing at a global scale[39].

Strengths and Limitations

To our knowledge, this is one of the first attempts to combine NLP and machine learning to access and analyzed unstructured, free-text real-world data from EHRs in a large series of patients with COVID-19. Although recent studies have used machine learning to predict ICU admission in COVID-19 patients [9], our approach takes this methodology a step further by applying NLP to exclusively analyze unstructured information. Indeed, our state-ot-the-art methodology allowed for the rapid analysis of the unstructured free-text narratives in the EHRs of one million patients from the general population of the region of Castilla La-Mancha (Spain).

Our methodology combined modules for sentence segmentation, tokenization, text normalization, acronym disambiguation, negation detection, and a multi-dimensional ranking scheme; the latter involved linguistic knowledge, statistical evidence, and continuous vector representations of words and documents learned via shallow neural networks. When applied to EHRs. NLP enables a) access to longitudinal health records for all patients in the target population, and b) the implementation of exploratory analysis to unravel associations between variables that have remained undetected with traditional research methods. By considering all possible patients with the target disease, the information and analyses used here (i.e., RWD and free-scale statistics) remained unbiased by the research question or the observers. Unlike classical statistical methods (e.g., logistic regression), the main advantage associated with the use of ML in this context is that it allows for the automatic detection of meaningful relationships between variables. For instance, if a given symptom (i.e., fever) is only relevant for certain patients (i.e., older than 50), techniques such as the classification trees used here are suitable to uncover this relationship. In this context, although the total number of patients that required ICU use in the training set was somewhat low (48 patients), the number of variables considered in the model was also very limited. In addition, the inclusion of a validation stage reduces the likelihood of overfitting. Ultimately, the use of classifications trees in this study (as opposed to other models such as Artificial Neural Networks) is especially appropriate in the clinical context because they are easily interpretable.

Regarding the geographical location of our participating hospital sites, it is worth mentioning that with a total of 1,364,924 patients from the region of Castilla La-Mancha (SESCAM Healthcare Network), our sample is representative of the Spanish population; Spain has been among the hardest hit countries by the pandemic, in terms of both total cases and mortality rates [40, 41], and this region in particular is the third most affected in the country, just behind Madrid and Catalonia. For this reason, we anticipate that the clinical conclusions drawn here are relevant for clinicians worldwide. Of note, ICU capacity in the region

during the study period was not compromised yet, which protects against possible bias in our training data (all patients requiring intensive care were indeed admitted to the ICU).

The results and conclusions of the present study should be interpreted in light of the following limitations. First, we did not distinguish COVID-19 cases confirmed by laboratory results (i.e., RT-PCR) from those exclusively diagnosed through clinical observation (i.e., symptomatology, imaging and laboratory results). However, it should be noted that PCR and other rapid laboratory tests for the detection of SARS-CoV-2 were not routinely administered in Spain during the study period. In addition, this decision is supported by recent discussions on the clinical validity and relatively high sensitivity of symptom- and imaging-based identification of COVID-19 patients, especially in early stages of the disease[20, 22, 23]. Second, independent replications by different research groups in larger patient sets are needed to further support the clinical validity of our results.

Finally, future reports from the BIGCOVIData study may incorporate laboratory results and treatments, and contextualize the results presented here in a larger clinical picture [28].

We conclude that, in the largest series of COVID-19 patients attended during the first three months of the pandemic in Spain, 6% of all hospitalized patients required ICU; and that a combination of easily obtained clinical variables, namely age, fever, and tachypnoea predicts which COVID-19 patients require ICU admission.

ACKNOWLEDGMENTS

*Savana COVID-19 Research Group are: Ignacio H. Medrano, MD; Jorge Tello; Alberto Porras, MD, PhD; Marisa Serrano, PhD; Sara Lumbreras, PhD, Universidad Pontificia Comillas (ORCID: 0000-0002-5506-9027); Carlos Del Rio-Bermudez, PhD (ORCID: 0000-0002-1036-1673); Stephanie Marchesseau, PhD; Ignacio Salcedo; Andrea Martínez; Claudia Maté, MD; Sergio Collazo, MD; Jesús Barea, MD; María Villamayor, MD; Antonio Urda, MD, PhD; Carolina de la Pinta, MD; Imanol Zubizarreta; Yolanda González, PhD; Sebastian Menke, PhD (ORCID: 0000-0002-2588-6405). We thank all the Savaners for helping accelerate health science with their daily work. This would have not been possible without every single team member. We also thank SESCAM (Healthcare Network in Castilla-La Mancha, Spain) for its participation in the study and for supporting the development of cutting-edge technology in real time.

REFERENCES

1. Centers for Disease Control and Prevention (CDC). Coronavirus (COVID-19) at CDC & P. Available from https://www.cdc.gov/coronavirus/2019-ncov/index.html. Accessed April 8, 2020

- 2. Zhu N, Zhang D, Wang W, Li X, Yang B, Song J, Zhao X, Huang B, Shi W, Lu R, Niu P, Zhan F, Ma X, Wang D, Xu W, Wu G, Gao GF, Tan W. A Novel Coronavirus from Patients with Pneumonia in China, 2019. *N Engl J Med* 2020: 382(8): 727-733.
- 3. Ferretti L, Wymant C, Kendall M, Zhao L, Nurtay A, Abeler-Dorner L, Parker M, Bonsall D, Fraser C. Quantifying SARS-CoV-2 transmission suggests epidemic control with digital contact tracing. *Science* 2020.
- 4. Qin L, Sun Q, Wang Y, Wu KF, Chen M, Shia BC, Wu SY. Prediction of Number of Cases of 2019 Novel Coronavirus (COVID-19) Using Social Media Search Index. *Int J Environ Res Public Health* 2020: 17(7).
- 5. Topol EJ. High-performance medicine: the convergence of human and artificial intelligence. *Nat Med* 2019: 25(1): 44-56.
- 6. Divita G, Carter M, Redd A, Zeng Q, Gupta K, Trautner B, Samore M, Gundlapalli A. Scaling-up NLP Pipelines to Process Large Corpora of Clinical Notes. *Methods Inf Med* 2015: 54(6): 548-552.
- 7. Burgner D, Jamieson SE, Blackwell JM. Genetic susceptibility to infectious diseases: big is beautiful, but will bigger be even better? *Lancet Infect Dis* 2006: 6(10): 653-663.
- 8. Liu Y, Mao B, Liang S, Yang JW, Lu HW, Chai YH, Wang L, Zhang L, Li QH, Zhao L, He Y, Gu XL, Ji XB, Li L, Jie ZJ, Li Q, Li XY, Lu HZ, Zhang WH, Song YL, Qu JM, Xu JF. Association between ages and clinical characteristics and outcomes of coronavirus disease 2019. *Eur Respir J* 2020.
- 9. Cheng FY, Joshi H, Tandon P, Freeman R, Reich DL, Mazumdar M, Kohli-Seth R, Levin M, Timsina P, Kia A. Using Machine Learning to Predict ICU Transfer in Hospitalized COVID-19 Patients. *J Clin Med* 2020: 9(6).
- 10. Nemati M, Ansary J, Nemati N. Machine Learning Approaches in COVID-19 Survival Analysis and Discharge Time Likelihood Prediction using Clinical Data. *Patterns* 2020: 100074.
- 11. Darabi HR, Tsinis D, Zecchini K, Whitcomb WF, Liss A. Forecasting Mortality Risk for Patients Admitted to Intensive Care Units Using Machine Learning. *Procedia Computer Science* 2018: 140: 306-313.
- 12. Horton R. Offline: COVID-19— what countries must do now. *The Lancet* 2020: 395(10230): 1100.
- 13. Xu B, Gutierrez B, Mekaru S, Sewalk K, Goodwin L, Loskill A, Cohn EL, Hswen Y, Hill SC, Cobo MM, Zarebski AE, Li S, Wu C-H, Hulland E, Morgan JD, Wang L, O'Brien K, Scarpino Samuel V, Brownstein JS, Pybus OG, Pigott DM, Kraemer MUG. Epidemiological data from the COVID-19 outbreak, real-time case information. *Scientific Data* 2020: 7(1): 106.
- 14. Murray CJ. Forecasting COVID-19 impact on hospital bed-days, ICU-days, ventilator-days and deaths by US state in the next 4 months. *medRxiv* 2020: 2020.2003.2027.20043752.
- 15. Sotgiu G GGCS, Miozzo M, Canonica GW, Virchow JC, Soriano JB. Advanced forecasting of SARS-CoV-2 related deaths in Italy, Germany and Spain. *Allergy* 2020: In Press.
- 16. Izquierdo JL, Morena D, Gonzalez Y, Paredero JM, Perez B, Graziani D, Gutierrez M, Rodriguez JM. Clinical Management of COPD in a Real-World Setting. A Big Data Analysis. *Arch Bronconeumol* 2020.
- 17. Sociedad Española de Neumología y Cirugía Torácica. Chart Review of Patients With COPD, Using Electronic Medical Records and Artificial Intelligence (BigCOPData). Available from https://clinicaltrials.gov/ct2/show/NCT04206098. Accessed April 13, 2020.
- 18. Koo D, Thacker SB. In snow's footsteps: Commentary on shoe-leather and applied epidemiology. *Am J Epidemiol* 2010: 172(6): 737-739.

19. STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) guidance for reporting observational research.

- 20. Long C, Xu H, Shen Q, Zhang X, Fan B, Wang C, Zeng B, Li Z, Li X, Li H. Diagnosis of the Coronavirus disease (COVID-19): rRT-PCR or CT? *Eur J Radiol* 2020: 126: 108961.
- 21. Wang W, Xu Y, Gao R, Lu R, Han K, Wu G, Tan W. Detection of SARS-CoV-2 in Different Types of Clinical Specimens. *JAMA* 2020.
- 22. Ai T, Yang Z, Hou H, Zhan C, Chen C, Lv W, Tao Q, Sun Z, Xia L. Correlation of Chest CT and RT-PCR Testing in Coronavirus Disease 2019 (COVID-19) in China: A Report of 1014 Cases. *Radiology*: 0(0): 200642.
- 23. Xu J, Wu R, Huang H, Zheng W, Ren X, Wu N, Ji B, Lv Y, Liu Y, Mi R. Computed Tomographic Imaging of 3 Patients With Coronavirus Disease 2019 Pneumonia With Negative Virus Real-time Reverse-Transcription Polymerase Chain Reaction Test. *Clinical Infectious Diseases* 2020.
- 24. Hernandez Medrano ITG, J; Belda, C; Urena, A; Salcedo, I; Espinosa-Anke, L; Saggion, H. Savana: Re-using Electronic Health Records with Artificial Intelligence. *International Journal of Interactive Multimedia and Artificial Intelligence* 2017: 4(7): 8-12.
- 25. Yang Z, Dehmer M, Yli-Harja O, Emmert-Streib F. Combining deep learning with token selection for patient phenotyping from electronic health records. *Scientific Reports* 2020: 10(1): 1432.
- 26. The Lancet. The gendered dimensions of COVID-19. *The Lancet* 2020: 395(10231): 1168.
- 27. Quinlan JR. Induction of decision trees. *Machine Learning* 1986: 1(1): 81-106.
- 28. Wynants L, Van Calster B, Bonten MMJ, Collins GS, Debray TPA, De Vos M, Haller MC, Heinze G, Moons KGM, Riley RD, Schuit E, Smits LJM, Snell KIE, Steyerberg EW, Wallisch C, van Smeden M. Prediction models for diagnosis and prognosis of covid-19 infection: systematic review and critical appraisal. *BMJ* 2020: 369: m1328.
- 29. Moons KG, Altman DG, Reitsma JB, Ioannidis JP, Macaskill P, Steyerberg EW, Vickers AJ, Ransohoff DF, Collins GS. Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis (TRIPOD): explanation and elaboration. *Ann Intern Med* 2015: 162(1): W1-73.
- 30. Lescure FX, Bouadma L, Nguyen D, Parisey M, Wicky PH, Behillil S, Gaymard A, Bouscambert-Duchamp M, Donati F, Le Hingrat Q, Enouf V, Houhou-Fidouh N, Valette M, Mailles A, Lucet JC, Mentre F, Duval X, Descamps D, Malvy D, Timsit JF, Lina B, van-der-Werf S, Yazdanpanah Y. Clinical and virological data of the first cases of COVID-19 in Europe: a case series. *Lancet Infect Dis* 2020.
- 31. Li Q, Guan X, Wu P, Wang X, Zhou L, Tong Y, Ren R, Leung KSM, Lau EHY, Wong JY, Xing X, Xiang N, Wu Y, Li C, Chen Q, Li D, Liu T, Zhao J, Liu M, Tu W, Chen C, Jin L, Yang R, Wang Q, Zhou S, Wang R, Liu H, Luo Y, Liu Y, Shao G, Li H, Tao Z, Yang Y, Deng Z, Liu B, Ma Z, Zhang Y, Shi G, Lam TTY, Wu JT, Gao GF, Cowling BJ, Yang B, Leung GM, Feng Z. Early Transmission Dynamics in Wuhan, China, of Novel Coronavirus-Infected Pneumonia. *N Engl J Med* 2020: 382(13): 1199-1207.
- 32. Ancochea J, Izquierdo JL, Soriano JB. Evidence of gender bias in the diagnosis and management of COVID-19 patients: A Big Data analysis of Electronic Health Records. *medRxiv* 2020: 2020.2007.2020.20157735.
- 33. Wenham C, Smith J, Morgan R. COVID-19: the gendered impacts of the outbreak. *Lancet* 2020: 395(10227): 846-848.
- 34. McCall B. COVID-19 and artificial intelligence: protecting health-care workers and curbing the spread. *The Lancet Digital Health* 2020: 2(4): e166-e167.
- 35. Du RH, Liang LR, Yang CQ, Wang W, Cao TZ, Li M, Guo GY, Du J, Zheng CL, Zhu Q, Hu M, Li XY, Peng P, Shi HZ. Predictors of Mortality for Patients with COVID-19 Pneumonia Caused by SARS-CoV-2: A Prospective Cohort Study. *Eur Respir J* 2020.
- 36. Ayyoubzadeh SM, Ayyoubzadeh SM, Zahedi H, Ahmadi M, S RNK. Predicting COVID-19

Incidence Through Analysis of Google Trends Data in Iran: Data Mining and Deep Learning Pilot Study. *JMIR Public Health Surveill* 2020: 6(2): e18828.

- 37. Li L, Qin L, Xu Z, Yin Y, Wang X, Kong B, Bai J, Lu Y, Fang Z, Song Q, Cao K, Liu D, Wang G, Xu Q, Fang X, Zhang S, Xia J, Xia J. Artificial Intelligence Distinguishes COVID-19 from Community Acquired Pneumonia on Chest CT. *Radiology* 2020: 200905.
- 38. The race against COVID-19. *Nat Nanotechnol* 2020: 15(4): 239-240.
- 39. Ienca M, Vayena E. On the responsible use of digital data to tackle the COVID-19 pandemic. *Nat Med* 2020: 26(4): 463-464.
- 40. World Health Organization (WHO). Coronavirus disease 2019 (COVID-19) Situation Report –64. 2020. Available from https://www.who.int/docs/default-source/coronaviruse/situation-reports/20200324-sitrep-64-covid-19.pdf?sfvrsn=723b221e_2 Accessed March 24, 2020
- 41. Spanish Ministry of Health. Situación de COVID-19 en España. Ministerio de Sanidad 2020. Availabe from https://covid19.isciii.es. Accessed April 13, 2020.

FIGURES and TABLES

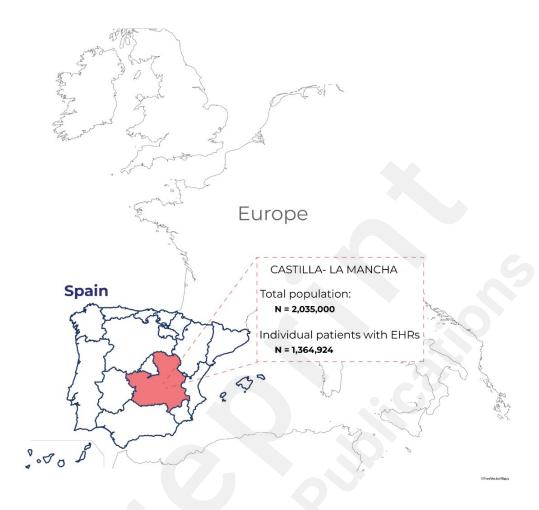


Figure 1. Map of Castilla-La Mancha. Map of Castilla-La Mancha (red) within the Spanish (blue line) and European territories. From a source general population of 2,035,000 inhabitants, we collected and analyzed the clinical information in the EHRs of 1,364,924 patients within the SESCAM Healthcare Network.

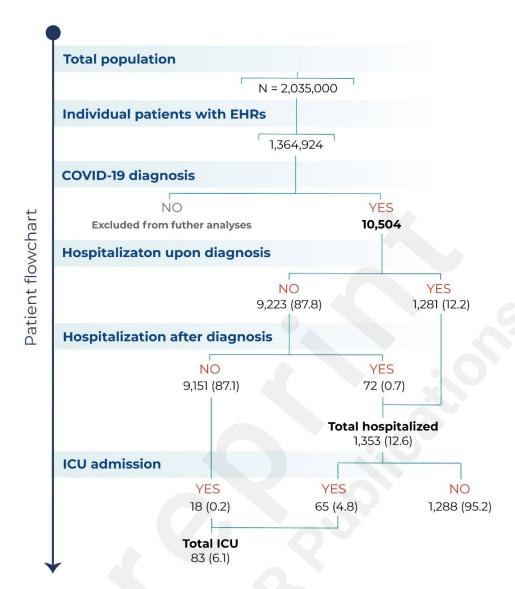


Figure 2. Patient flowchart. Flowchart depicting the total number of inhabitants in the source population, the number (%) of patients with available EHRs analyzed, the number of patients diagnosed with COVID-19, and of those, the number of hospitalizations and ICU admissions.

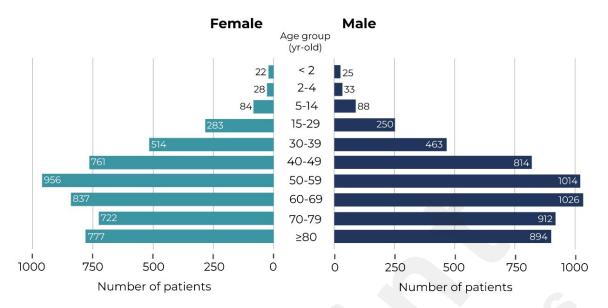


Figure 3. Age and Sex Distribution of COVID-19 patients. Age distribution of incident cases of COVID-19 in females (left) and males (right) in the study population for the period comprised between Jan 1, 2020 and March 29, 2020.

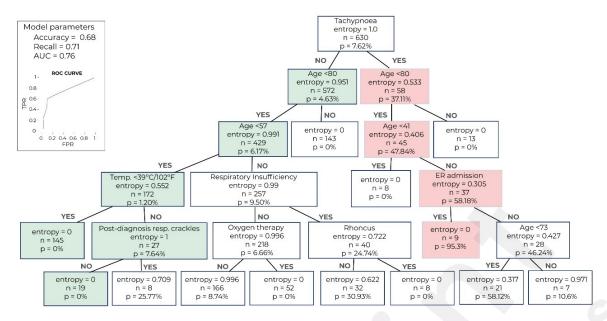


Figure 4. Decision tree of relevant clinical variables for the prediction of ICU admission in COVID-19 patients. The combination of three easily available clinical variables, namely age, temperature, and respiratory frequency, was the most parsimonious predictor of ICU admission among COVID-19 patients. The number of patients, probability (p) of ICU admission predicted by the model, and level of entropy (a measure indicating how mixed or pure the classification is, where 0 indicates optimal separation of classes) are indicated in each box. The green pathway indicates that those patients with no tachypnoea, younger than 56 years old, and with temperature less than 39°C/102°F (OR more than 39°C/102°F without respiratory crackles), did not require ICU admission. On the contrary, the red pathway indicates that patients aged 40-79 years, who presented with tachypnoea, and delayed their visit to the ER after being seen in primary care, were likely to be admitted in the ICU. For this model, we obtained accuracy, recall, and AUC values of 0.68, 0.71, and 0.76, respectively (top right panel). See text for further details.

	Female	Male	TOTAL	P
	n = 4,984	n = 5,519	n = 10,504	value*
Sex	•	,	,	
Female			4,984(47.4)	
Male			5,519(52.5)	
Unknown			1(0.0)	
Age (in years)				
Mean(SD)	57.4(20.0)	59.0(19.5)	58.2(19.7)	<.001
Median(Min-Max)	58.0(0.0-100.0)	60.0(0.0-102.0)	59.0(0.0-102.0)	
(Q1-Q3)	(44.0-73.0)	(46.0-74.0)	(45.0-73.0)	
Signs and Symptoms n(%)	,	,	,	
Cough	2,482(49.8)	2,760(50.0)	5,243(49.9)	.8453
Fever	2,120(42.5)	2,783(50.4)	4,904(46.7)	<.001
Dyspnoea	1,476(29.6)	1,818(32.9)	3,294(31.4)	<.001
Respiratory crackles	849(17.0)	1,085(19.7)	1,934(18.4)	<.001
Diarrhoea	556(11.2)	543(9.8)	1,099(10.5)	.03
Myalgia	467(9.4)	451(8.2)	919(8.7)	.0326
Headache	462(9.3)	302(5.5)	764(7.3)	<.001
Rhonchus	279(5.6)	414(7.5)	693(6.6)	<.001
Chest pain	287(5.8)	267(4.8)	554(5.3)	.039
Lymphopenia	196(3.9)	346(6.3)	542(5.2)	<.001
Wheezing	194(3.9)	195(3.5)	389(3.7)	.3567
Tachypnoea	135(2.7)	203(3.7)	338(3.2)	.0059
Anosmia	166(3.3)	134(2.4)	300(2.9)	.0066
Sore throat	69(1.4)	57(1.0)	127(1.2)	.118
Ageusia	33(0.7)	32(0.6)	65(0.6)	.68
Dysphagia	19(0.4)	28(0.5)	47(0.4)	.4119
Neuralgia	19(0.4)	22(0.4)	41(0.4)	1
Splenomegaly	8(0.2)	14(0.3)	22(0.2)	.4071
Hepatomegaly	2(0.0)	6(0.1)	8(0.1)	.3586
Comorbidities n(%)#			, ,	
Cardiovascular disease	2,253(45.2)	2,805(50.8)	5,058(48.2)	<.001
Hypertension	1,552(31.1)	1,975(35.8)	3,527(33.6)	<.001
Ischemic stroke	91(1.8)	163(3.0)	254(2.4)	<.001
Heart Disease	1100(22.1)	1539(27.9)	2639(25.1)	<.001
Ischemic heart disease	152(3.0)	475(8.6)	627(6.0)	<.001
Heart failure	243(4.9)	309(5.6)	552(5.3)	.1063
Diabetes	689(13.8)	957(17.3)	1646(15.7)	<.001
Obesity	479(9.6)	457(8.3)	936(8.9)	.0185
Renal dysfunction	271(5.4)	493(8.9)	764(7.3)	<.001
CKD	171(3.4)	323(5.9)	494(4.7)	<.001
Depression	484(9.7)	219(4.0)	703(6.7)	<.001
Chronic respiratory disease	242(4.9)	646(11.7)	888(8.5)	<.001
Asthma	496(10.0)	263(4.8)	759(7.2)	<.001
COPD	126(2.5)	549(9.9)	675(6.4)	<.001
Obstructive sleep apnea syndrome (OSA)	69(1.4)	143(2.6)	212(2.0)	<.001
Bronchiectasis	42(0.8)	87(1.6)	129(1.2)	<.001
Chronic Liver Disease	36(0.7)	75(1.4)	111(1.1)	.002
Cirrhosis	16(0.3)	35(0.6)	51(0.5)	.0304
HIV	12(0.2)	22(0.4)	34(0.3)	.2113

Footnote: *P values from Yates-corrected chi² test on percentage difference of female vs. male COVID-19 patients. All tests were performed individually for each variable (comorbidity or sign/symptom, where applicable). For numerical values (i.e., age), t-tests of difference between means were used. *List of medical conditions according to SNOMED CT terminology.

TABLE 2. Association between ICU admission and comorbidities/signs and symptoms upon diagnosis in patients with COVID-19.

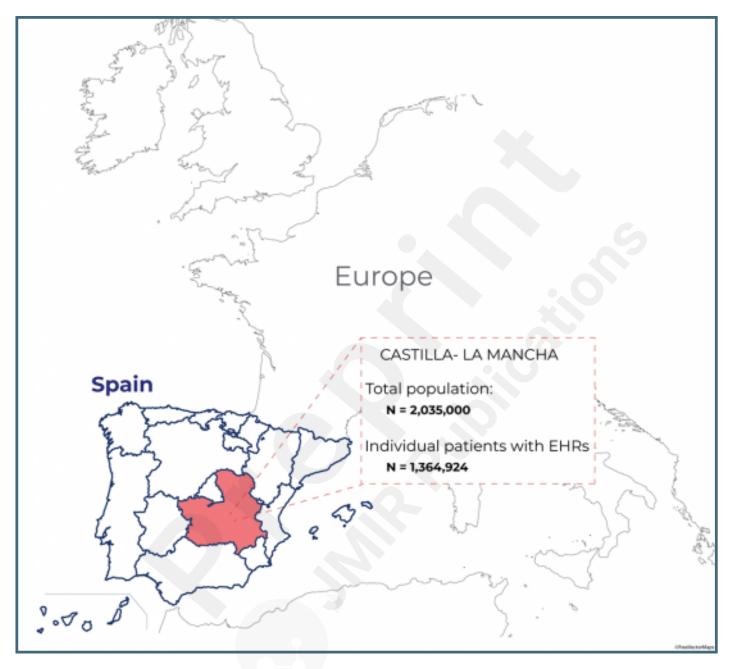
COMORBIDITIES				SIGNS AND SYMPTOMS					
Condition#	No ICU	ICU	p-value*	Sign or	No ICU	ICU	P		
	n(%)	n(%)		Symptom	n(%)	n(%)	value*		
Diabetes	1613(15.5)	33(39.8)	< 0.001	Cough	5181(49.7)	62(74.7)	<.001		
Obesity	917(8.8)	19(22.9)	< 0.001	Fever	4849(46.5)	55(66.3)	<.001		
Chronic respiratory disease	883(8.5)	5(6)	0.548	Dyspnoea	3246(31.1)	48(57.8)	<.001		
COPD	673(6.5)	2(2.4)	0.2029	Respiratory crackles	1904(18.3)	30(36.1)	<.001		
Asthma	750(7.2)	9(10.8)	0.2868	Myalgia	908(8.7)	11(13.3)	.2066		
OSA	211(2)	1(1.2)	0.8908	Diarrhoea	1084(10.4)	15(18.1)	.0363		
Bronchiectasis	129(1.2)	0(0)	0.6033	Dysphagia	47(0.5)	0(0)	1		
Cardiovascular disease	4998(48)	60(72.3)	<0.001	Wheezing	383(3.7)	6(7.2)	.1568		
Hypertension	3487(33.5)	40(48.2)	0.0066	Tachypnoea	311(3)	27(32.5)	<.001		
Ischemic stroke	253(2.4)	1(1.2)	0.716	Chest pain	546(5.2)	8(9.6)	.1237		
Heart Disease	2604(25)	35(42.2)	< 0.001	Lymphopenia	524(5)	18(21.7)	<.001		
Ischemic Heart Disease	616(5.9)	11(13.3)	0.0099	Headache	757(7.3)	7(8.4)	.8442		
Heart failure	548(5.3)	4(4.8)	1	Rhonchus	676(6.5)	17(20.5)	<.001		
Renal dysfunction	748(7.2)	16(19.3)	< 0.001	Hepatomegaly	8(0.1)	0(0)	1		
CKD	488(4.7)	6(7.2)	0.4059	Anosmia	297(2.9)	3(3.6)	.9317		
Chronic Liver Disease	109(1)	2(2.4)	0.502	Ageusia	65(0.6)	0(0)	.9847		
Cirrhosis	51(0.5)	0(0)	1	Neuralgia	41(0.4)	0(0)	1		
Depression	699(6.7)	4(4.8)	0.6418	Sore throat	126(1.2)	1(1.2)	1		
HIV	33(0.3)	1(1.2)	0.6536	Splenomegaly	21(0.2)	1(1.2)	.4317		

Footnote: *P values from Yates-corrected chi² test of difference between percentage of patients in either outcome group. All tests were performed individually for each variable (comorbidity or sign/symptom, where applicable). *List of medical conditions according to SNOMED CT terminology.

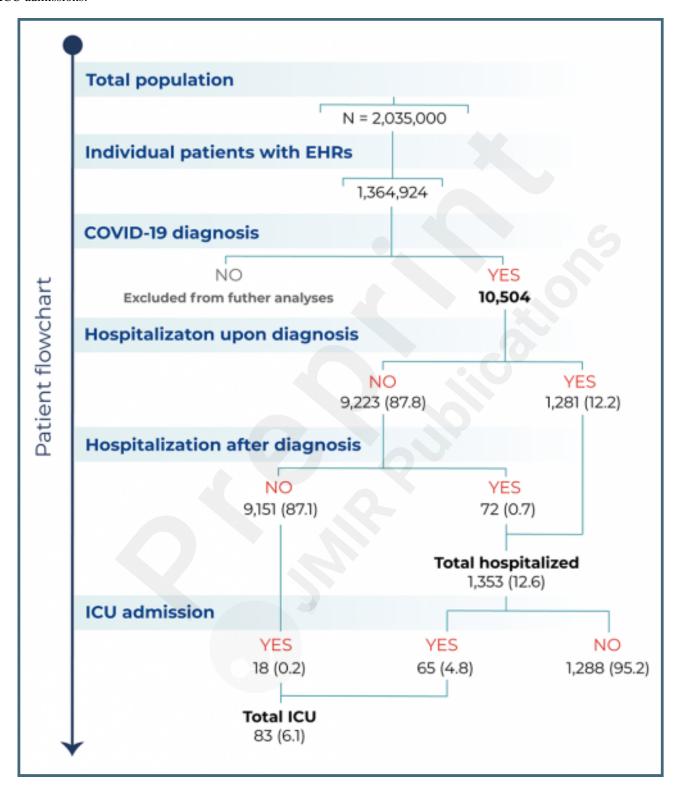
Supplementary Files

Figures

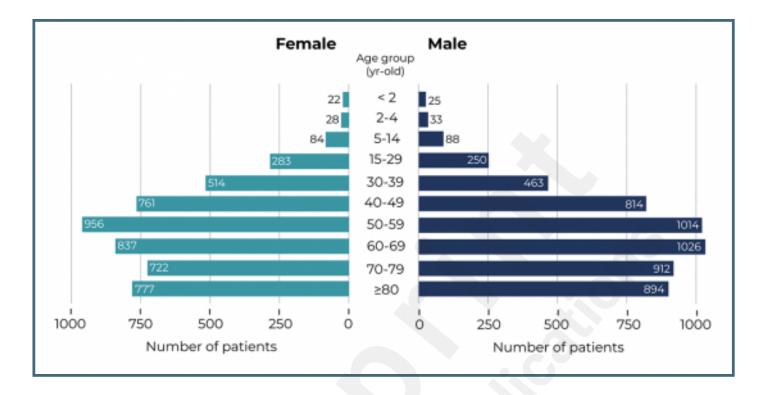
Map of Castilla-La Mancha (red) within the Spanish (blue line) and European territories. From a source general population of 2,035,000 inhabitants, we collected and analyzed the clinical information in the EHRs of 1,364,924 patients within the SESCAM Healthcare Network.



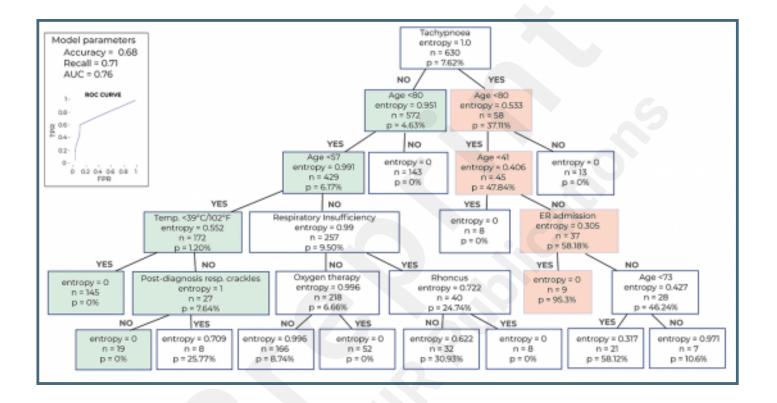
Patient flowchart. Flowchart depicting the total number of inhabitants in the source population, the number (%) of patients with available EHRs analyzed, the number of patients diagnosed with COVID-19, and of those, the number of hospitalizations and ICU admissions.



Age and Sex Distribution of COVID-19 patients. Age distribution of incident cases of COVID-19 in females (left) and males (right) in the study population for the period comprised between Jan 1, 2020 and March 29, 2020.



Decision tree of relevant clinical variables for the prediction of ICU admission in COVID-19 patients. The combination of three easily available clinical variables, namely age, temperature, and respiratory frequency, was the most parsimonious predictor of ICU admission among COVID-19 patients. The number of patients, probability (p) of ICU admission predicted by the model, and level of entropy (a measure indicating how mixed or pure the classification is, where 0 indicates optimal separation of classes) are indicated in each box. The green pathway indicates that those patients with no tachypnoea, younger than 56 years old, and with temperature less than 39°C/102°F (OR more than 39°C/102°F without respiratory crackles), did not require ICU admission. On the contrary, the red pathway indicates that patients aged 40-79 years, who presented with tachypnoea, and delayed their visit to the ER after being seen in primary care, were likely to be admitted in the ICU. For this model, we obtained accuracy, recall, and AUC values of 0.68, 0.71, and 0.76, respectively (top right panel). See text for further details.



Multimedia Appendixes

Tripod Checklist for predictive models.

URL: https://asset.jmir.pub/assets/0bb0f864acaa4dd29b53931856637c3d.pdf

 $Supplementary\ Methods/Supplementary\ Figures\ and\ Tables.$

URL: https://asset.jmir.pub/assets/8f50413dd970905129a4f93a6710244b.doc